

TESIS DE DOCTORADO

**SEARCH FOR BIOMARKERS RELATED TO
RHINITIS AND DIFFERENT ASTHMA
PHENOTYPES BY SERUM PROTEOMICS
AND IMMUNOASSAYS**

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DECLARACIÓN DO AUTOR/A DA TESE

SEARCH FOR BIOMARKERS RELATED TO RHINITIS AND DIFFERENT ASTHMA PHENOTYPES BY SERUM PROTEOMICS AND IMMUNOASSAYS

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Esta tesis y los trabajos derivados se han realizado en el Centro de Investigación en Biología de la Universidad de Santiago de Compostela (CIBUS) – Facultad de Biología, Campus vida, Universidade de Santiago de Compostela gracias al financiamiento de los proyectos (121/2012) por la Sociedad Española de Neumología y Cirugía Torácica, (SEPAR) y el (PI13/02046) del Instituto de Salud Carlos III, Ministerio de Economía y Competitividad (Fondo de Investigación Sanitaria, FIS; co-financiado por fondos FEDER de la Unión Europea)

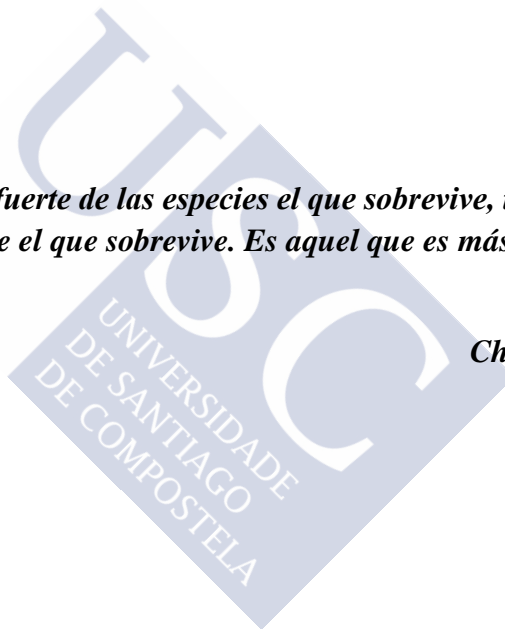
El autor de esta tesis, D. Juan José Nieto Fontarigo, ha sido beneficiario de la beca predoctoral de la Xunta de Galicia (DOG Núm. 122, Páx. 27453, Mércores, 29 de xuño de 2016), Modalidad B (Cofinanciadas por Fondo Social Europeo, FSE).

Todos los trabajos realizados en esta tesis cuentan con la aprobación del Comité de Ética de Investigación Clínica de Galicia (CEIC)(2011/001), y todos los participantes en los mismos han firmado un consentimiento informado.



“No es el más fuerte de las especies el que sobrevive, tampoco es el más inteligente el que sobrevive. Es aquel que es más adaptable al cambio”

Charles Darwin





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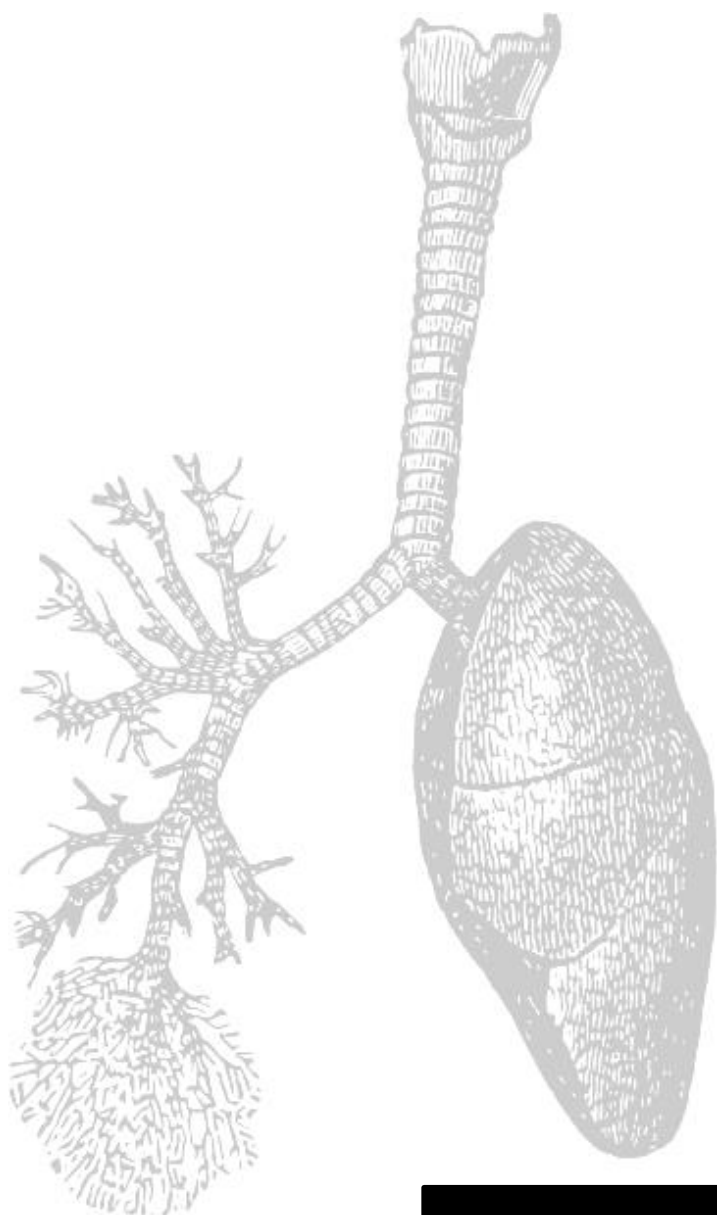
ABBREVIATIONS

2-DE:	<i>Two dimension electrophoresis</i>
2D-DIGE:	<i>2D Fluorescence Difference Gel Electrophoresis</i>
AA:	<i>Allergic asthma</i>
ADA:	<i>Adenosine deaminase</i>
AECs:	<i>Airway epithelial cells</i>
AHSG:	<i>α-2-HS-glycoprotein</i>
AHR:	<i>Airway hyperresponsiveness</i>
APCs:	<i>Antigen presenting cells</i>
BALF:	<i>Bronchoalveolar lavage fluid</i>
BB:	<i>Bronchial biopsies</i>
BCR:	<i>B-cell receptor</i>
BIM:	<i>Bcl-2 interacting mediator</i>
CFH:	<i>Complement factor H</i>
CFI:	<i>Complement factor I</i>
CPLs:	<i>Combinatorial peptide ligand libraries</i>
CSF:	<i>Cerebrospinal fluid</i>
DAMPs:	<i>Damage associated molecular patterns</i>
DPP:	<i>Dipeptidyl peptidase protein</i>
EBC:	<i>Exhaled breath condensate</i>
ELISAs:	<i>Enzyme-linked immunosorbent assays</i>
ESI:	<i>Electrospray ionisation</i>
EU:	<i>European Union</i>
FeNO:	<i>Fractional exhaled nitric oxid</i>
GWAS:	<i>Genome-wide association studies</i>
HDM:	<i>House dust mite</i>
HSPG2:	<i>Heparan sulphate proteoglycan 2</i>
ICS:	<i>Inhaled corticosteroids</i>
IGFs:	<i>Insuline-like growth factors</i>
IGFALS:	<i>Insulin-like growth factor binding protein, acid labile subunit</i>
IGFBPs:	<i>Insulin-like growth factor binding proteins</i>

ILCs:	<i>Innate lymphoid cells</i>
iNKT:	<i>Invariant natural killer T cells</i>
iTRAQ:	<i>Isobaric tag for relative and absolute quantitation</i>
iTregs:	<i>Induced regulatory T cells</i>
LAP:	<i>Low abundant proteins</i>
LBP:	<i>Lipopolysaccharide binding protein</i>
LC:	<i>Liquid chromatography</i>
LC-MS/MS:	<i>Liquid chromatography coupled to mass spectrometry</i>
LMW:	<i>Low molecular weight proteins</i>
LPS:	<i>Lipopolysaccharide</i>
LTQ:	<i>Linear trap quadrupole</i>
MALDI-TOF:	<i>Matrix-Assisted Laser Desorption/Ionization-time-of-flight</i>
mCD14:	<i>Membrane CD14</i>
MHC:	<i>Major histocompatibility complex</i>
MS:	<i>Mass spectrometry</i>
NAA:	<i>Non-allergic asthma</i>
NB:	<i>Nasal brushing</i>
NK:	<i>Natural killer</i>
NLF:	<i>Nasal lavage fluid</i>
NSE:	<i>Neuron-specific enolase</i>
nTregs:	<i>Natural regulatory T cells</i>
ORM1/AGP:	<i>Orosomucoid/α-1-acid glycoprotein 1</i>
OVA:	<i>Ovalbumin</i>
PAMPs:	<i>Pathogen associated molecular patterns.</i>
Protein AMBP:	<i>α-1-microglobulin/bikunin precursor</i>
PRRs:	<i>Pathogen recognition receptors</i>
PCs:	<i>Principal components</i>
RP:	<i>Reverse phase</i>
sCD14:	<i>Soluble CD14</i>

sCD25:	<i>Soluble CD25</i>
sCD26:	<i>Soluble CD26</i>
SCX:	<i>Strong cation exchange</i>
SNPs:	<i>Single nucleotide polymorphisms</i>
T_{CM}:	<i>Central-memory T cells</i>
TCR:	<i>T-cell receptor</i>
T_{EM}:	<i>Effector-memory T cells</i>
T_{EMRA}:	<i>Terminally-differentiated effector T cells</i>
Teff:	<i>Effector T cells</i>
TfH:	<i>Follicular helper T cells</i>
TH:	<i>Helper T cells</i>
TLRs:	<i>Toll-like receptors</i>
T_N:	<i>Naïve T cells</i>
Treg:	<i>Regulatory T cells</i>
T_{SCM}:	<i>Stem cell memory T cells</i>
UPLC:	<i>Ultra performance liquid chromatography</i>
US:	<i>United States</i>
WT:	<i>Wild type</i>





ABSTRACT

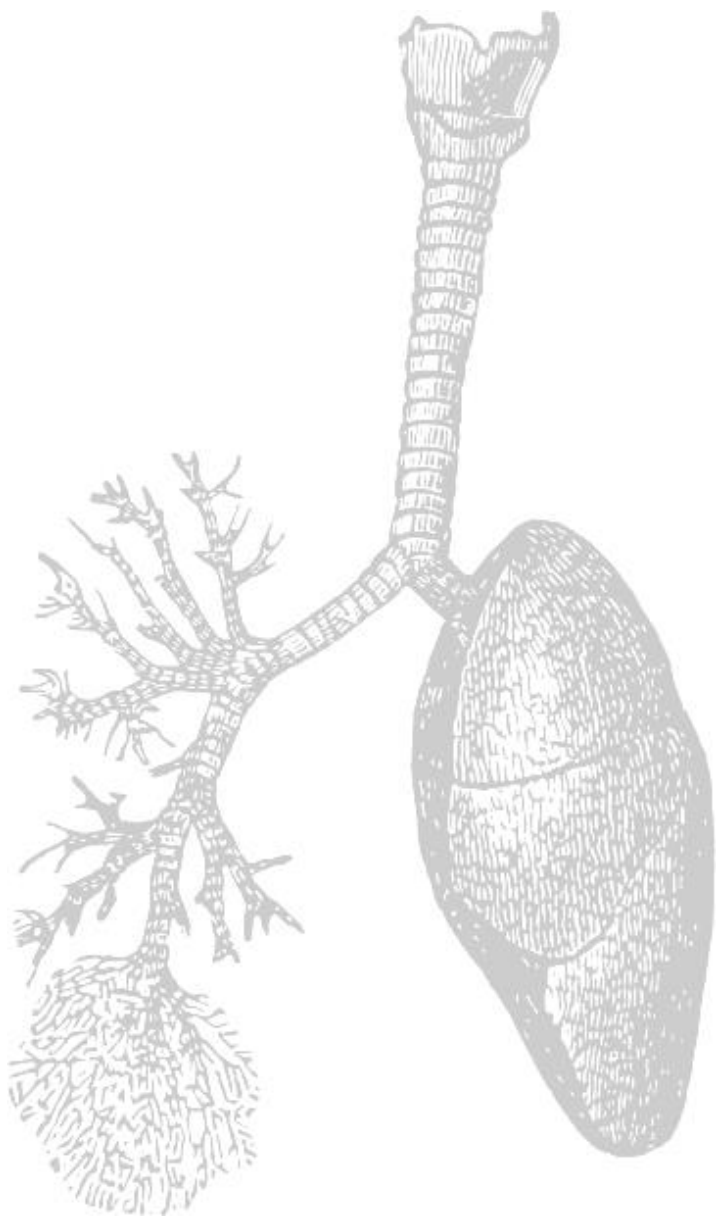


Asthma is a heterogeneous disease with several clinical phenotypes and molecular endotypes. However, the specific connection between asthma phenotypes and the underlying pathological features is difficult to explain. Thus, the overall aim of the present thesis was to search for biomarkers associated with rhinitis and different phenotypes (allergic and non-allergic) and severities (intermittent-mild and moderate-severe) of asthma, which could have an application in the diagnosis, prognosis or treatment of this disease. The mechanisms underlying asthma are multiple and complex, but the immune system plays a key role in the pathophysiology of this disease. Therefore, we decided to further study the role of the immune system in different phenotypes or severities of asthma through the analysis of certain biomarkers previously related to this disease: CD14 (innate immune system) and CD26/CD126 (adaptive immune system).

CD14 is a receptor mainly expressed on monocytes, which participates in the lipopolysaccharide (LPS) signalling. Our results show that both the expression of CD14 on monocytes and the normalized levels of soluble CD14 (sCD14) in serum are reduced in allergic asthma vs. healthy controls. This reduction can be explained by the expansion of CD14^{low} cells, probably non-classical monocytes with a high capacity to differentiate into M2 macrophages. In addition, sCD14 levels are associated with the promoter SNP of *CD14* (-159 C/T). Thus, subjects with the C allele and CC genotype have lower levels of sCD14, as well as increased risk of allergic asthma. On the other hand, CD26 is a peptidase mainly expressed by helper T (TH) lymphocytes. Our results evidence a high correlation between the expression of CD26 on those cells and DPP4 activity (~ soluble CD26/sCD26) *in vitro*. Moreover, the expression of this molecule differentiates subtypes of T cells (TH1>>TH1>TH2>Treg), as well as discriminates between cells with different stages of differentiation: central-memory T cells (T_{CM}, CD26^{high}, CD45RA⁻CCR7⁺CD28⁺), naïve T cells (T_N, CD26^{int}, CD45RA⁺CCR7⁺CD28⁺), and terminally differentiated or effector-memory T cells (T_{EM} or T_{EMRA}, CD26^{low}, CD45RA^{+/}CCR7⁻CD28⁻). In addition, there is an

expansion of different subpopulations with low expression of CD26 in asthma: Tlow cells (CD25^{low}CD26^{low}CD127^{low}) in allergic asthma and CD26⁻γδ-T cells in non-allergic asthma. This expansion could explain the decreased levels of sCD26 in serum in both allergic and non-allergic asthma. The reduction of CD26 levels in asthmatics could lead to a higher proliferation capacity of their lymphocytes, as well as to an increased migration to inflammatory sites. The expression of CD26 and CD126 in CD4⁺ T lymphocytes is highly correlated. Thus, the population of T_{EM} or T_{EMRA} cells increased in non-allergic asthma is also CD126⁻. CD126 is also related to asthma severity, since patients with a moderate-severe profile displayed reduced levels of this molecule on the surface of monocytes, neutrophils and lymphocytes, compared to intermittent-mild asthmatics. This could imply the role of *trans*-signalling (activation of CD126⁻ cells by sCD126) in the severity of asthma.

Finally, a non-target study aimed to identify new biomarkers associated with different phenotypes of asthma was also performed. Firstly, a new methodology for the analysis of the low abundance proteins based on the reduction of serum proteome complexity was created. This implies the use of combinatorial peptide ligand libraries (CPLLs) and the identification and relative quantification of serum proteins by iTRAQ and liquid chromatography coupled to tandem mass spectrometry (LC-MS/MS). Through this methodology, 26 proteins with differential changes between groups were described, many of them possible biomarkers of allergic asthma (IGFALS, protein AMBP, or HSPG2) or non-allergic (CFI, CFH, or MASP1) and severities (e.g., IGFALS in moderate-severe allergic asthma).



SUMMARY IN SPANISH



El asma es una enfermedad crónica con una alta prevalencia (>300 millones de individuos a escala mundial), especialmente en zonas urbanas, y con un impacto cada vez mayor en nuestras sociedades. Esta enfermedad afecta al 5% de la población adulta, un porcentaje que se puede incrementar hasta al 10% en el caso de la población infantil. Además, muchos pacientes asmáticos presentan una enfermedad mal controlada o son refractarios a los tratamientos convencionales, generando un gran consumo de recursos sanitarios tanto directos como indirectos.

El asma es una enfermedad respiratoria caracterizada por una obstrucción de las vías aéreas recurrente y reversible. Dicha obstrucción está asociada a hipersensibilidad y remodelado de las vías aéreas con presencia de fibrosis y edema, mayor permeabilidad vascular e infiltración de células inflamatorias. Precisamente esta última característica otorga al sistema inmunológico un papel central en esta afección. Sin embargo, el término “asma” supone una especie de paraguas bajo el cual se recogen diversas patologías, ya que esta enfermedad es muy heterogénea, resultado de la interacción entre multitud de factores genéticos y ambientales. Dicha interacción da lugar a una capa superficial observable de distintos fenotipos clínicos, por debajo de la cual subyacen diversos endotipos moleculares o causas fisiopatológicas. Sin embargo, la conexión específica entre estos fenotipos específicos y los mecanismos patológicos/moleculares latentes es difícil de explicar. Esto hace que el asma presente un tratamiento complejo y con un grado de respuesta al mismo muy variable entre los distintos pacientes. Por todo ello, es más que necesaria la búsqueda de nuevos biomarcadores que permitan un refinamiento de los fenotipos y endotipos y que tengan una aplicación en los procesos de diagnóstico, pronóstico o tratamiento de la enfermedad. En esta línea se enmarca el objetivo general de la presente tesis, que es la búsqueda de biomarcadores de diversa índole

(moleculares, celulares) asociados a rinitis y a distintos fenotipos (asma alérgica y asma no alérgica) y severidades (asma intermitente-leve y asma moderado-grave) del asma. La búsqueda de estos biomarcadores es importante para llegar a entender las características clínicas de los diversos pacientes, agruparlos, y tomar decisiones, basadas en evidencias objetivas, sobre la forma de abordar el tratamiento de una manera específica y personalizada; la llamada medicina de precisión.

Los mecanismos que subyacen al asma son múltiples y complejos, pero como se mencionó previamente el sistema inmune tiene un papel clave en la fisiopatología de la enfermedad asmática. Esta incluye tanto mecanismos inmunológicos innatos como adaptativos, empezando por el epitelio bronquial, continuamente expuesto a alérgenos, patógenos y polución. Durante un ataque de asma, los alérgenos desencadenan la producción de citoquinas como TSLP, IL-25, o IL-33, muy importantes en la patogénesis del asma, especialmente en asma alérgica. La presencia de estas citoquinas conduce a la activación de células linfoides innatas de tipo 2, que producen citoquinas de tipo 2 (IL-4, IL-5, e IL-13) y conducen al desarrollo de una inflamación dominada por linfocitos adaptativos efectores de tipo T cooperador/"helper" (TH) con fenotipo TH2.

Sin embargo, cada vez se hace más evidente que otros subtipos de linfocitos adaptativos efectores, como los TH1 o los TH17, son muy importantes en el desarrollo de diversos fenotipos asmáticos, como por ejemplo el asma neutrofílica, o en la generación de una mayor severidad. Además, aparte de los linfocitos TH, las diversas investigaciones en el campo del asma también han puesto el foco sobre otras poblaciones leucocitarias muy importantes en las etapas tempranas del asma, como los monocitos, los eosinófilos, los neutrófilos o los linfocitos T- $\gamma\delta$. Debido a la complejidad de la patogénesis asmática, en la presente tesis hemos decidido profundizar

en el estudio del papel del sistema inmune en los distintos fenotipos y severidades del asma, mediante el estudio de ciertos biomarcadores previamente relacionados con esta enfermedad: CD14, un receptor presente mayoritariamente en células del sistema inmune innato (los monocitos), y CD26/DPP4, una molécula preferentemente y diferencialmente expresada por células del sistema inmune adaptativo, los linfocitos TH: TH17>>TH2>TH1>Treg; TH memoria > TH naïve.

Por otro lado, los estudios proteómicos han supuesto un gran avance en el descubrimiento de nuevos biomarcadores. Estos estudios utilizan diferentes muestras biológicas, como biopsias de tejido, lavado broncoalveolar o esputo inducido, si bien la muestra más utilizada sigue siendo el suero o el plasma, dos hemoderivados obtenidos por procedimientos no invasivos y en la que se realizan numerosas determinaciones en los estudios clínicos rutinarios. Sin embargo, el análisis proteómico de este tipo de biofluidos representa un enorme reto tecnológico debido fundamentalmente a dos factores: la media-baja abundancia de las proteínas liberadas por los tejidos afectados por la enfermedad y el elevado rango dinámico de abundancias de proteínas. Por tanto, es necesario el desarrollo de nuevos métodos analíticos que nos permitan eliminar las proteínas altamente abundantes y acceder al denominado proteoma de media-baja abundancia, enriquecido en proteínas de bajo peso molecular y potenciales biomarcadores. Actualmente existen diversas metodologías desarrolladas con ese propósito, pero la mayoría de los estudios realizados en asma siguen detectando proteínas que pertenecen al proteoma de alta-media abundancia. Además, ninguno de los estudios proteómicos encaminados a entender esta enfermedad tenía como objetivo comparar simultáneamente distintos fenotipos asmáticos o severidades. Por tanto, la segunda parte de esta tesis tiene como objetivo el desarrollo de un método de análisis de proteínas de media-baja abundancia en suero aplicable a la búsqueda de

biomarcadores séricos en asma (aplicable a otras patologías también), así como la realización de un estudio prospectivo, no dirigido, para identificar nuevos marcadores biológicos asociados con distintos fenotipos y/o severidades de esta enfermedad. Para ello, tras probar diferentes metodologías, se ha desarrollado un protocolo que consiste en una eliminación de lipoproteínas del suero, el enriquecimiento en proteínas de media-baja abundancia mediante librerías de hexapéptidos combinatorios (CPLLs) (compresión del rango dinámico de abundancias), la generación de péptidos tripticos, su marcaje mediante reactivos iTRAQ, y el fraccionamiento, identificación y cuantificación relativa mediante cromatografía líquida en fase reversa acoplada a espectrometría de masas en tándem (LC-MS/MS).

Capítulo I

El estudio que se expone en el capítulo I tuvo como objetivo el estudio de la expresión de CD14 en la superficie de monocitos, así como el análisis de los niveles de CD14 soluble, su relación con el SNP del promotor de *CD14* (-159 C/T) y la susceptibilidad de sufrir asma alérgica. Todo esto en una población bien definida (adultos, caucásicos, bajos niveles de endotoxina) y con un tamaño muestral relativamente elevado (277 pacientes con asma alérgica y 277 controles sanos). Los resultados de este estudio demostraron un aumento del número de monocitos en pacientes con asma alérgica pocas veces descrito previamente. Sin embargo, la expresión de CD14 en la superficie de estas células, así como la concentración de CD14 soluble (normalizado por el número de monocitos) en suero estaban reducidos en los pacientes con asma. Dicha reducción podría deberse a la expansión de monocitos con fenotipo CD14⁺, probablemente de tipo “no clásico” (CD14⁺CD16⁺) y con alta capacidad de diferenciarse a macrófagos tisulares M2. Pero además, a ello se podría sumar el efecto del SNP *CD14* (-159 C/T) sobre los niveles de sCD14. De

hecho, nuestros resultados apuntan a mayores niveles de sCD14 en individuos portadores del alelo T y del genotipo TT. Además, tanto el alelo T como el genotipo TT de dicho SNP están asociados a un menor riesgo de sufrir asma alérgica. O dicho de otro modo, nuestros resultados sugieren un papel para el alelo C o el genotipo CC del SNP (-159 C/T) a la hora de generar bajos niveles de CD14 soluble y aumentar el riesgo de desarrollar asma alérgica de mayor gravedad. Los menores niveles de CD14/CD14 soluble en individuos con asma alérgica tienen sentido biológico, ya que esta molécula constituye junto con “Toll-like Receptor 4” (TLR4) un complejo responsable de la señalización del lipopolisacárido bacteriano (LPS) en monocitos/macrófagos. Dicha vía desencadena la producción de citoquinas como IL-12, promoviendo la diferenciación TH1 en detrimento de la TH2.

Finalmente, debido al aumento de monocitos circulantes detectado en pacientes con asma alérgica, durante la realización de este estudio también se analizaron los niveles de la enolasa neuronal específica (NSE), una molécula que se ha visto aumentada tras activación de eosinófilos y macrófagos en algunas enfermedades. Nuestros resultados muestran una correlación positiva entre las concentraciones séricas de esta molécula e IgE total, ambas aumentadas en pacientes con asma alérgica. De hecho, la eficiencia diagnóstica evaluada a través de los valores de “area under the curve” AUC de las curvas “Receiver Operating Characteristic” (ROC) demuestran unos valores muy similares de efectividad diagnóstica para NSE e IgE, subrayando un posible uso como biomarcador de este fenotipo asmático.

Capítulos II y III

Del mismo modo que sucede con CD14 en monocitos, CD26 es una peptidasa con actividad DPP4 que muestra una especial abundancia en linfocitos T colaboradores (TCD4⁺; sistema inmune adaptativo), que presenta una forma soluble, y que también ha sido asociada al asma por diversos autores. Los estudios llevados a cabo en los capítulos II y III tienen como objetivo profundizar en esta última asociación en relación con los distintos fenotipos asmáticos y severidades. CD26/DPP4 es una proteína “pluriempleada” (“moonlighting”), lo que hace que su papel en asma sea complejo de estudiar. Además, la práctica ausencia de estudios de revisión bibliográfica sobre las funciones de esta molécula y sus posibles consecuencias en asma impulsó el trabajo que se muestra en el anexo I de la presente tesis (Anexo I). Este proporciona una visión general y estructurada de las distintas funciones pro- y anti-inflamatorias de CD26, así como de las posibles implicaciones en la fisiopatología asmática. Estudios previos en nuestro grupo habían demostrado una mayor abundancia de CD26 en las células T efectoras que en células T reguladoras. Además, otros autores habían demostrado que los niveles de CD26 dentro de las células efectoras eran bastante variables: TH17 >> TH1 > TH2 > Treg. Con esa idea en mente, nuestro trabajo (Capítulo II) demostró por primera vez en cultivo *in vitro* un aumento de expresión de CD26 en linfocitos TH efectores humanos tras activación, especialmente en condiciones que promovían una diferenciación TH17, pero también una correlación positiva con la actividad DPP4 soluble (una medida indirecta de CD26 soluble). Por tanto, nuestros resultados sugerían que los niveles de CD26/DPP4 soluble *in vitro* estaban influenciados por el número de linfocitos T CD4⁺ así como por su grado de activación y fenotipo (TH1, TH2, o TH17), de modo que los niveles de CD26 soluble en suero podrían estar reflejando, como una “huella dactilar”, la contracción o expansión de unas subpoblaciones TH sobre

otras; dicho de otro modo, podría haber diferencias en la expresión de CD26 en los linfocitos TH y en los niveles de su isoforma soluble dependiendo del fenotipo y severidad asmáticas. Por tanto, esta hipótesis inicial fue examinada en estudios con pacientes.

En consonancia con dicha hipótesis de partida y también con los resultados de un estudio previo de 2007 publicado por Samantha Wei-Man Lun y colaboradores, la expresión de CD26 en linfocitos TH fue mayor en pacientes con asma alérgica en comparación con los controles sanos. Sin embargo, los niveles de CD26 estaban, contrariamente, reducidos en el suero de estos mismos pacientes; lo mismo ocurría con CD25 soluble, otro marcador de activación. Dado que no se observaron cambios en los porcentajes de células T reguladoras (una población CD26^{low}), estos menores niveles de CD26/CD25 solubles fueron relacionados con la expansión de una subpoblación TH efectora con fenotipo CD25^{low}/CD26^{low}/CD127^{low} (células “triple low” o Tlow) en asma alérgica. Además, dicha expansión fue confirmada en el Capítulo III, donde además se profundizó en la caracterización fenotípica de esta población. Así, se vio que las células Tlow eran células TH en un avanzado estado de diferenciación, con fenotipo “efector de memoria” (T_{EM}) o “terminalmente diferenciado” (T_{EMRA}), caracterizadas por la pérdida de marcadores de superficie como CD27, CD28, CCR7 o CD127. Por tanto, la expresión de CD26 en células TH y sus niveles en suero son indicativos sobre todo de las distintas etapas de diferenciación naïve-memoria: células de memoria central (T_{CM}, CD26^{high}), células naïve (T_N, CD26^{intermediate}) y células efectoras de memoria o terminalmente diferenciadas (T_{EM} o T_{EMRA}, CD26^{low}).

De igual forma que en pacientes con asma alérgica, también hemos visto un descenso de CD26 soluble en pacientes con asma no alérgica. Sin embargo, no se observó una expansión significativa en estos pacientes de poblaciones TH con fenotipo T_{EM}/T_{EMRA} (Tlow).

Por tanto, decidimos enfocar el análisis sobre los linfocitos T CD4⁺, que incluyen células B, NK, NKT y linfocitos T- $\gamma\delta$. Además, se analizaron no sólo los niveles de CD26, sino también los de CD126/IL-6R α , ya que IL-6 tiene un papel importante en la generación de linfocitos TH17, ambos con relevancia en asma no alérgica. En el conjunto de linfocitos T CD4⁺, se observó una elevada correlación entre la expresión de CD26 y CD126. También se documentó en pacientes con asma no alérgica un aumento del porcentaje de linfocitos T CD4⁺ en avanzado estado de diferenciación (T_{EM}/T_{EMRA}) y con fenotipo CD26⁺ o CD126⁺. Además, a pesar de no observar cambios en los porcentajes de los distintos tipos celulares dentro de los linfocitos T CD4⁺, nuestros resultados sí evidenciaron un aumento de la proporción de células T- $\gamma\delta$ con bajos niveles de CD26 en estos pacientes. Por tanto, ambos fenotipos asmáticos (asma alérgica y asma no alérgica) presentan un paralelismo en relación con la expansión de células CD26^{low} altamente diferenciadas (CD27^{low}CD28^{low}CCR7^{low}CD127^{low}) pertenecientes a diferentes linajes: TH en asma alérgica y CD4⁺ T- $\gamma\delta$ en asma no alérgica; la reducción de los niveles de CD26 solubles en ambos fenotipos asmáticos sería una “impronta” de dicha expansión en el suero de los pacientes.

La reducción de CD26 de membrana o soluble en asma, especialmente el fenotipo no alérgico, debe tenerse en cuenta a la vista del papel modulador de CD26 sobre citoquinas (p.ej., IL-3, GM-CSF) o quimioquinas (p.ej., RANTES o eotaxina); especialmente teniendo en cuenta el papel inhibitorio que dicha función tiene sobre la atracción quimiotáctica de células efectoras importantes en asma, como los linfocitos TH o T- $\gamma\delta$. Por ejemplo, eotaxina es una quimioquina muy potente en la atracción de eosinófilos y células TH2 a lugares de inflamación, cuya función se ve truncada por el corte proteolítico producido por CD26. Lo mismo pasa con SDF-1 α /CXCL12 o las

quimioquinas inducidas por IFN- γ (CXCL9-11), que son quimioatrayentes de células TH1 a lugares de inflamación. Estas funciones inhibitorias de CD26 deben sobre todo tenerse en cuenta en pacientes con diabetes tipo-II que están recibiendo o van a recibir gliptinas (inhibidores de la actividad DPP4 de CD26) y que además presentan asma (p.ej., asma no alérgica asociada a obesidad), ya que se podría agravar el estado del paciente.

El estudio expuesto en el capítulo III también mostró una reducción de los niveles de CD126/IL-6R α en monocitos, neutrófilos y linfocitos TH en pacientes con asma moderado-grave en comparación con los pacientes con asma intermitente-leve. Este resultado es indicativo de un papel de CD126/IL-6R α en la severidad del asma, posiblemente a través de un mecanismo denominado *trans*-señalización en células que son CD126⁻gp130⁺. En dicho mecanismo interviene una versión soluble de CD126, que es generada por procesos de procesamiento alternativo del mRNA o mediante proteólisis de la forma anclada a membrana. Finalmente, este estudio también sugiere un defecto funcional (no numérico) en las células T reguladoras de pacientes conforme aumenta la severidad de la enfermedad. Este defecto dependería de una menor producción neta de adenosina, un nucleósido inmunosupresor, como consecuencia de la presencia de mayores niveles de CD26 y menores de CD39 en las células T reguladoras de pacientes con asma moderado-grave comparado con las correspondientes a pacientes con asma intermitente-leve.

Capítulo IV

En la última parte de esta tesis se planteó como objetivo la identificación de proteínas de baja abundancia de suero que sirviesen como biomarcadores potenciales de fenotipos o severidad asmática. Para ello se desarrolló un método basado la eliminación de

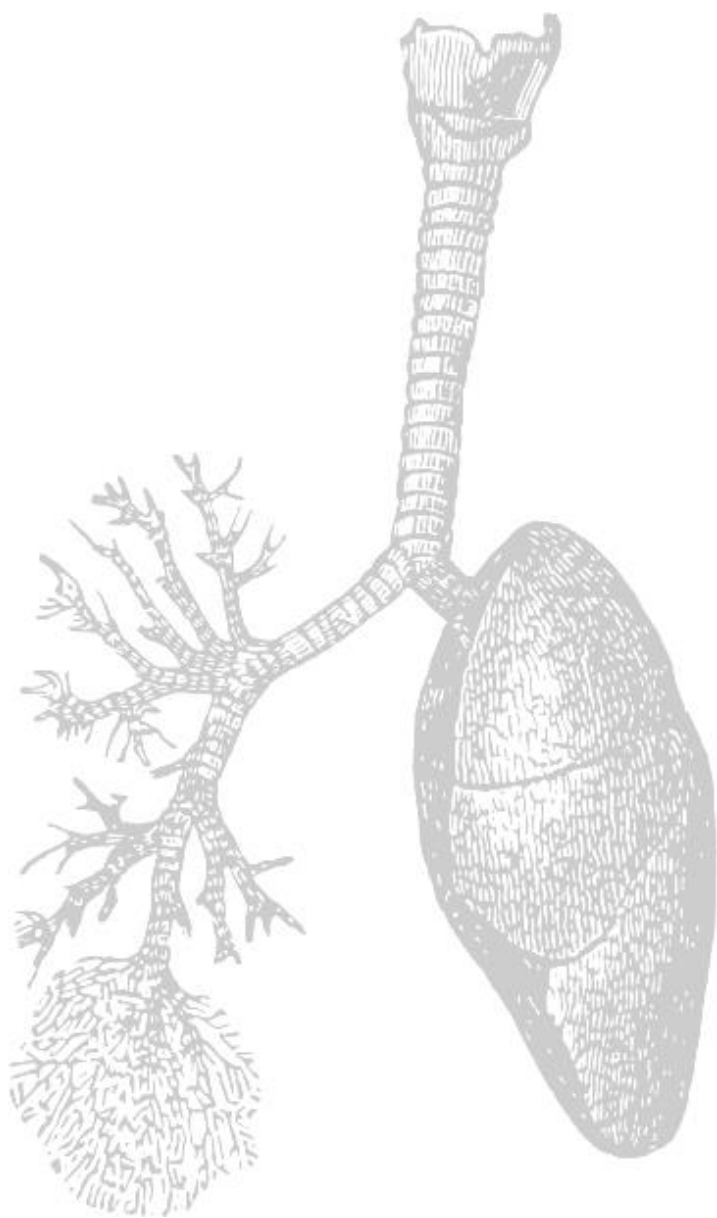
lipoproteínas del suero y el enriquecimiento de proteínas de baja abundancia mediante el uso de librerías peptídicas aleatorias (CPLLs). Posteriormente, estas muestras enriquecidas en proteínas poco abundantes fueron tripsinizadas, los péptidos fueron etiquetados mediante marcajes isobáricos (iTRAQ) y las proteínas de las distintas muestras (asma alérgica moderado-grave, asma alérgica intermitente-leve, rinitis, asma no alérgica moderado-grave, asma no alérgica intermitente-leve, sanos) fueron identificadas y cuantificadas mediante LC-MS/MS (LTQ-Orbitrap). Para poder tener en cuenta la variabilidad biológica, los sueros de cada uno de los seis grupos muestrales fueron aleatoriamente separados en dos subgrupos y combinados (“pooles biológicos”). A su vez, cada uno de esos “pooles biológicos” fue analizado por triplicado mediante LC-MS/MS. También fue empleado un control interno con el objetivo de normalizar las señales.

En conjunto, el estudio proteómico cuantitativo detectó 217 proteínas séricas, dentro de las cuales 26 mostraban una abundancia diferencial entre grupos. Los estudios funcionales (“gene ontology”, GO) han demostrado que estos biomarcadores potenciales desempeñan procesos clave en la patogénesis del asma, tales como activación del complemento, respuesta inmune, respuesta a estímulos bióticos, o respuesta inmune mediada por células B. Los estudios de enriquecimiento (Reactome), por su parte, muestran un enriquecimiento en la vía “Regulación del transporte de factores de crecimiento similares a insulina (IGFs) y captación por proteínas de unión a factores de crecimiento similares a insulina (IGFBPs)” en asma alérgica. A esta vía pertenece IGFALS, un posible biomarcador de asma alérgica (especialmente la moderado-grave) que hemos confirmado ya mediante ELISA. Otras proteínas, en cambio, están pendientes de validación, como por ejemplo HSPG2 o la proteína AMBP, cuya concentración sérica ha sido encontrada aumentada en

pacientes con asma alérgica. Por otro lado, también se han visto cambios en diversas proteínas relacionadas con la activación y regulación de todas las vías del complemento en asma no alérgica. Entre ellas cabe destacar los factores de complemento I (CFI) o H (CFH), así como la proteína MASP1; todas ellas están elevadas en el fenotipo no alérgico, y también pendientes de una posterior validación. Junto con lo anteriormente comentado sobre las células T- $\gamma\delta$, el papel de los factores del complemento habla nuevamente de las implicaciones del sistema inmune innato en el asma no alérgica. Ello asemeja a esta enfermedad a una patología autoinmune y explica, a su vez, su edad más tardía de aparición y la mayor incidencia en mujeres. Por tanto, estos resultados preliminares muestran cambios en un grupo de proteínas séricas que podrían ser útiles para diferenciar diferentes fenotipos asmáticos, servir para pronosticar la severidad de la enfermedad, o ser dianas de futuras terapias dirigidas.







INTRODUCTION



1. ASTHMA DEFINITION

Asthma is a chronic respiratory disease characterized by reversible expiratory airflow limitation associated with mucous cell hyperplasia, bronchial/airway hyperresponsiveness (AHR), airway remodelling with fibrosis, higher vascular permeability, airway edema and inflammatory cell infiltration into the airway such as CD4⁺ T cells (TH cells), regulatory T cells (Treg), mast cells, and eosinophils. Asthma symptoms include wheezing, coughing, shortness of breath, and chest tightness [1-4].

2. EPIDEMIOLOGY OF ASTHMA

Nowadays, there are millions of people with asthma over the world, and its prevalence is increasing. Global Burden of Disease Study Collaborators in 2015 has estimated that asthma prevalence was increased by 12.6% from 1990 to 2015, having more than 358 million patients in 2015 [5]. Moreover, ISAAC Phase Three study noticed that 11.7% of 6-7-years age children and 14.1% of 13-14-years age children had asthma [6].

Asthma is more frequent and severe in boys than girls under the age of 18 years. After this age, both prevalence and severity increase significantly in women leading to a shift in the prevalence pattern [7, 8], as studies in both European Union (EU) [9] and United States (US) [8, 10] have shown.

Data from 28 countries of EU reflect that 5 out of 78 million children (0-14 years old) and 16 out of 204 million adults (15-44 years old) had asthma in 2010. From these subjects, there were 167,000 hospital admissions per year of children and 82,000 of adults because of asthma. Furthermore, 40 children and 380 adults (<44 years old)

passed away due to asthma in the EU (Figure 1) [11]. On the other hand, the overall prevalence of this disease in the US increased from 7.3% in 2001 to 8.4% in 2010, with 25.7 million asthmatics: 18.7 million were adults, and 7 million were children [12]. The Centers for Disease Control and Prevention of US (CDC) evidenced more than 1.9 million medical visits and almost 500,000 hospitalizations due to asthma in 2009, more than 17 million work days lost per year, and extremely high economic costs; the US spend an annual amount of 56 million dollars due to asthma [13]. Overall, these data reflect the high relevance of studying asthma for Health Care Systems of different countries all over the globe.

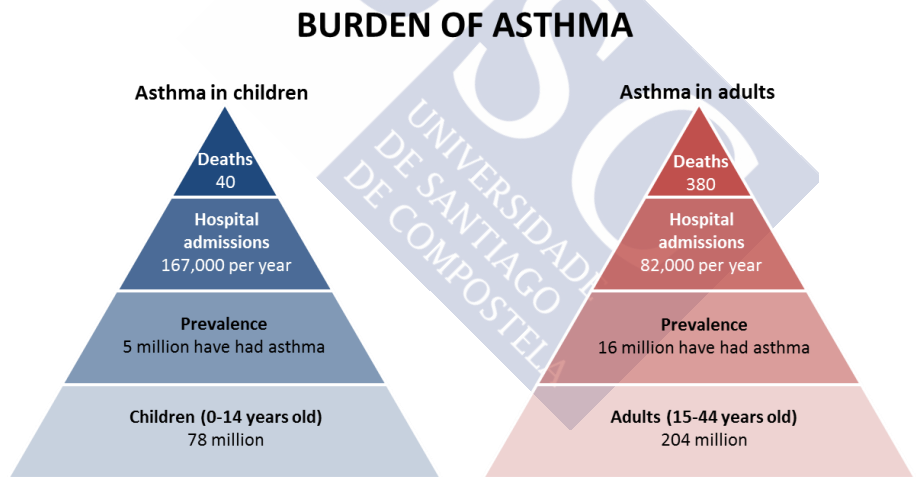


Figure 1. The burden of asthma in the European Union (EU) in 2010. Data from ERS, EUROPEAN LUNG *white book*, <https://www.erswhitebook.org/> [Accessed 2019-02-15].

3. RISK FACTORS FOR ASTHMA

3.1. Genetic factors implicated in asthma

Asthma is a complex disease. As such, many genetic and environmental factors confer susceptibility and increased severity to this pathology. In the last years, a huge amount of genetic studies was developed in order to unravel the genetic basis of asthma. Most of them were genome-wide association studies (GWAS) (Figure 2), which have reported a wide variety of genes associated with asthma (e.g., *IL-6R*, *RAD50-IL-13*, *DENND1B*, *HLA-DQ*, *IL-33*, *TSLP*, *SMAD3*, *PDE4B*, *IL-1RL1*, *IL-18R1*, *IL-2RB*, *PDE4D*, *LRRC32*, *ORMDL3-GSDMB*, *PDCD4*, *PDE11A*, or *DPP10*) [14-17]. Many of these genes encode proteins with immunological functions (e.g., the IL-1 cytokine family and its associated receptors, IL-2RB, LRRC32/GARP, IL-6R, IL-33, TSLP, HLA-DQ, or SMAD3) [14-17].

Besides GWAS, other genes such as *ADAM33*, *HLA-G*, *PLAUR*, *PCDH1*, and *NPSR1* were found to be associated with asthma through positional cloning studies [18]. In addition, other single nucleotide polymorphisms (SNP) located in genes with immunological functions have been connected to asthma, with both protective and negative roles. These genes encode proteins such as IL-1 β [19, 20], IL-17a [21], IL-23R [22], IL-13 [23], IL-4 [24], or CD14 [25]. Most of these genes have only weak effects on asthma, but the overall evidence available suggests a strong polygenic component for this disease.

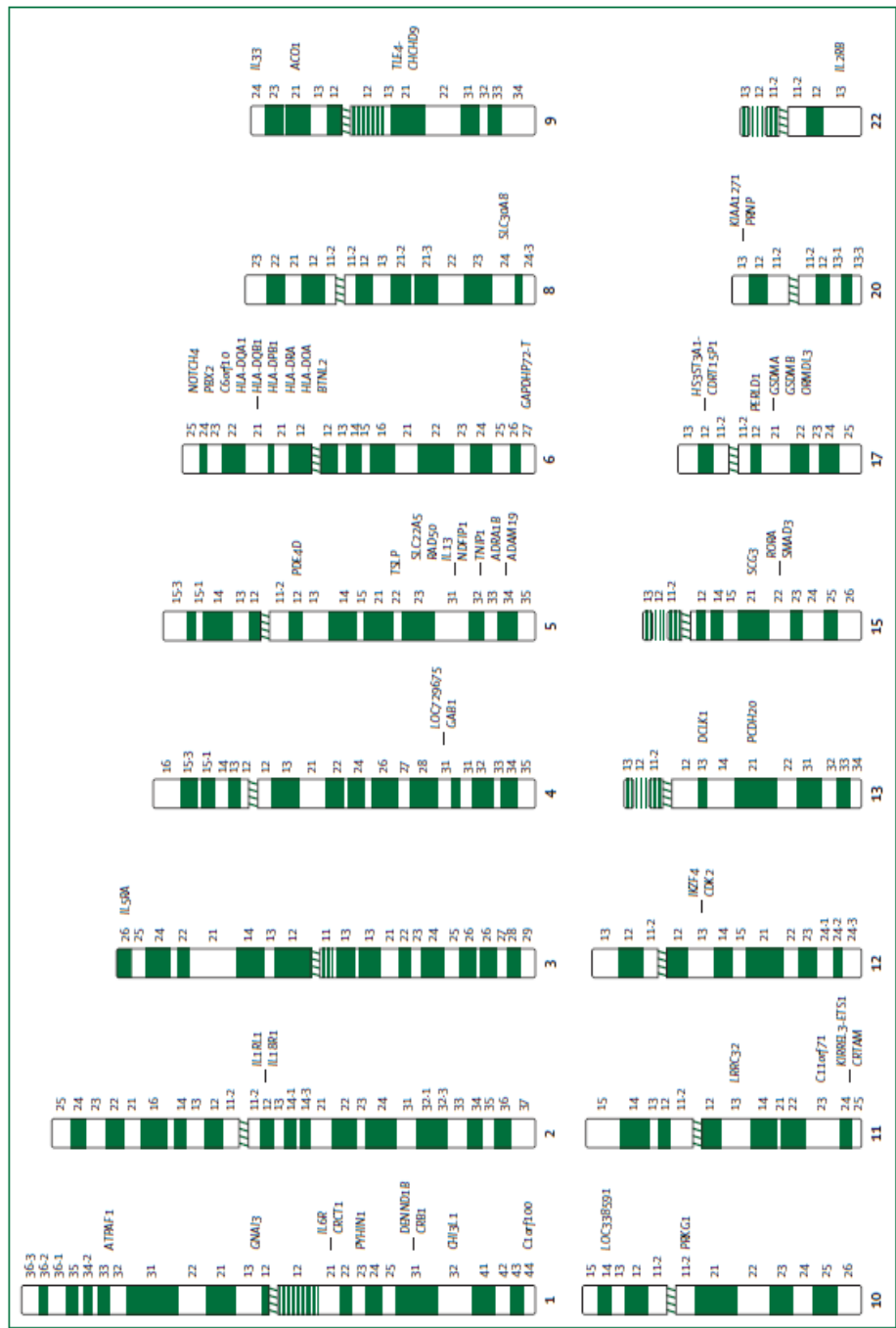


Figure 2. Genes associated to asthma by genome-wide association studies (GWAS). "Reprinted from The Lancet, Vol. 382, Martinez FD, Vercelli D, Asthma, 1360-72, Copyright (2013), with permission from Elsevier."

3.2. Environmental factors implicated in asthma

Besides genetic factors, environmental factors are also involved in the development and severity of asthma. There are a handful of environmental factors found to raise the risk of developing asthma. These factors include damp housing/mould and inhaled allergens (e.g., house dust mite/HDM, pets, pollens), smoke from different sources (e.g., tobacco, industrial combustion/incinerators, fireworks, bonfires), inhaled chemicals derived from domestic or outdoor sources (e.g., air pollution), dietary exposures (e.g., maternal and child diet, breast feeding), medications (e.g., antibiotics, paracetamol), or exposure to allergic triggers linked to vacuuming or air-modification systems (e.g., air conditioning, humidifiers).

However, one of the most important environmental factors implicated in asthma is microbial exposure during childhood (i.e., “hygiene hypothesis”) [26, 27]. Some epidemiological studies show that the decreased exposure to environmental microbes in early life is associated with augmented risk to asthma and allergy later in life. Indeed, children growing up on farms with animals displayed a lower risk for asthma than those living in the countryside in the bosom of a family not doing farming [26]. The same happened in the case of babies fed with breast milk as compared to those being formula-fed, [28], or in children exposed to other children at home (large families) compared to smaller family entities [29]. There are also some studies proving the association between the presence of pets in early childhood and the decreased risk of allergen sensitization late in life [30]. Moreover, the protection from asthma development could begin even before birth, as maternal exposure to a farming environment also protects children from asthma [31, 32].

Recently, it has been shown that the bronchial tree is not sterile. Thus, more than two thousand bacterial genomes per cm^2 can

be found in samples from the left upper lobe of the lung [33]. Interestingly, asthma susceptibility has also been associated with changes in the colonization of lower airways by microbes. Indeed, some works have shown that asthmatics displayed a higher predominance of Proteobacteria (a phylum of gram-negative bacteria) than subjects without asthma, where Bacteroidetes (another phylum of gram-negative bacteria) are more frequent [1, 33, 34].

There is a disagreement about the nature of the underlying mechanism that explains the higher relative abundance of phylum Proteobacteria in asthmatics, but it is broadly accepted that the immune system is implicated. Microbes produce substances such as LPS, flagellin, or lipoteichoic acid. These exogenous and highly conserved molecules are collectively called pathogen-associated molecular patterns (PAMPs). PAMPs are recognized by pattern recognition receptors (PRRs), which are membrane-bound (e.g., Toll-like receptors/TLRs) or cytoplasmic (e.g., NOD-like receptors, RIG-I-like receptors) receptors mainly expressed by innate immune cells (e.g., monocytes, macrophages, dendritic cells, neutrophils, eosinophils, epithelial cells) [35]. PRRs also identify damage-associated molecular patterns (DAMPs) or alarmins, another subset of molecules released this time by host cells during inflammation or when they are damaged or dead. Activation of PRRs leads to innate cells to release soluble factors (e.g., cytokines, chemokines) and express co-stimulatory molecules, which in turn unchain the adaptive immune response.

CD14 is a co-receptor that allows the detection of bacterial LPS along with LPS binding protein (LBP), TLR4 and MD2. Triggering of CD14/TLR4 leads to the release of IL-12/IL-18 by monocyte/macrophages, two cytokines that favour the TH1 differentiation, in detriment of the TH2-mediated adaptive responses [36-38]. This shift in the TH1-TH2 axis was the classical explanation

of the hygiene hypothesis. However, certain helminthic infections (e.g., Schistosomiasis) trigger TH2-type immune responses and prevent asthma [39]. Moreover, TH1 cells could even increase the pathogenicity of TH2 cells, which makes it difficult to explain the hygiene effect through a simple TH1-TH2 cell counterbalance. Nowadays, it is believed that there is a loss of Treg cells in subjects living in “hygiene environments”, which turns into increased TH2 cell immunity and higher susceptibility to develop asthma/allergy [39, 40]. CD14/TLR4 is at the crossroads where naïve lymphocytes have to make the decision between TH1 and TH2 effector responses so that polymorphisms in these genes could impact seriously to asthma development or severity [41].

4. INNATE IMMUNITY IN ASTHMA

The innate immunity is the first line of defence against pathogens. This is an immediate and relatively non-specific response in which different cellular populations and soluble factors are implicated. Between them, we are going to put special emphasis on the role of airway epithelial cells (AECs), innate lymphoid cells (ILCs), $\gamma\delta$ -T lymphocytes, and monocytes in asthma.

4.1. Airway epithelial cells (AECs) and innate lymphoid cells (ILCs) in asthma.

The first cellular component implicated in the pathogenesis of asthma is the airway epithelial barrier. AECs are continuously exposed to different pollutants, particulate matter, allergens and pathogens. PAMPs from microorganisms (e.g., LPS) and enzymes derived from pathogens or allergens (e.g., dust mite allergen Der p1) interact with

AECs through PRRs (e.g., TLRs) and protease-activated receptors, respectively. This interaction unleashes the potential of epithelial cells to produce a set of cytokines and chemokines implicated in asthma pathogenesis [42, 43], whose best examples are TSLP (thymic stromal lymphopoietin), IL-25 and IL-33. PRRs activation by DAMPs or protease-activated receptors cleavage by endogenous proteinases (e.g., thrombin, plasmin, kallikreins, matrix metalloproteinases, elastases) play a complementary role in AECs activation.

As we state above, the genes encoding both IL-33 and its receptor (ST2/IL-1R1L) are asthma susceptibility genes [17, 44, 45]. IL-33 is a member of the IL-1 superfamily of cytokines, but it is also considered an alarmin or DAMP; i.e. an intracellular molecule released in response to cell injury which is discharged to extracellular space after cell damage (necrosis or trauma) [46]. IL-33 is constitutively expressed by alveolar type-II pneumocytes, and its expression is enhanced upon airway inflammation [47, 48], particularly in allergic asthma (AA) [49, 50]. Additionally, macrophages are both the source and target of this cytokine [51]. IL-33 is involved in the response to both helminths and viral infection [52, 53], through its binding to its receptor (ST2/IL-1R1L). ST2 is expressed by a myriad of immune cells, including mast cells, basophils, eosinophils, dendritic cells, and ILCs [51]. Binding of IL-33 to transmembrane ST2 triggers different signalling routes. For example, IL-33 induces the activation of the NF- κ B pathway in human mast cells stimulating the release of different cytokines (e.g., GM-CSF, TNF- α , IL-1 β , IL-6, IL-8, or IL-13) and chemokines (e.g., CXCL8, CCL1) [54].

Similarly, TSLP is a cytokine encoded by a gene associated with a higher risk of AA [17]. TSLP (IL-2 family of cytokines), as well as IL-25 (IL-17 family of cytokines; IL-17E), are released by AECs in response to allergens (e.g., HDM) that possess protease

activity and are capable of activating protease-activated receptors like PAR2 [55]. Both cytokines display increased levels in asthmatic patients [56, 57]. TSLP is critical for the maintenance of allergic airway inflammation through inducing TH2-type cytokines production, boosting TH9 cells differentiation, inhibiting Treg function, and activating basophils/mast cells [43, 58-60]. Moreover, rhinovirus infection boost levels of TSLP in a mouse model of AA, underlying the potential role of this cytokine in asthma exacerbation [61]. IL-25, for its part, is mainly associated with eosinophils activation. However, it is worth highlighting that the heterodimeric receptor of this cytokine (IL-17RA/IL-17RB) is expressed in many immune cell types such as antigen presenting cells (APCs), invariant natural killer T cells (iNKT), AECs, and ILC2/nuocytes [43]. Altogether, IL-25, TSLP, and particularly IL-33 are responsible for the early allergic response in asthma, driving the activation of ILC2 cells [43, 52, 55].

Upon activation by IL-25, TSLP and IL-33, these ILC2 cells become one of the major producers of IL-5 and IL-13 [62], two cytokines with a pivotal role in the induction and maintenance of AA [63]. Recently, several works in both mice and the human system gave support to an important role of this subset in asthma. These studies have shown that activated ILC2 cells not only produce IL-5 and IL-13, but also IL-3, IL-4, IL-6, IL-8, or GM-CSF [reviewed in 62]. Moreover, this function of ILC2 cells is enabled by human mast cells via prostaglandin D2 [64-66]. Therefore, ILC2 lymphocytes might be a bridge between the innate and the adaptive immune system.

Apart from ILC2 cells, other innate immune cells are becoming more relevant for asthma pathogenesis, especially in the light of asthma heterogeneity and the presence of different disease phenotypes, such as non-allergic asthma (NAA). For example, alternative ILCs subsets such as natural killer (NK) cells, ILC1, or

ILC3 cells could be relevant to asthma. Both NK and ILC1 cells have been linked to the pathogenesis of this disorder [67, 68], but more interesting is the role of IL-17-producing ILC3 cells in obesity-related asthma [69]. However, more studies are necessary to ascertain the possible roles of ILC1 and ILC3 in this disease.

4.2. Monocytes in asthma pathogenesis

Monocytes represent about 10% of circulating leukocytes and their half-life is around 3 days in human blood [70]. These cells are “circulating macrophages” that can turn into tissular inflammatory cells also capable of generating IL-33. This is illustrated in mouse models, where circulating monocytes are recruited to the lung upon HDM-induction to become active producers of IL-33 [71].

Human blood monocytes have been subdivided into three discrete subpopulations based on the surface expression of CD14 and CD16: “classical” $CD14^{++}CD16^{-}$ cells, representing ~ 90% of circulating monocytes; “non-classical” $CD14^{+}CD16^{++}$ monocytes; and “intermediate” $CD14^{++}CD16^{+}$ monocytes [72-74]. However, the last subset is considered a transitional subpopulation more than a true subtype [75]. There is an increase in maturity from classical monocytes, which display an anti-apoptotic and proliferative state, to non-classical subsets, having an anti-proliferative and apoptotic state [75].

$CD14^{++}CD16^{-}$ classical monocytes respond to LPS via TLR2 and TLR4, producing reactive oxygen species and a set of cytokines and chemokines (e.g., IL-6, IL-8 or CCL-3) [76]. Mukherjee *et al.* have shown that these cells display high levels of CD36 and CD163 (scavenger receptors), which evidence the phagocytic nature of this subset [76]. Additionally, intermediate monocytes and non-classical

monocytes are characterized by a high co-stimulatory ability, as they express CD80 and CD86 [77]. Non-classical monocytes respond to nucleic acids (damaged cells) and viruses via TLR7 and TLR8, secreting TNF- α and IL-1 β [76] and they are also increased during sepsis [77].

All types of monocytes are able to differentiate into monocyte-derived macrophages or dendritic cells. Indeed, non-classical monocytes display high levels of CD11a and CTS1, which allow them to infiltrate and differentiate in M2-type macrophages [78]. Macrophage-derived monocytes have both pro-inflammatory and anti-inflammatory roles. M1 macrophages or classically-activated macrophages are mainly pro-inflammatory cells which release cytokines and chemokines such as IL-1 β , IFN- γ , IL-8, IP-10, IL-23, TNF- α , or RANTES after pathogen infection. These cytokines are potent inducers of TH1 and TH17-driven inflammation [79], which is important in non-allergic lung inflammation. Moreover, higher levels of M1-type macrophages have been described in less severe AA compared to severe allergic disease, highlighting their possible anti-allergic role [80]. On the other hand, M2 macrophages consist of four major groups of cells: M2a cells, which secrete IL-4 and IL-13; M2b cells, which secrete pro-inflammatory (IL-6, IL-1 β , and TNF) and anti-inflammatory (IL-10 and TGF- β) cytokines; M2c cells, mainly IL-10 producers and Treg cell-inducers; and M2d cells (Figure 3) [80]. M2a-type macrophages have been related to AA in several works. They are IL-13 producers, a cytokine implicated in mucus production, tissue remodelling, and fibrosis that drives the characteristic TH2-type inflammation in AA [81]. These cells are also IL-33 producers (see above) [82], and they express a wide variety of chemokines (CCL-17, CCL-18, CCL-22, and CCL-24), which attract eosinophils and TH2 cells to the inflamed tissue [80, 83].

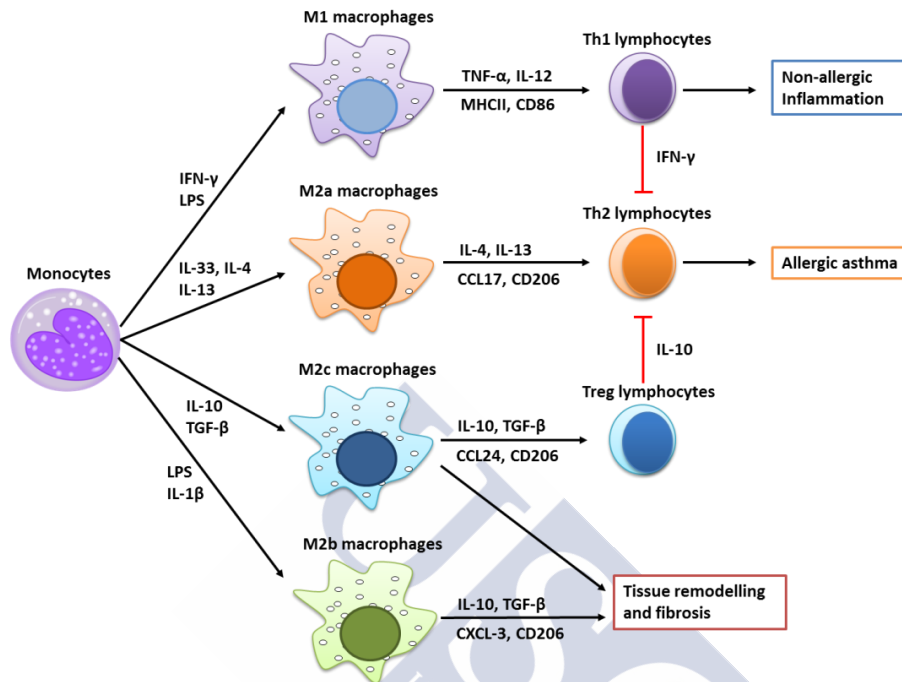


Figure 3. Schematic representation of subtypes of monocyte-derived macrophages during inflammation. In the presence of a different stimulus, monocytes can be differentiated in M2a, M2b, M2c, and M2d cells, which have either proinflammatory or anti-inflammatory roles in asthma through the secretion of different cytokines and chemokines.

4.2.1. CD14 and asthma

As commented above, some pathogens display several types of PAMPs, such as LPS in gram-negative bacteria or lipoteichoic acid in gram-positive. These molecules are recognized by PRRs, which are localized on innate immune cells such as monocytes or macrophages. [35]. There are different types of PRRs but the most studied are the TLRs. There are 10 types of TLRs (TLR1 to 10) in humans, each of them capable of recognizing different pathogen components. For

example, TLR2 recognizes microbial lipopeptides, whereas TLR3 recognizes dsRNA from the viral genome [84].

TLR4 forms part of the receptor for bacterial LPS and is mainly expressed by monocytes, macrophages and dendritic cells [84, 85]. LBP captures LPS monomers and transfers them to CD14, a co-receptor and a susceptibility gene for asthma and allergy [86, 87]. LPS is then delivered to the complex MD2/TLR4, which form a hexamer complex (two LPS/TLR4/MD2). Although there are MyD88-independent TLR-4 signalling pathways, the main route begins with the sequential recruitment of two adaptor proteins: TIRAP (toll-interleukin 1 receptor domain containing adaptor protein) and MyD88 (Myeloid differentiation primary response 88) [85, 88, 89]. Then, IRAK kinases (IRAK4 and IRAK1 or 2) are recruited and phosphorylated, allowing the binding of the ubiquitin protein ligase TRAF6 and the subsequent recruitment of TAK1 and IKK complex. Finally, both MAP kinases and early NF- κ B pathways are activated (Figure 4) [90-92].

The hexamer complex (TLR4-MD2-LPS)₂ can be internalized via endosomes, which induces a signal transduction pathway dependent on the recruitment of TRIF/TRAM. This pathway ends up with the activation of NF- κ B and IRF3 (Figure 4) [91]. Finally, all these signal transduction pathways promote the expression of genes encoding TH1 and TH17-type cytokines and chemokines, such as type-I Interferon, IL-12, IL-1, IL-6, TNF- α , IL-8, or RANTES [90-92]. This set of cytokines drives the induction of a TH1 and TH17-type inflammation, in detriment of a TH2-type polarization. Indeed, MyD88-deficient mice had a compromised activation of antigen-specific TH1 cells, but not TH2 [93].

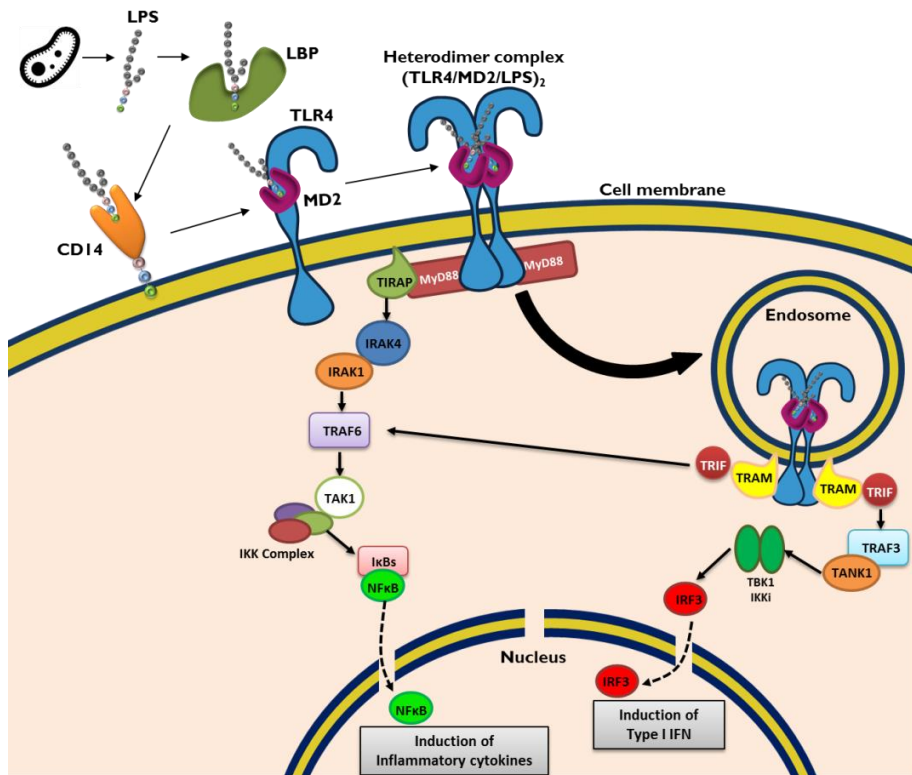


Figure 4. TLR4-CD14 signalling pathway. The formation of the heterodimer complex (TLR4/MD2/LPS)₂ triggers two sequential signalling pathways. The first of them starts with the recruitment of MyD88 and TIRAP and finish with the early-phase activation of NFκB, its translocation to the nucleus and the induction of inflammatory cytokines such as IL-12 or IL18. Secondly, the TLR4/MD2/LPS-hexamers complex can be internalized into endosomes, where through the recruitment of TRAM and TRIF, leads to the activation of late-phase NFκB, and thus, the induction of type I IFN.

In addition, membrane-anchored CD14 (mCD14) facilitates the response of TLRs (TLR2, TLR3, TLR4) to other PAMPs (e.g., viral nucleic acids and components from Gram-positive and negative

bacteria) or DAMPs (e.g., endogenous molecules like ceramide, urate crystals, or amyloid peptides) [94]. Hence, changes in the abundance or defects in the function of CD14 (e.g., genetic factors like SNPs) could be relevant for the development of allergen-specific TH2 cells and AA pathogenesis.

4.2.1.1. Soluble CD14 (sCD14) and asthma

mCD14 is attached to the cell surface by means of a glycosphosphatidylinositol anchorage, but this protein is also detectable as a soluble form (sCD14) in plasma. sCD14 is released by a controlled mechanism (e.g., LPS, CpG, TNF- α) involving either enzymatic cleavage (e.g., elastase, phosphatidylinositol phospholipase C) or direct secretion by exocytosis [94-96]. sCD14 can display opposite functions. On the one hand, sCD14 can mimic the function of the mCD14 by transferring LPS from LBP to TLR4; therefore sCD14 potentiates the induction of type-1 or type-17 proinflammatory cytokines by endotoxin [96]. In addition, sCD14 qualifies CD14-negative cells (e.g., endothelial or epithelial cells) to respond to LPS [97]. Reversely, sCD14 can act as a scavenger receptor transferring LPS to plasma lipoproteins and preventing the activation of TLR4-NF- κ B pathway [98].

sCD14 is released from monocytes upon stimulation with TLR ligands (e.g., LPS, CpG, flagellin) or pro-inflammatory cytokines (e.g., IL-6 or IL-1 β) [38]. Moreover, plasma sCD14 also behaves as a positive acute phase protein (APP+). This means that sCD14 levels increase during infection, inflammation or upon exposure of hepatocytes to IL-6 [94]. Hence, sCD14 can be considered a non-specific monocyte activation marker [38]. sCD14 features two serum isoforms, with 49 and 55 kDa, and is also cleaved in plasma by proteases like cathepsin D to yield 13 kDa N-terminal

fragments named sCD14 subtype (sCD14-ST) or presepsin, a sepsis marker like CRP or procalcitonin [99].

sCD14 has been widely studied in asthma. As expected for an acute phase protein, children with asthma exacerbations show higher sCD14 levels than in the recovery phase [100, 101]. In the same line, adult patients with asthma displayed augmented levels of sCD14 compared to healthy controls [102], and the amount of sCD14 in bronchoalveolar lavage fluid increased after allergen exposure [103]. In contrast, some works have shown unchanged sCD14 levels in asthmatic children compared to healthy subjects [95, 101]. Additionally, releasing of mCD14 is decreased by TH2 cytokines (IL-4 and IL-13) [104, 105]. This could explain the negative correlation between sCD14 levels and the production of IgE, IL-4, or asthma severity [106, 107]. Hence, these different results could rely on the presence of confounding factors such as SNPs.

4.2.1.2. *CD14* (-159 C/T) SNP and asthma

SNPs in the *CD14* gene are one of the modulation factors for CD14 expression and function so that they are risk factors for asthma development or severity [108]. In 1999, Baldini *et al.* described the first SNP of the *CD14* gene: rs2569190 [106]. This SNP is localized in the 5q31.1 chromosome region (*CD14* promoter), which has been associated with asthma and atopy in GWAS [86]. Since those landmark studies, other sets of *CD14* SNPs have been described, but most of them non-related to asthma [101].

rs2569190 is described as a C-to-T transition in the position -159, upstream of the transcription start site of the *CD14* gene [106]. Several works, including the work of Baldini *et al.*, showed an increment of asthma susceptibility and lower sCD14 levels in subjects carrying the C allele and the CC genotype in the *CD14* C-159T SNP,

maybe due to a lower transcriptional activity [36, 109, 110]. Moreover, T allele seems to be protective and associated with low IgE levels and less atopy and asthma [101, 111, 112].

In contrast, other studies have shown no association between this *CD14* C-159T SNP and asthma or atopy, or even a protective role for the C allele [41, 87, 108, 113-115]. According to different authors, there are several factors that could explain these different outcomes: racial/ethnic origin (Caucasian, African or Asiatic population), age of the study population (early childhood vs. adulthood asthma), population stratification, statistical power, linkage disequilibrium/haplotypes, gene-gene interactions, or gene-environment interplay (e.g., levels of endotoxin in dust and degree of CpG island methylation) [41, 87, 101, 108, 111-118]. In addition, the majority of these studies have focused on sCD14 and almost none have measured mCD14 or both variables at the same time. Therefore, it is necessary to perform new studies that address the measurement of both mCD14 and sCD14 parameters with high sample size and a well-defined set of patients (according to age or ethnicity).

4.3. The role of $\gamma\delta$ -T lymphocytes in asthma pathogenesis

$\gamma\delta$ -T cells account for the 5-10% of human peripheral blood lymphocytes [119], but they are more abundant in mucosal and epithelial surfaces, within a group of cells named intraepithelial lymphocytes. These lymphocytes are at the frontier between innate and adaptive cells. Thus, $\gamma\delta$ -T cells allow rapid responses to different challenges, but they also rearrange the T-cell receptor (TCR) genes and can develop a long-lived memory phenotype [120]. $\gamma\delta$ -T lymphocytes are typically subdivided into two major subpopulations based on the expression of the variable TCR- δ region: V δ 2⁻ and V δ 2⁺.

V δ 2⁻ cells constitute a heterogeneous subset (mainly V δ 1⁺, and less likely V δ 3⁺ or V δ 5⁺) that can be found in mucosal tissues, such as gut or lung [121, 122]. These resident cells are mostly CD8⁺ and express a $\gamma\delta$ -TCR with broad reactivity, which is a little bit more restricted during adulthood after different microbial encounters. This TCR works as a PRR to respond to stress signals from epithelial cells (e.g., heat shock proteins, annexin A2) [123]. Besides, V δ 2⁻ T cells have both IFN- γ -secretion and cytotoxic capacities; i.e., they show a TH1/cytotoxic T cell (TC)1-like phenotype [124].

On the other hand, up to 90% of peripheral blood $\gamma\delta$ -T cells express V δ 2 TCR, normally in combination with the V γ 9 region to create a semi-invariant receptor (natural or innate-like cells). V δ 2⁺ T lymphocytes respond to molecules derived from invading microbes such as prenyl pyrophosphate metabolites (e.g., (E)-4-hydroxy-3-methyl-but2-enyl pyrophosphate), which are presented by butyrophilins [125]. These unconventional T cells can be recruited from blood to inflamed tissues [125], and display phagocytic, professional antigen-presenting, and cross-presentation capacities [126, 127]. V δ 2⁺ T cells are responsible for the response against viruses and other intracellular pathogens, although their responses are non-restricted by major histocompatibility complex (MHC) [121, 122, 128, 129]. Peripheral blood $\gamma\delta$ -T cells could be also differentiated according to which type of cytokine they produce after antigen stimulation: i.e., IL-4, IFN- γ , or IL-17. Therefore, circulating $\gamma\delta$ -T cells mirror the adaptive T helper subsets (TH1, TH2 and TH17) [130-132]. Indeed, asthmatic patients display decreased levels of IFN- γ -producing cells and enhanced numbers of IL-4-secreting $\gamma\delta$ -T lymphocytes compared to healthy subjects [133]. Moreover, $\gamma\delta$ -T lymphocytes have been described as early producers of IL-17 [134].

Similar to it happens in the adaptive immunity, these innate lymphocytes (both V δ 2⁻ and V δ 2⁺) can be subdivided into several

subpopulations according to their naïve-memory status: naïve T cells (T_N ; $CD45RA^+CD27^+$; lymph nodes homing), central-memory T cells (T_{CM} ; $CD45RA^-CD27^+$; lymph nodes homing), effector-memory T cells (T_{EM} ; $CD45RA^-CD27^-$; peripheral blood) and terminally-differentiated effector T cells (T_{EMRA} ; $CD45RA^+CD27^-$; sites of inflammation) [119, 135, 136]. Most of the peripheral blood ($V\gamma 9/V\delta 2$) $\gamma\delta$ -T cells are T_{CM} or T_{EM} cells. In contrast, T_{EMRA} lymphocytes ($CD27^-CD45RA^+$) are almost absent in circulation (less than 7% of peripheral blood $\gamma\delta$ -T cells), whereas they are abundant on inflammatory sites [119, 135, 136]. This latter subset has no expression of CCR7 and CD62L, displays high levels of chemokine receptors (CCR5 and CXCR3) and cytotoxic molecules (perforin and granulysin), and is not able to proliferate [135].

$\gamma\delta$ -T cells have been widely studied in tumours and autoimmune diseases, tuberculosis, malaria, and other viral and bacterial infections [reviewed in 137]. However, only a few studies have been performed in asthma, most of them a long time ago. From studies in mice, Tamura-Yamashita *et al.* have observed that $\gamma\delta$ -T lymphocytes are critical for late asthmatic responses [138]. In the human system, some works have reported decreased numbers of $\gamma\delta$ -T lymphocytes in peripheral blood from allergic asthmatics compared to healthy controls [119, 133, 139-141]. In contrast, others have found an increased proportion of $\gamma\delta$ -T cells in bronchoalveolar lavage fluid (BALF) or induced sputum (IS) from asthmatics vs. healthy individuals [142, 143]; these expanded $\gamma\delta$ -T cells in BALF primarily belong to the $V\delta 1^+$ subset. In contrast, other works with BALF [144] or bronchial biopsies (BB) [145] found no difference in the number of $\gamma\delta$ -T cells in allergic asthmatics. However, despite all the knowledge accumulated over the years, almost no data exist regarding the possible implications of this subset in different asthma phenotypes

(e.g., AA vs. NAA) or the abundance of different memory $\gamma\delta$ -T subsets in this complex disease.

5. ADAPTIVE IMMUNE SYSTEM IN ASTHMA

Contrary to the innate immune system, the adaptive or acquired immunity is highly antigen-specific and adaptable. The specificity and diversity of adaptive cells come from the existence of genetic recombination (V-D-J recombination) of antigen receptor genes (TCR and B cell receptor/BCR), as well as somatic hypermutation (BCR). Moreover, this antigen specificity is inherited by all progeny of that cell by a process called clonal selection. After the first contact of antigen with one of these pre-existing antigen-specific clones, adaptive cells are activated, proliferate, and generate a huge number of cells with the same antigen specificity; this process is called clonal expansion. Furthermore, adaptive immunity is also characterized by the generation of long-lived memory cells, which are responsible for the secondary immune response against the same antigen, a faster and quantitatively more intense immune response.

Lymphocytes are in charge of adaptive immunity. These cells are subdivided into B and T cells. B lymphocytes secrete antibodies capable of recognizing and neutralizing microbes or toxins by inducing the activation of the complement system or pathogen-phagocytosis. T lymphocytes consist of different subsets that can be segregated according to their phenotype and specialized function [146]:

- **CD4⁺ or TH cells.** Upon MHC-II-dependent antigenic peptide presentation by APCs, T_N cells initiate the activation programme, proliferate, and differentiate into different TH subsets according to the array of cytokines present in the

extracellular milieu. TH lymphocyte subsets (effector T cells/Teff or Treg cells) release cytokines that either activate or inhibit innate or adaptive leukocytes (target cells). Teff lymphocytes can be segregated in three major subpopulations according to the expression of transcription factors, surface receptors, and cytokines. These specialized Teff subsets have been named TH1, TH2, and TH17 lymphocytes. TH1 cells (T-bet⁺, IFN- γ ⁺) are highly effective in the defence against intracellular pathogens (e.g., bacteria, viruses, protozoa), and has been related to autoimmunity diseases. TH2 cells (GATA3⁺, IL-4⁺, IL-5⁺, IL-9⁺, IL-13⁺) have been linked to allergic diseases and the protection against helminths and venoms. Finally, TH17 cells (ROR γ T⁺, IL-17⁺) have been related to autoimmunity (as TH1 cells) but also in the defence against other extracellular pathogens, such as bacteria or fungi.

- **CD8⁺ or TC cells.** They recognize antigenic peptides presented by MHC class I molecules, which induces the differentiation of naïve CD8⁺ T lymphocytes into effector TC cells. The main function of these TC cells is to kill infected cells by inducing cytotoxicity. However, in an analogous way to TH cells, TC effector subsets can produce cytokines and be differentiated into TC1, TC2 or TC17 cells.

All of these lymphocyte subsets have been implicated in asthma immunopathology. For example, B cells are well-known cell-mediators of AA pathogenesis by means of the production of antigen-specific IgE [147, 148]. Studies on the role of CD8⁺ T cells in asthma have received relatively little attention and yielded contradictory results. For example, transcriptome analysis of circulating CD8⁺ T cells in patients with severe asthma shows an activated status of these cells [149]. Moreover, it has been reported that certain CD8⁺ T cell subpopulations (TC2) produce type 2 cytokines (IL-4 and IL-5) in the

airways [150, 151] and show a strong association with asthma disease severity [151]. Furthermore, it has also been detected in peripheral blood of asthmatics the expansion of a subset of circulating effector memory IL-6R α /CD126^{high} CD8⁺ T cells that produce TH2 cytokines [152]. However, most of the studies in asthma have been focused on CD4⁺ T cells, especially TH2 cells and AA.

5.1. The role of TH2 cells in asthma

TH2 cells have been considered since a long time ago major players in AA. GATA3 and STAT6 are key transcriptions factors for the development of TH2 cells, and their effector type-2 cytokines (IL-4, IL-5, IL-9, IL-13, and IL-25) are important for humoral immune responses, defence against extracellular parasites (e.g., helminths), and AA pathogenesis.

IL-4 is cytokine produced, amongst others, by basophils and ILC2 cells, essential for proliferation, survival, and differentiation of T_N cells to TH2 lymphocytes [153]. This interleukin induces B-cell proliferation, B-cell class switching to IgE synthesis, and plasma cell differentiation [154]. Therefore, IL-4 plays an important role in the early allergic phase. This cytokine acts via the IL-4R α , which can be in complex with the common cytokine receptor γ -chain (IL-2R γ c; type-I IL-4 receptor) or with the IL-13R α 1 (type-II IL-4 receptor [154, 155]. The last one is also a receptor for IL-13, which shares some functions with IL-4.

However, IL-13 binds to another receptor with higher affinity, IL-13R α 2 [155]. Compared to IL-4, IL-13 is a late-acting (effector phase) pro-inflammatory cytokine that induces mucus production, AHR, and lung fibrosis. Indeed, blockade of IL-13 prevents and reverses mucus secretion [156]. IL-13 is responsible for the transition

of Clara cells (also known as bronchiolar exocrine cells) to goblet cells (metaplasia), whose main role is to secrete a group of glycoproteins called mucins capable of attracting water molecules [157, 158]. IL-13 also leads to goblet cell hyperplasia (cell proliferation) and induces the expression of several genes related to mucus hypersecretion: MUC5AC, MAPK13, GABAA-R, or TMEM16A [159-162]. When the amount and speed involved in mucus production are excessive, this generates a thick secretion in the airways that cannot be easily removed by cilia or cough, driving to an airflow obstruction (together with AHR) characteristic of asthma. Different studies in mice have reported that IL-13 could affect directly to AECs or/and smooth muscle cells to produce AHR [163, 164]. Moreover, the induction of MUC5AC or certain contractile mediators such as nitric oxide by IL-13 appears to contribute to the development of AHR in asthmatics [165, 166]. Another function of IL-13 is the stimulation of myofibroblasts proliferation and their recruitment to airway tissues, leading sub-epithelial fibrosis [167].

IL-5, for its part, promotes the maturation, differentiation, and survival of eosinophils. Moreover, this cytokine act as a chemokine for this leukocyte subset and enhances its effector function [168]. Indeed, the overexpression of IL-5 in mice induces the expansion of eosinophils both in bone marrow and peripheral blood [168, 169], whereas the absence of IL-5R α abolishes the eosinophilia and AHR compared to wild-type (WT) mice [168, 170]. In addition, some studies have noticed reduced eosinophils influx to the lung epithelium and less AHR after the use of anti-IL-5 antibodies [168]. Recruitment of eosinophils and TH2 cells to lung epithelium drives the late-phase allergic response in asthma due to the release of effector mediators such as the major basic protein, eosinophil-derived neurotoxin or peroxidase [171, 172].

5.2. Participation of other effector TH subsets in asthma: TH1 and TH17 lymphocytes

TH2 cells have been the most studied CD4⁺ T subset in asthma over time, particularly in the allergic phenotype. However, other TH subpopulations display important roles in the pathology of this complex disease, such as TH1 or TH17 cells. As we have stated above (see section 3.2), both TH2 and TH1 cells were believed to have opposite roles promoting or inhibiting asthma, respectively.

TH1 cells differentiate from T_N lymphocytes in response to IL-12 (an APC-derived cytokine). This subset is characterized by the expression of the transcription factors T-bet and STAT4, and the secretion of IFN- γ and other effector cytokines such as IL-2, TNF, or LT- α [146]. TH1 cells are responsible for the protection against viruses and other intracellular pathogens [146]. The first studies made on the role of TH1 lymphocytes in asthma described this subset as protective. For example, children living on farms and exposed to high endotoxin (LPS) levels were found to be less predisposed to asthma or allergies. Indeed, LPS exposure by means of CD14-TLR4 drives the differentiation towards TH1- instead of TH2-type responses, as stated above. Furthermore, IL-12 prevents the production of IL-4 and inhibits the development of TH2 cells, downmodulating AA inflammation [37]. However, a potential proinflammatory role for TH1 cells in asthma is now emerging. Indeed, some viruses like human rhinoviruses induce the secretion of IL-33 and TSLP, which also trigger TH1 responses and are responsible for acute asthma attacks [173]. Additionally, adults with treatment-refractory severe asthma have increased levels of TH1 cells in their lungs, highlighting the possible role of this subset on the severity of this disorder [174].

TH17 keep mucosal barriers fit and facilitate pathogen clearance at mucosal surfaces [175]. In the presence of IL-1 β , IL-6, and IL-23, T_N cells differentiate into TH17 lymphocytes; TGF- β also appears to play a role in TH17 differentiation [146]. Through its interaction with IL-6R (gp130 + IL-6R α /CD126), IL-6 induces the up-regulation of retinoic acid receptor-related orphan receptor gamma (ROR- γ t). ROR- γ t and ROR- α t are two transcription factors that drive the development of TH17 cells [176-178]. Moreover, IL-6 can also interact with soluble IL-6R α , activating CD126⁻gp130⁺ cells [179, 180]. Indeed, this process, also known as *trans*-signalling, is important for the maintenance of several inflammatory diseases such as asthma [181-184]. Apart from ROR- γ t, TH17 lymphocytes can be distinguished by the expression of another transcription factor (STAT3) and the surface receptors CCR6 and IL23R. TH17 cells carry out many of their activities by releasing several effector cytokines from the IL-17- (IL-17A, IL-17F), the IL-10- (IL-22), and the IL-12-family (IL-23) [185, 186].

Some IL-17 polymorphisms have been associated with higher asthma risk, like for instance IL-17A rs4711998(A/G), IL-17F rs1889570(C/T), and IL-17A rs3819024(A/G) [187]. IL-17 has been related to airway diseases due to it is directly connected with the production of pro-inflammatory (e.g., IL-8) and pro-fibrotic cytokines (e.g., IL-6, IL-11), as well as matrix metalloproteases from inflammatory cells (e.g., eosinophils), AECs, and fibroblasts [188-190]. These pro-inflammatory cytokines promote lung inflammation by means of the recruitment of macrophages and neutrophils [191, 192]. Additionally, Chang *et al.* described that IL17-A, IL-17F, and IL-22 can induce airway smooth muscle cells proliferation and therefore promote airway remodelling [193].

Several works have been suggested a possible role of TH17 cells in asthma pathogenesis [194]. For example, the absence of either IL-17A or IL-17F is enough to suppress AA induced by HDM in mice [195]. Both IL-17 and neutrophils levels are augmented in asthmatic subjects with higher body mass index [196], highlighting the role of IL-17 in worse control in obese adults with asthma [69]. On the other hand, Zao *et al.* reported an increase in both the percentage of TH17 cells and the production of TH17-derived cytokines (IL-17 and IL-25) in peripheral blood from patients with AA and in activated peripheral blood mononuclear cells (PBMCs) from allergic asthmatics compared to healthy controls. Moreover, they also evidenced higher levels of both IL-17 and IL-22 cytokines as disease severity increases (i.e. Controls < Mild asthma < Moderate asthma < Severe asthma), highlighting the possible implication of this subset in asthma severity [197, 198]. Furthermore, TH17 cells have also been implicated in steroid-resistant asthma [199]. Glucocorticoids have been able to induce BIM (Bcl-2 interacting mediator), a pro-apoptotic protein which antagonizes Bcl-2. The predominance of BIM over Bcl-2 induces the activation of BAX, and the subsequent activation of caspases, leading to apoptosis [200, 201]. However, contrary to TH1 lymphocytes, TH17 cells express high levels of Bcl-2, which makes this subset insensitive to glucocorticoids. Indeed, short hairpin RNA (shRNA) mediated silencing of *Bcl-2* gene in TH17 cells makes them sensitive to glucocorticoid-induced apoptosis [202]. Furthermore, TH17 cells were augmented in the lungs of a murine model of severe asthma [202].

5.3. Other TH subsets implicated in asthma pathogenesis: TH9, TH22 and Tfh lymphocytes

At least 3 more TH cell subsets have implications in asthma pathogenesis: TH9, TH22 and follicular helper T (Tfh) cells. TH9 lymphocytes differentiate from T_N cells when IL-4 and TGF- β are present in the media. Both STAT6 and PU.1 are transcription factors important for the development of TH9 cells; they also express GATA3, but to a lesser extent than TH2 cells. Apart from IL-10 and IL-21, TH9 cells are a major source of IL-9, a cytokine which gives them their name. IL-9 has several relevant effects in AA pathogenesis [203]. For example, this interleukin induces the production of histamine, proteases and cytokines from mast cells, promotes TH2-differentiation and eosinophils development, induces IgE class-switching in B cells, and favours bronchoconstriction, goblet cell metaplasia, and mucus production [203, 204]. In addition to TH17 cells, TH9 lymphocytes are resistant to glucocorticoids and can be mediators of steroid-resistant asthma [205].

In the presence of IL-6 and TNF- α , T_N cells can differentiate into TH22, which are characterized by the secretion of IL-22 and the expression of CCR6, CCR4, and CCR10 [206]. The function of this cytokine is controversial, as it has been implicated in both anti-inflammatory and pro-inflammatory processes [207]. Indeed, some works have described higher levels of IL-22 in serum from asthmatics compared to healthy individuals [207], or in severe asthma compared to moderate disease [207-209]. In contrast, other *in vitro* or *in vivo* studies suggest that IL-22 have a protective role in the late-phase of allergic induced airway inflammation by decreasing eosinophils levels, IL-5, IL-13, and CXCL10 [207].

Tfh cells are another subset of TH cells important for the maintenance of germinal centres and the generation of long-lived

plasma cells and memory B cell responses [210]. These cells display a high dependency of IL-2 for its generation and are identified by the high expression of ICOS, PD-1, and CXCR5, whose mRNA has been found augmented in asthmatics compared to healthy subjects [211]. The ligand of CXCR5, CXCL13, is produced by follicular stromal cells and helps T_H cell to find the way to germinal centres (B-cell follicles), where somatic hypermutation of BCR and T-B cells interaction leading to class switching and B-cell differentiation takes place [212]. B-cell lymphoma 6 protein (Bcl-6) is a transcription factor expressed by T_H cells that suppresses the expression of transcription factors involved in the differentiation of other TH subsets (e.g., TH1, TH2, TH17) [213]. Moreover, T_H cells release IL-21, which is also increased in asthmatic lungs [211]. Therefore, more studies are needed to clarify the function of the different TH lymphocyte subsets, their relationship and their implication in asthma pathogenesis.

5.4. The role of regulatory T cells (Treg) in asthma

The above-mentioned effector functions of human TH lymphocytes are counteracted by Treg cells [214, 215]. There are two major types of Treg cells, with different biological functions: natural Treg cells (nTregs) and induced Treg cells (iTregs). The first subtype is responsible for tolerance to self-antigens. These FoxP3⁺CD25⁺CD304/Neuropilin-1⁺ nTreg cells are generated in the thymus, where they manage to escape the negative selection. Lineage-specific transcription factors like FoxP3, Helios, or RUNX are important regulators of the development of nTregs and their immunosuppressive functions [215, 216]. In contrast, iTregs are generated in the periphery from other TH subsets under tolerogenic conditions: i.e., strong signalling via TCR, low co-stimulation, and

high levels of TGF- β and retinoic acid [215]. iTregs appear to control immune responses to “non-self” antigens (e.g., allergens, commensal microbiota, diet), and are classically divided into three major subtypes: FoxP3⁺ iTregs, IL-10⁺ Tr1 cells, and TGF- β ⁺ TH3 cells [217]. Loss of iTreg cells leads to allergic-type-2 inflammation at mucosal interfaces (for example, in the lung) [218].

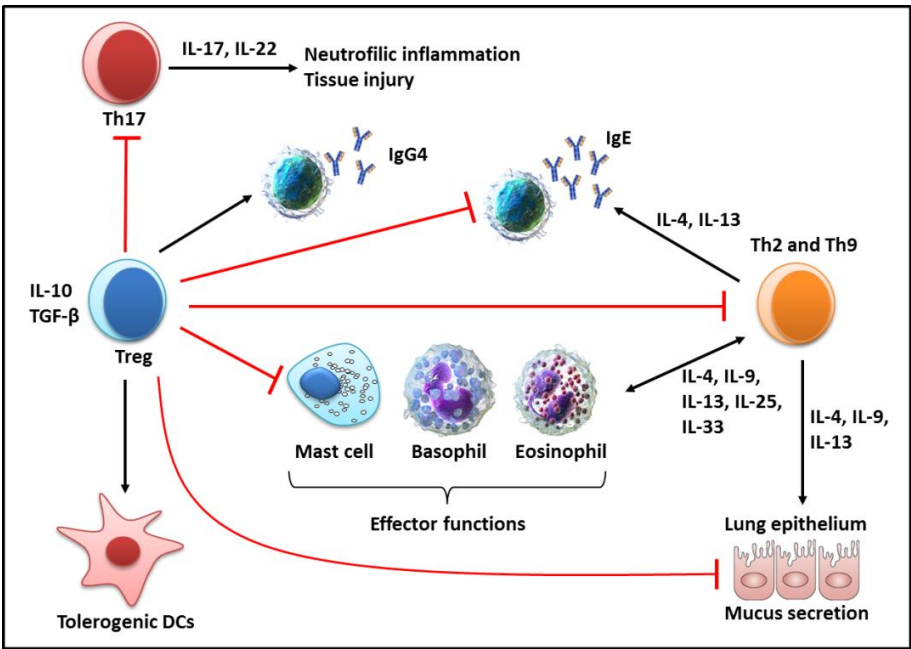


Figure 5. Schematic representation of the multitude of regulatory T cells (Treg) functions implicated in asthma pathogenesis. Treg cells control the effector functions of several innate system mediators such as mast cells, basophils, and eosinophils, as well as TH subsets from the adaptive immune system. They also control immunoglobulin (Ig) class switching through the induction of IgG4 production in detriment of IgE production. Stimulatory functions are represented by a solid arrow, whereas inhibitory signals are represented by blunt arrows.

Treg cells can be distinguished from Teff cells according to the levels of CD25 (IL-2R α) and CD127 (IL-7R α). Thus, contrary to Teff

cells ($CD25^{low}CD127^{high}$), most of Treg lymphocytes display high levels of CD25 and low levels of CD127 ($CD25^{high}CD127^{low}$) [219]. CD25 is considered an activation marker released by TH lymphocytes during inflammation in the form of soluble CD25 (sCD25) [220-222]. Indeed, sCD25 is augmented in BALF of asthmatics compared to healthy subjects [221, 222]. Moreover, sCD25 is increased in serum during asthma exacerbation [223] and has been correlated with AA severity [224]. On the other hand, CD127 is a subunit of both IL-7 and TSLP receptors [225]. Together with IL-15, IL-7 is cytokine important for homeostatic survival of TH cells, and therefore CD127 is also a marker for naïve and certain memory subpopulations (stem-cell memory T cells/ T_{SCM} and T_{CM}). Other markers expressed on Treg cells, like CTLA-4 or GITR, are also up-regulated upon activation [215]. Therefore, this means that the Treg phenotype displays characteristics of activated and T_{EM} cells (see below).

Treg cells play an important role as negative regulators of inflammatory disorders and tissue repair [215]. This function requires signalling via TCR and IL-2R, and is exercised by means of multiple cell-contact dependent or independent mechanisms involving soluble (e.g., adenosine, granzyme, TGF- β , IL-10, and IL-35) or membrane-associated (e.g., CTLA-4, CD39, CD73, CD25, perforin) molecules [215]. Treg lymphocytes deprive the environment of IL-2, reduce the activation status of dendritic and myeloid cells, and restraint Teff functions by directly inhibit (or killing) TH1, TH2, and TH17 cells. Moreover, this Treg subset switches immunoglobulin isotypes from IgE to IgG4 in B cells and prevents the activation and migration through the airway epithelium of mast cells, eosinophils, and basophils (Figure 5) [1, 217]. Therefore, a functional defect in Treg cells or a change in the effector/regulatory equilibrium may be important in asthma pathogenesis [214]. Indeed, the percentage of IL-4 producing cells was augmented in allergic subjects, whereas IL-10-

producing Treg cells were decreased in allergic individuals (in contrast, they were the dominant allergen-specific subset in healthy subjects) [226]. In the same line, children with asthma display low levels of Treg cells in BALF compared to control subjects. Furthermore, contrary to $CD4^+CD25^{high}$ T cells isolated from control subjects, Treg cells from asthmatic subjects fail to suppress proliferation and TH2-cytokine production by responder T cells [227].

5.5. Naïve-memory differentiation of T cells and asthma

As stated above, the adaptive immune system is characterized by the generation of long-lived memory cells, even though it has been found recently that ILC2 cells, NK cells, or monocytes display an ‘innate memory’ or “trained immunity”; i.e., a long-term improvement of the function of innate immune cells after infection or vaccination due to epigenetic causes [228].

Following Ag encounter, T_N cells proliferate and differentiate into cells with different phenotypes and functions following a linear model (Figure 6). These six subsets of T cells (T_N , stem cell memory T cells/ T_{SCM} , T_{CM} , transitional memory T cells, T_{EM} , and T_{EMRA}) can be characterized according to combinations of 4 markers: CD45RA or CD45RO, CD62L or CCR7, CD27 or CD28, and CD95 (Figure 6) [229]. T_N cells display the highest expression of CD45RA and no expression of CD45RO, two splicing variants of the *CD45* gene. CD45RO define memory T cells, whereas T_{EMRA} cells re-express the high molecular weight protein CD45RA. CD62L (L-selectin) and CCR7 allow T cells to migrate to secondary lymphoid tissues. Thus, these two molecules are highly expressed in T_N , T_{SCM} and T_{CM} cells. T_{SCM} cells retain stem cell properties and they share some genes with T_N cells, but they display high expression of CD95 (as the other

memory subsets). Finally, both CD27 and CD28 are co-stimulatory molecules which are lost in the last differentiation steps (T_{EM} and T_{EMRA}) (Figure 6) [229]. Both T_{EM} and T_{CM} cells can be distinguished according to the expression levels of chemokine receptors. For example, CXCR3 is mostly expressed on TH1 cells, CCR4 on TH2 cells, and CCR6 on TH17 cells [230, 231]. Most of the chemokine receptors (CCR5, CCR6, CCR10, and CRTh2) increase from less differentiated subsets (T_N) to more differentiated subpopulations (T_{EM}), which allow them to migrate into inflamed tissues such as the asthmatic lung. T_{EMRA} cells lost almost all chemokine receptors (except CCR5) as they do not need to migrate. Both T_{EM} and T_{EMRA} cells secrete different inflammatory mediators into inflamed tissues such as IL-4, IL-13 or IFN- γ (CD4⁺ T cells) or cytotoxic molecules such as granzymes A/B or perforin (CD8⁺ T cells) [229].

In addition to the previously mentioned naïve-memory T cells, it has been shown that in chronic airway inflammation, some memory T cells can permanently reside into airways. These cells are called tissue-resident memory T cells, they do not circulate, and they have a protective role against pathogens in mucosal tissues because of their localization and their elevated activation status (high expression of CD69 and CD25) [232].

In 2002, Mojtavavi *et al.* described the existence of long-lived TH2 memory cells in a mouse model of chronic AA (ovalbumin/OVA-induced). These mice present a quick development of IgE production, TH2-cytokines expression, eosinophilic inflammation, lymphocytic lung infiltration, mucus overproduction, and airway hyperresponsiveness (in some cases after more than 400 days from the acute disease) [233]. After these initial studies, the number of works about memory T cells in asthma has increased, especially in chronic airway inflammation [234, 235]. It has been described in both mouse and human that the presence in the lower

airway of memory T cells within CD4⁺ lymphocytes, which are ready to respond against allergens, is necessary for the recurrence of inflammatory episodes in asthma [234-238]. However, less is known about which specific subsets of memory T cells are responsible for chronic asthma, maybe due to the different phenotypes of T cells involved in asthma pathogenesis and pathophysiology. Therefore, new studies are needed focusing on which T cell subpopulations, especially within the memory T cell subset, are associated with the different phenotypes of asthmatic patients during a chronic state of the disease.

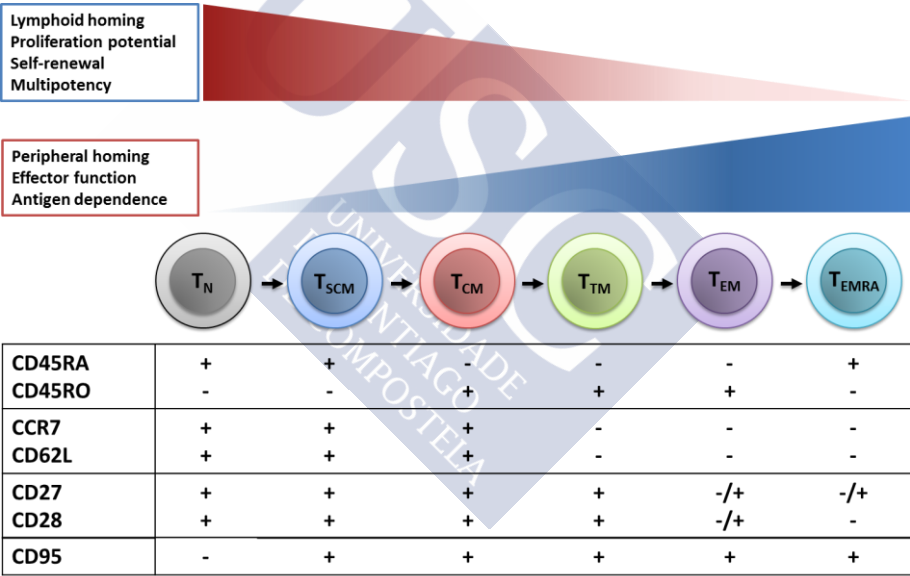


Figure 6. Naïve-memory differentiation of T cells. T cells differentiate from naïve (T_N) to terminally-differentiated effector subsets (T_{EMRA}) following a sequential model in which T_N cells progressively lost lymph node homing capacity and multipotency properties to acquire effector functions and antigen dependence. The expression of 4 molecules (CD45RA or RO, CCR7 or CD62L, CD27 or CD28, and CD95) allows differentiating cells from different stages. T_{CM}, central-memory T cells; T_{EM}, effector-memory T cells; T_{SCM}, stem-cell memory T cells; T_{TM}, transitional-memory T cells.

5.6. S9B serine proteases subfamily and asthma: CD26

CD26/Dipeptidyl peptidase (DPP) 4 is a prolyl-oligopeptidase that belongs to the S9B subfamily of serine proteases. This subfamily consists of several peptidases (e.g., DPP4, DPP8, DPP9, and fibroblast activation protein/FAP/Seprase), some of whose encoding genes are located on chromosome 2: *FAP*, *DPP4* and *DPP10*.

DPP10 gene is considered an asthma susceptibility gene and a biomarker for aspirin-exacerbated respiratory disease [15, 239, 240]. Like DPP8 and DPP9, two intracellular active S9B proteases [241], DPP10 is mainly located on lung trachea and bronchi [242]. However, DPP10 is an extracellular protein with no serine-protease activity [243]. Therefore, the mode of action of DPP10 could be rather different from other members of the S9B subfamily and include the regulation of voltage-gated potassium channels [244].

CD26/DPP4, the major member of the S9B family, is a receptor for the Middle-East respiratory syndrome coronavirus [245]. This S9B protease is characterised by the presence of soluble (sCD26) and membrane forms, both of which have been found elevated in asthma, highlighting a possible role of CD26/DPP4 in the pathogenesis of this disease [246, 247]. CD26 is widely expressed in the whole organism [248] but is especially abundant in certain locations such as the lung parenchyma [247, 242]. Moreover, CD26 levels, as well as its DPP4 activity, are increased in rat lungs after allergen exposure [242], while the type-2 cytokine IL-13 promotes the upregulation of CD26 in AECs [249]. Several immune cells also display CD26 on their surface (e.g., B and NK cells, monocytes, granulocytes), but the highest expression is accounted in peripheral blood T cells [250, 251], particularly CD4⁺ TH cells. Furthermore, the expression of this protein on T cells is highly up-regulated upon activation [251, 252], and fine-tuned by soluble molecules like

cytokines (e.g., IL-12, IL-4, TGF- β 1) [253-256] or nucleosides (e.g., adenosine) [257], either increasing or down-modulating it. Indeed, CD26 was described by our group in 2012 as a negative selection marker for human Treg cells [258], whose abundance on Teff lymphocytes also appears to be rather variable: TH17>>TH1>TH2>Treg [259, 260].

In addition, CD26 levels are up-regulated upon acquisition of a memory phenotype by T lymphocytes [251, 252] and might define the stages of naïve-memory differentiation in CD4⁺ and CD8⁺ T subpopulations. Thus, T_N (CD45RA⁺, CD62L⁺, CCR7⁺, CD28⁺) are characterized by intermediate levels of CD26, memory T cells (CD45RO⁺, CD62L^{+/-}, CCR7^{+/-}, CD28⁺) show the highest expression of this serine protease, whereas T_{EMRA} cells (CD45RA^{+/-}, CD62L⁻, CCR7⁻, CD28⁻) display the lowest levels of CD26 [261, 262].

CD26/DPP4 displays both co-stimulatory and inhibitory roles [243, 251], which could be relevant for asthma pathogenesis. Co-stimulation due to CD26 could be dependent on DPP4 activity [251, 252] and its association with molecules such as CD45 [263], adenosine deaminase (ADA) [264], CXCR4 [265], caveolin-1 [266], plasminogen II [267], collagen [268], fibronectin III [269], or glypican-3 [270]. For instance, *cis*-interaction of CD26 with CD45 on lipid rafts promotes the tyrosine phosphorylation of several signalling molecules such as ZAP-70, p56Lck, or TCR- ζ , boosting TCR signalling [263, 271]. As well, CD26 induces the up-regulation of B7.2/CD86 (a pro-inflammatory molecule) in APCs [266, 272], or the activation and nuclear translocation of NF- κ B in T cells [273], upon its *trans*-interaction with caveolin-1 in lipid rafts [266, 272].

Without excluding enzyme-independent actions, many inhibitory functions of CD26 rely upon DPP4 activity, which clips N-terminal dipeptides with proline or alanine in the second position from

some cytokines (e.g., IL-3, G-CSF, or GM-CSF), chemokines (e.g., eotaxin/CCL11, RANTES/CCL5, SDF-1 α /CXCL12, MDC/CCL22, I-TAC, IP-10), or other soluble mediators (e.g., incretins, glucagon, peptide YY, bradykinin, vasoactive intestinal peptide, or neuropeptides like substance P) [243, 248, 251, 274].

An example to illustrate this inhibitory function of CD26/DPP4 is eotaxin. This chemokine is produced by monocytes and endothelial cells in response to TNF- α and IFN- γ , respectively. As its name suggests, eotaxin is an important chemokine in AA though its role in the attraction of CCR3⁺ leukocytes to the lung: eosinophils, basophils, mast cells, and TH2 lymphocytes [275-278]. Truncation of eotaxin by CD26 induces CCR3-desensitization and reduces its chemotactic activity [278-280]. Indeed, the administration of DPP4 inhibitors enhances the mobilization of eosinophils *in vivo* [280]. Moreover, CD26-KO and OVA-challenged mice display higher levels of eotaxin and stronger infiltration of eosinophils into the lungs than WT mice [281].

Another soluble factor attracting monocytes, eosinophils, and T cells to inflammatory sites is RANTES. This chemokine acts through CCR1, CCR3 (TH2 cells), and preferentially CCR5 (TH1 cells) [282], but RANTES cleavage by CD26 results in the loss of the capacity to bind CCR1 and CCR3, but not CCR5 [283]. As CCR5 is mostly expressed on TH1 and T_{EM} cells [146, 284], the cleavage of RANTES favours their attraction toward inflammatory sites [283, 285]. Therefore, through the enzymatic cleavage of different chemokines, it seems that CD26 acts through a homeostatic mechanism to down-modulate airways inflammation mediated by TH cells, eosinophils and other inflammatory mediators.

A soluble version of CD26 (sCD26) can be found in many biological fluids (e.g., plasma, serum, BALF, synovial fluid) [286].

DPP4 activity in these biofluids is not only derived from sCD26 molecules, but also from alternative proteins such as attractin, DPP8, or DPP9. Indeed, some studies reported a low correlation between sCD26 and DPP4 activity [286-288]. In contrast, other authors have found a positive correlation between sCD26 and circulating DPP4 activity [289, 290]. CD26 molecules could be released from cells by classical exocytosis or by a process mediated by “secretases”/“sheddases” [291]. Indeed, sCD26 is released into the circulation by adipocytes, endothelial cells (e.g. lung), or epithelial cells from liver or kidney [292]. However, immune cells are perhaps the most likely source for sCD26, especially CD4⁺ T lymphocytes [286, 293, 294]. As stated above, CD4⁺ T lymphocytes are particularly enriched in CD26 molecules, and this is further enhanced in adults with AA, suggesting the activated status of these cells [246]. Thus, the concentration of sCD26 in plasma might be influenced by the number of lymphocytes [295] and/or mirror the phenotype of circulating TH lymphocytes in a pathological situation such as asthma.

CD26 has been related to asthma pathogenesis in several studies performed with experimental models of this disease and with human samples. The first studies evaluating the role of CD26 in asthma were performed in OVA-challenged F344 rats. The authors of these works reported that a loss of CD26/DPP4 resulted in a protective effect in experimental asthma, with reduced eosinophils and T cell recruitment into the BALF, and decreased airway inflammation and IgE levels. These findings were partly explained by increased activity and influx of FoxP3⁺ Treg cells into the airways [296, 297]. However, rat Treg cells display a CD26⁺ phenotype [298, 299], in contrast to the above commented CD26^{-/low} phenotype in humans [258]. In addition, it has been shown that the outcome in experimental models of asthma in rats could be totally different according to the genetic background of the animals [300], or the dose, route, or timing of DPP4-inhibitor

administration [301]. Moreover, a model of OVA-induced experimental asthma in CD26^{-/-} mice showed increased levels of IL-4, IL-5, and IL-13 in BALF, higher circulating levels of eosinophils, enhanced levels of eotaxin and RANTES, as well as augmented expression of TH2 chemokine receptors (CCR3 and CCR5), compared to WT mice, supporting that CD26/DPP4 could play a “braking” role in experimental asthma [281].

From studies in the human system, Lun *et al.* have shown higher levels of CD26 on total lymphocytes, CD4⁺ T cells, and iNKT lymphocytes, as well as higher concentrations of sCD26 in adult allergic asthmatics [246]. Moreover, the TH2-cytokine IL-13 has been identified as an important inducer of CD26/DPP4 expression in bronchial epithelial cells [302]. However, other works have shown no changes of sCD26 in asthma [303]. These results could be explained because of many potential confounders: low sample size, male/female proportion, age, or lymphocyte count. For instance, is well-known that both CD26 expression on lymphocytes and circulating sCD26 levels are higher in men than women [303, 304]. Therefore, more studies are needed to assess the relationship between CD26 and asthma, as well as to extend this area of research to different asthma phenotypes (or endotypes) and severity degrees.

****For more information see appendix I.**

6. ASTHMA PHENOTYPES AND ENDOTYPES

Asthma is a heterogeneous disease that groups different clinical phenotypes or “*observable properties of an organism that are produced by the interaction of the genotype and the environment*”,

(Merriam-Webster's Collegiate Dictionary (© 2019 Merriam-Webster, Incorporated 2019)). Moreover, below this first outer and visible layer of complexity lies a second one, named asthma endotypes, which represent the pathophysiologic and molecular mechanisms that trigger and make this disease worse [2]. However, this connection between specific asthma phenotypes and the underlying pathological features is elusive [2]. Therefore, most of the research is focused on the search for a variety of biomarkers that clearly define the different asthma phenotypes and/or endotypes. Those works are intended to develop new biological drugs, necessary for personalized/precision medicine in asthma and respiratory diseases [45, 305, 306].

The most extended classification of asthma amongst clinicians relies on the presence or absence of allergic triggers: AA and NAA (Figure 7). AA is the most common phenotype (~ 60%) [307]. It is characterized by the presence of allergic sensitization, which is defined by the presence of allergen-specific IgE molecules and a positive skin prick test against common environmental allergens. Compared to the adult onset of NAA, AA often starts in childhood. Moreover, the prevalence of AA is higher in males, whereas non-allergic asthmatics are mostly women. Additionally, AA patients frequently respond well to inhaled corticosteroid (ICS) treatment compared to NAA patients, which are usually more heterogeneous regarding the underlying inflammatory pattern (neutrophilic or paucygranulocytic inflammation) [4, 308, 309]. However, this classification of asthma according to the kind of trigger is an oversimplification, as other asthma phenotypes apart from AA and NAA have been defined by the Asthma Phenotypes Task Force: aspirin-exacerbated respiratory disease, infection-induced asthma, and exercise-induced asthma [307].

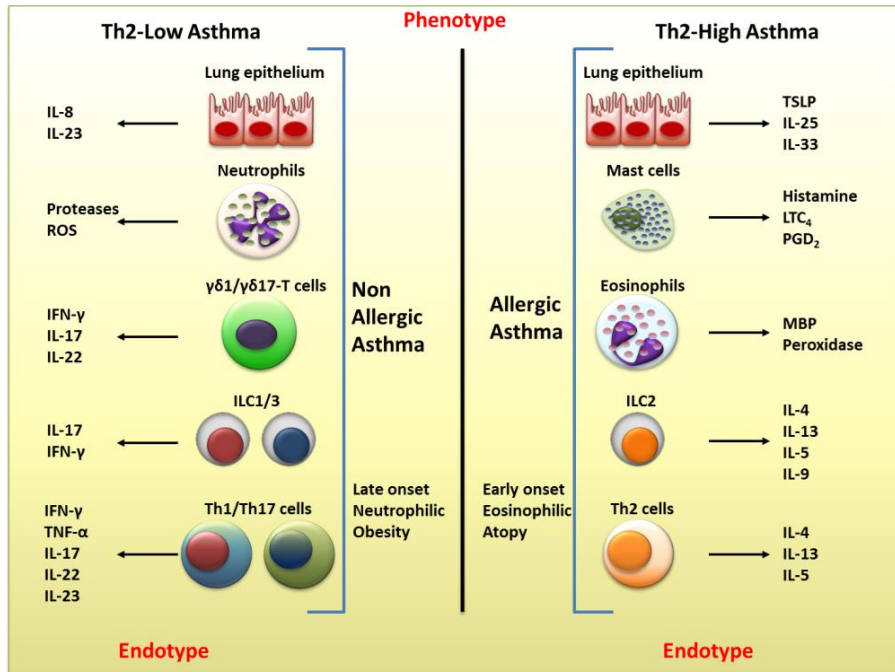


Figure 7. Cells and molecules implicated in the different asthma phenotypes and endotypes. Two major phenotypes have been described in asthma, the early onset, eosinophilic and atopic/allergic asthma, and the late onset, non-allergic asthma. Allergic asthma mainly fits into the TH2 high asthma, and it is characterized by mast cell and eosinophilic response and a TH2 driven inflammation. On the other hand, non-allergic asthma is more heterogeneous, and it could be neutrophilic or obesity-related. The non-allergic phenotype is characterized by a TH2 low endotype, where type-1 and type-17 cytokines and the cells they come from (ILC1/3, γδ-T cells, or TH1/TH17 cells), as well as neutrophils, have an important role.

Underneath the phenotypic classification of AA and NAA, the most common endotype classification is based on the predominant TH-type inflammation: i.e., TH2-high vs TH2-low asthma [310, 311]. The early-onset TH2-high asthma is the most common endotype and almost 100% of patients with this endotype fall into the AA category. The TH2-high endotype is characterized by a TH2- and mast cells-

driven inflammation, sub-epithelial basement membrane thickening, and a central role for IgE and eosinophils (Figure 7) [2, 312]. This type of asthma is also associated with atopy (i.e., a genetic predisposition to suffer allergic diseases). Indeed, diseases such as allergic rhinitis, eczema, or food and drug allergy are often comorbidities in AA patients [2, 4]. Several biomarkers of TH2-high asthma have been described: elevated sputum eosinophils levels, high fractional exhaled nitric oxide (FeNO), which is a surrogate marker of eosinophilic airway inflammation, and raised levels of periostin and total IgE in serum [311, 313, 314]. However, the main feature of this endotype is the good response to non-specific anti-inflammatory drugs (ICS), resulting in the down-modulation of TH2 cytokines (IL-4, IL-5, and IL-13) and the underlying inflammation [2].

Different biological therapies have emerged in the form of humanised antibodies that target several AA mediators such as IgE or TH2-cytokines [311]. The first humanised monoclonal antibody specifically designed to treat AA was omalizumab (Xolair®). This antibody makes a complex with free IgE and inhibits its binding to the high-affinity FcεR1 receptor on mast cells and basophils [314]. As a result of this blockade, the typical mast cell activation/degranulation event that occurs in AA is interrupted, as well as the eosinophilic inflammation [315]. Therefore, severe persistent allergic asthmatic patients treated with omalizumab undergo a reduction of asthma exacerbations [316] and an improvement in their quality of life [317]. Beyond omalizumab therapy, there are other humanised monoclonal antibodies that target several important molecules in asthma: a) TH2-cytokines such as IL-5 (mepolizumab and reslizumab) or IL-13 (lebrikizumab and tralokinumab); b) TH2-cytokine receptors such as IL-4Rα (dupilumab and AMG-317) or IL-5Rα (benralizumab); and c) epithelial cell-derived cytokines such as TSLP (AMG-157) [311, 318]. These alternative biologicals have yielded promising results in terms

of improvement of lung function and reduction of exacerbations in TH2-high AA [318].

TH2-low asthma, for its part, is more heterogeneous and poorly defined; indeed, there are no specific biomarkers for this asthma endotype. Overall, patients with TH2-low asthma are badly-responders to ICS and display a more severe disease. In addition, these type of patients are characterized by the absence of a TH2-signature, lower levels of IgE, delayed disease onset (adulthood), and non-allergic/atopic disease usually associated with neutrophilic inflammation (Figure 7) [310, 319-321]. Obesity-related asthma, which is predominant in women, is also included within TH2-low asthma [322]. TH1 and TH17 cells and their derived cytokines seem to be key players in the pathogenesis of this endotype (Figure 7). As commented above, IL-17 is the major mediator of neutrophils recruitment and activation by inducing the production of IL-8 and other chemokines (e.g., CXCL1 or CXCL5) by AECs (Figure 7). IL-17 has been associated with AHR, remodelling and asthma severity [188, 323, 324]. Although IL-17 is mainly produced by TH17 cells, certain subpopulations of innate lymphocytes also secrete this cytokine. This is the case of ILC3s, which play a central role in obesity-related asthma [69], or $\gamma\delta$ -T lymphocytes, which are the major producers of early IL-17 (Figure 7) [134]. On the other hand, IFN- γ , the fingerprint cytokine of TH1 cells, is also implicated in the chemotaxis of neutrophils through the up-regulation of CCR1 and CCR3 on this leukocyte subset (Figure 7) [325].

Given all the above commented, and in the era of biological therapies and personalised/precision medicine, it is more necessary than ever the search for new biological markers, with application in phenotype/endotype refinement and disease diagnosis/prognosis/management. These new biomarkers will enlarge the list of currently available options, which include both cells (e.g.,

eosinophils, neutrophils) and molecules (e.g., total and specific IgE, periostin, FeNO, cytokines) in different kind of samples (e.g., peripheral blood, serum, plasma, IS) [307].

7. APPLICATION OF BIOFLUID PROTEOMICS FOR ASTHMA-BIOMARKER DISCOVERY

The emergence of Proteomics, which is the large-scale study of proteins, has meant a turning point in the world of biomarkers discovery. Proteomic studies often use samples from tissues, *in vitro* cell cultures, or biofluids such as urine, BALF, exhaled breath condensate (EBC), or cerebrospinal fluid (CSF) [326, 327]. However, the most commonly used samples in clinical studies are those derived from venous peripheral blood: i.e., serum and plasma. This easy to acquire samples are challenging in Proteomics because they are highly dynamic and complex (>10,000 proteins; <http://www.plasmaproteomedatabase.org>). This is because both serum and plasma samples are an open window to the proteomes of all the tissues in the body. This makes these biofluids ideal for biomarker discovery studies, especially amongst low molecular weight (LMW; < 30-40 kDa) or low abundance protein (LAP) sub-proteomes [328, 329].

Serum/plasma includes proteins that fulfil their function in the extracellular compartment, such as cytokines, hormones, or growth factors. However, they also contain “bystander” molecules such as tissue leakage products; i.e., intracellular proteins released by tissues for multiple reasons (some of them summarized in Figure 8) [328, 330, 331]. LMW cellular proteins (especially the cytosolic ones) reach easily the blood circulation during physiological cell turnover or pathological plasma membrane permeabilization due to their small

protein size [332]. Despite this ease, the serum/plasma LMW proteome is made of small proteins, protein fragments, or peptides that display a low abundance and elevated complexity. Therefore, LAP and LMW sub-proteomes from serum/plasma are difficult to investigate but represent a partly overlapping rich source of information and novel potential biomarkers related to cancer [333], cardiovascular conditions [334], infectious illnesses [335], or airway diseases [336, 337].

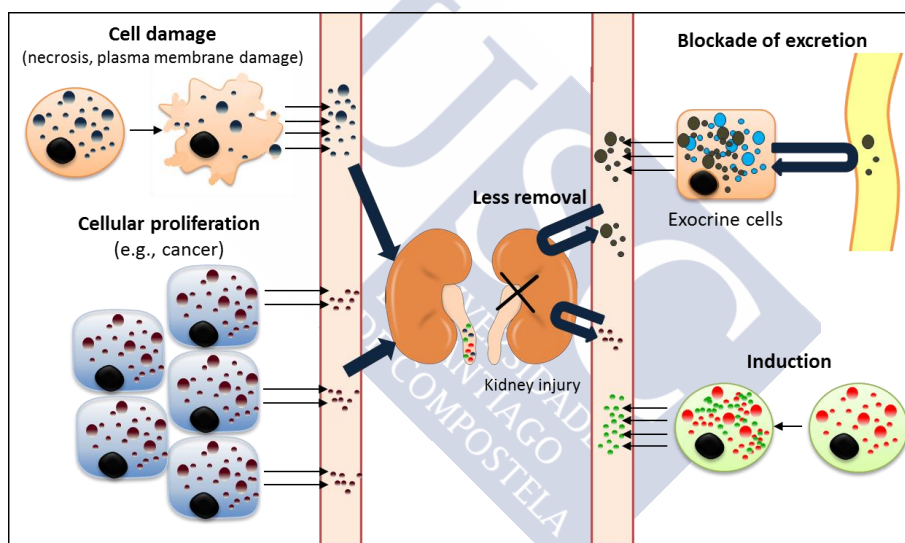


Figure 8. Cell/tissue sources and origin of low abundance serum/plasma proteins. The serum/plasma abundance of disease biomarkers is dependent on the equilibrium between secretions by cells and removal (e.g., kidney or proteolysis). Several reasons are the responsible for the increment or detriment of tissue proteins in plasma: 1) cell damage (necrosis, cell membrane permeabilization), 2) induction of protein synthesis, 3) increment of cellular proliferation/ cell replacement (e.g., cancer), 4) Reduction of protein excretion by exocrine cells (e.g., pancreatic or prostate cells) due to obstruction of the ducts, or 5) less removal of proteins from circulation due to for example renal injury.

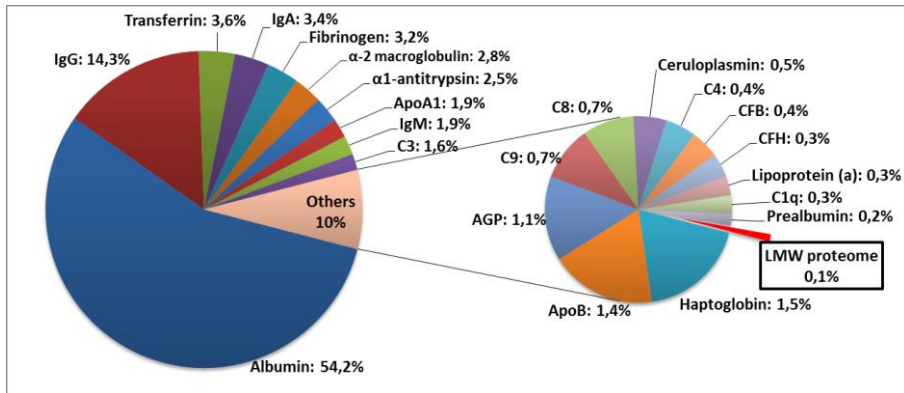


Figure 9. The dynamic range of proteins concentrations in human plasma. Human plasma proteome is composed of thousands of proteins. 22 of them represent the 99.9% and are considered high abundant proteins. Between them, only 10 represent more than 90%, and only albumin constitutes more than 50% of total protein concentration. Low abundance proteins, also called LMW proteome is compound by only the 0.1% of proteins in the human plasma.

As above commented, several challenges must be considered when analysing LAP/LMW sub-proteomes from serum/plasma samples. The most important one is the extremely high dynamic range of protein concentrations in those biofluids, of almost 10^{10} magnitude, and the fact that $<0.1\%$ of the total number of species represents almost 99% of the bulk mass of plasma/serum proteins; that is, a set of 22 protein that includes albumin, immunoglobulins, transferrin, α -2 macroglobulin or α 1-antitrypsin (Figure 9). Only albumin itself constitutes $\sim 50\%$ of protein content in serum, with concentrations ranging between 35 and 50 mg/mL. In contrast, the low abundance proteome reaches a scarce 0.1% of the bulk mass of proteins, covers a concentration range from ng/mL to fg/mL, and is made up of thousands of LMW proteins with a specific function in plasma (e.g., cytokines, growth factors, and chemokines) or derived from tissues or

cells (Figure 9) [328, 330, 331, 338, 339]. Therefore, reduction of sample complexity is necessary to ensure access to this potential source of disease biomarkers, coined by certain researchers as the “deep-proteome” [339].

7.1. Pre-fractioning methods for plasma/serum proteins

In the last years, different experimental approaches consisting of either depletion of high-abundance proteins or enrichment of LAP/LMW species in serum/plasma samples have been developed in order to reduce the serum/plasma dynamic range of protein abundances.

Ultrafiltration is included in the first group of techniques [340]. This technique consists of centrifugal filter devices whose membranes display a specific cut-off (e.g., 40 kDa cut-off), which means that only low molecular weight proteins will be able to pass through. However, it has been shown that high molecular weight proteins like albumin still appear in the eluted fraction. Other approaches involve the depletion of high abundant protein by affinity chromatography [341]. This is the case of human serum albumin affinity columns (e.g. Cibacron Blue-based affinity chromatography) [342], immunoglobulins depletion (e.g., protein A/G) [343], Proteoprep columns (Merck Millipore) for Albumin/IgG depletion [344], the Pierce™ Top 12 Abundant Protein Depletion Spin Columns (Thermo Scientific™), or the multiple affinity removal system (MARS) (Agilent Technologies). Finally, high-abundance proteins can be depleted from serum by using organic solvent precipitation (e.g., acetonitrile precipitation) [345]. However, it has been described that some LMW proteins are found in association with carrier proteins such as albumin [346]. Therefore, depletion or precipitation of these

highly-abundant “shipper” proteins can result in the loss of interesting LMW biomarkers. More importantly, removal of the upper-layer of high-abundance proteins from serum/plasma samples only allows researchers to reach the medium abundance sub-proteome.

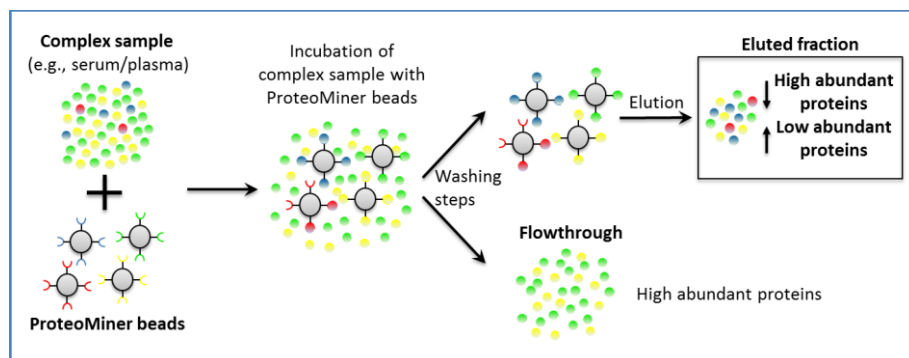


Figure 10. The use of combinatorial peptide ligand libraries (CPLL) as an enrichment method for low abundance proteins in complex samples. The ProteoMinerTM protein enrichment technology (BioRad) is based on the use of combinatorial ligand library of hexapeptides (CPLL) attached to beads. **Step 1.** The CPLL is added to a complex sample (e.g., serum or plasma) where almost all low abundant proteins bind to their specific ligand hexapeptides, but high abundant proteins saturate their ligands. **Step 2.** The washing of the sample allows the elimination of high abundant proteins, which had saturated their ligands. **Step 3.** The proteins attached to the beads are eluted. Therefore, the eluted fraction is enriched in low abundant proteins, whereas high abundant proteins are eliminated in the flowthrough fraction.

Apart from depletion methods, several approaches that enrich low-abundance/LMW proteins have been developed. This is the case of affinity capture of low-abundance proteins by means of magnetic beads [347], nanoparticles [348], antibody arrays [349], or the use of combinatorial peptide ligand libraries (CPLLs) (Figure 10) [350]. The last approach, marketed under the name of ProteoMinerTM protein

enrichment technology by BioRad, is a widely used enrichment technology. CPLs consist of a large combinatorial ligand library of hexapeptides attached to chromatography beads. In such a way, each hexapeptide can bind to a specific serum/plasma protein by virtue of its primary sequence. However, the number of hexapeptide ligands is limited. Therefore, after the sample is added, all the low-abundance proteins in serum/plasma are retained by their respective hexapeptides, while the highly abundant proteins rapidly saturate their ligands. As a result, most of the high-abundance proteins are lost in the flow-through fraction, whereas low-abundance proteins display augmented concentrations in the resulting eluted fraction (Figure 10).

7.2. LMW proteome characterisation by mass spectrometry (MS)

Two different methods of protein identification have been developed since the term proteomics was coined in 1997: *Top-down* and *bottom-up* proteomics [351, 352]. Both strategies are differentiated based on the way of which the proteins are identified. *Top-down* proteomics is referred to as the analysis of entire proteins and is commonly used for post-translational modifications analysis, isoform analysis, and structural studies [351]. In contrast, the *bottom-up* strategy consists of the analysis by mass spectrometry of proteolysis-derived peptides (e.g., by means of trypsin). This method is useful to identify and quantify proteins, which can be procured from either simple (e.g., a two-dimension electrophoresis/2-DE spot) or complex (e.g., a crude extract; *shotgun* proteomics) starting mixtures [352, 353]. The *bottom-up* strategy is the most used for biomarker discovery approaches. However, LMW proteome characterization by *bottom-up* proteomics is challenging because these proteins generate a low number of proteolysis-derived peptides for MS analysis [354].

2-DE has been a technique of paramount importance in the development of the proteomics field [355]. This technique achieves the separation of proteins based on two independent attributes: their isoelectric point (isoelectric focusing; first dimension) and their molecular weight (SDS-PAGE; second dimension). Then, in-gel detection of proteins by staining of gels (e.g., Coomassie brilliant blue, silver staining) is performed, followed by relative quantification through a side-by-side comparison of gels (e.g., control sample against treatment/disease sample). A variation of 2-DE named 2-D Fluorescence Difference Gel Electrophoresis (2D-DIGE) technique was created to overcome the low inter-gel analytical precision. 2D-DIGE uses up to three different charge/size-matched fluorescent dyes (Cy2, Cy3, and Cy5) to label the same number of protein samples and separate them in the same gel [356]. Afterwards, different types of imaging systems that use CCD devices or laser-based technologies can be used to scan the emitted fluorescence light at a specific excitation wavelength. After 2-DE or 2D-DIGE gels scanning, images are analysed by means of different specialised application software. Once proteins showing a differential abundance or any feature of interest are detected, proteins spots are manually or automatically excised, in-gel proteolytic digestions are performed, and the resulting peptides are analysed by either Matrix-Assisted Laser Desorption/Ionization-time-of-flight (MALDI-TOF MS) or liquid chromatography coupled to mass spectrometry (LC-MS/MS) [357, 358]. However, this “classic” gel-based strategy is very laborious for diagnostic approaches and its performance with proteins with extreme characteristics (i.e., highly hydrophobic, acid, or basic proteins) as well as low abundance/LMW species is poor. For this reason, 2-DE is being gradually replaced by *bottom-up* “gel-free” MS-based approaches [359], or a combination of gel-based technologies (1-DE or 2-DE) coupled to LC-MS/MS [360].

In the *bottom-up* strategy, proteins are digested with different proteases such as subtilisin, proteinase K, chymotrypsin, or some endopeptidases, but the most used is trypsin [353]. After protein digestion, the complex mixture of peptides derived from each sample is usually separated in several fractions by using different hyphenated chromatography methods. The most common fractionation technique stems from the sequential combination of two (2D) orthogonal technologies: strong cation exchange (SCX) and reverse phase (RP) liquid chromatography (LC) [361, 362]. This strategy is called Multidimensional Protein Identification Technology (MudPIT) [362].

The first dimension, SCX, consists in the separation of peptide mixture based on the net charge of peptides, which is dependent on amino-acids such as histidine, lysine, or arginine [362]. Thus, the peptide mixture is placed in an acid buffer ($\text{pH} < 3$) and loaded into the SCX column, resulting in the binding to the SCX column. Then, the column is washed several times and bound peptides are sequentially eluted with increasing concentrations of salts or H^+ (pH). After SCX, a set of fractions is obtained (usually 4-6), which need to be desalted before being introduced into the analytical RP column. Although other chromatography approaches can be used in MS-based analysis such as strong anion exchange (SAX), affinity, or hydrophilic interaction chromatography (HILIC) [363, 364], most 2D-LC-MS/MS studies use RPLC as a second dimension. RP-ultra performance liquid chromatography (UPLC) allows the separation of peptides based on hydrophobicity properties [365]. These RP-UPLC columns are usually coupled in tandem to nano-electrospray ionisation (nano-ESI)-mass spectrometers, with the capacity to do mass spectrometry (MS or MS^1) or tandem mass spectrometry (MS/MS or MS^2) analyses.

MS is an analytical technique based on the ionization of chemical species and the separation of these ions according to their mass-to-charge (m/z) ratio. Therefore, a prototypical mass

spectrometer consists of an ion source, a mass analyser, and a detector. There are several types of mass analysers [366], but one of the most commonly used is the linear trap quadrupole/LTQ-Orbitrap family (Thermo Scientific), which combine LTQ ion trapping for data acquisition with Orbitrap mass analyser. LTQ-Orbitrap displays a high mass accuracy (< 2 ppm), a high sensitivity (femtomole), a high resolving power ($>150,000$), and a high dynamic range [365, 367].

7.3. Quantitative proteomic approaches using mass spectrometry (MS)

Apart from protein identification (i.e., data mining), current proteomic biomarker discovery techniques also rely on quantification of protein abundances across different states (e.g., healthy vs. patients, treated vs. non-treated). Quantitative MS-based approaches can be divided in both absolute and relative quantification methodologies, being the last ones the most broadly used in proteomics-based biomarker discovery. Relative quantification can be carried out by either label-free or stable isotope labelling methods [368].

Label-free quantification is a simple, inexpensive, and quick technical solution for the comparison of protein levels across all the different samples of a study. This methodology uses either the peak intensity of a peptide in the MS analysis (MS^1 level) [369] or the number of MS^2 spectra for a given protein (spectral counting) [370], as an indicator of its abundance (Figure 11).

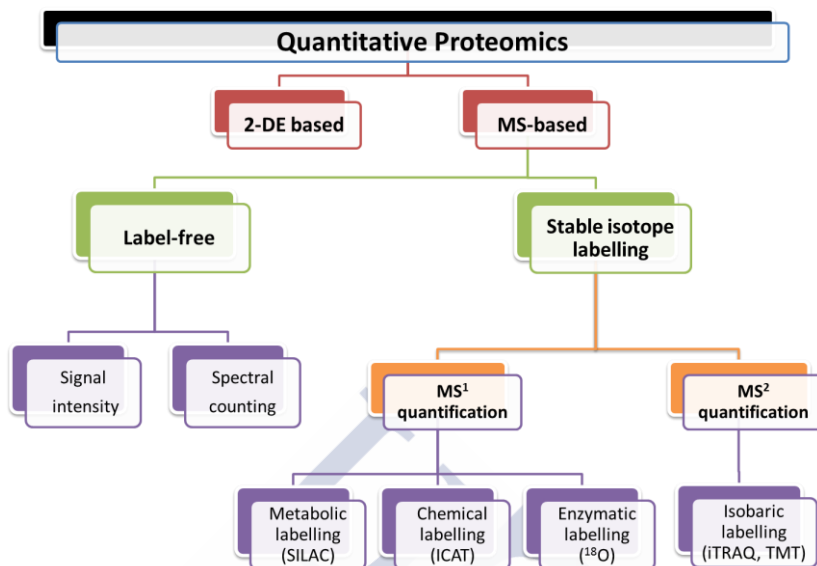


Figure 11. Schematic representation of the different methodologies used in quantitative proteomics.

Relative quantification can also be carried out by stable isotope labelling methods. The isotope-labelling quantification includes several MS-based strategies (Figure 11), which can be classified in MS¹ and MS² quantification techniques based on when the quantification is performed. In turn, MS¹ strategies can be classified into the following categories [353]: 1) **metabolic labelling**, including *stable isotope labelling by amino acids in cell culture* (SILAC), *culture-derived isotope tags* (CDITs), or *stable isotope labelling of mammal* (SILAM); 2) **chemical labelling**, which includes thiol groups labelling using *isotope-coded affinity tags* (ICAT), or *acid-labile isotope-coded extractants* (ALICE), and labelling of post-transcriptional modifications using *phosphoprotein isotope-coded affinity tags* (PhIAT); or 3) **enzymatic labelling using ¹⁸O/¹⁶O** (Figure 11). On the other hand, MS² strategies include two similar

techniques based on labelling of amino groups with either *tandem mass tags* (TMT) or *isobaric tags* (iTRAQ) (Figure 11) [353, 368]. Finally, once a researcher has found several candidate biomarkers, strategies of *targeted*-proteomics with high sensitivity and selectivity such as selected reaction monitoring (SRM) or multiple reaction monitoring (MRM) have been recently developed [371, 372].

iTRAQ protein quantification is a commonly used approach for unbiased untargeted biomarker discovery. In this method, tryptic peptides are covalently labelled with isobaric tags, which are reagents composed of three parts: 1) a reporter group, which presents variable mass (113.1-119.1, 121.1 Da), display an intense signal in MS/MS, and retains the charge; 2) a balance group (184-192 Da) to conserve a constant tag mass of 305.1; and 3) an amine-specific peptide reactive group, which binds primary amines of peptides (Figure 12). After labelling, all samples are combined and analysed by LC-MS/MS (Figure 13). As an isobaric labelling, identical peptides with different reporters (i.e., they come from different samples) are not distinguished in MS¹ step, so that tandem mass spectrometry (MS² or MS/MS) is necessary. After precursor peptide fragmentation by collision-induced dissociation (CID), reporter ions are released and detected by MS/MS at the low molecular mass/charge region (low m/z). Therefore, proteins can be both identified by comparing the peptide sequence obtained (peptide fragment fingerprint) with databases and relatively quantified by comparing the intensity of each reporter. Variation in the reporter intensity for each peptide is directly correlated with the change in the levels of the proteins where they come from [368].

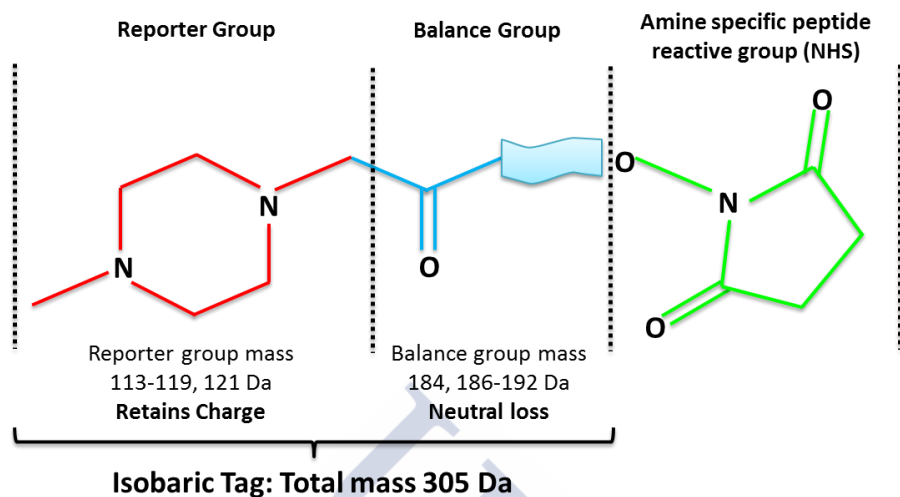


Figure 12. iTRAQ labelling. Each label of iTRAQ 8-plex is composed of 3 regions. Tryptic peptides covalently bind to the amine-specific peptide reactive group (NHS). The region next to NHS is the balance group (184-192 Da) aimed to conserve a constant tag mass of 305.1 in MS¹. Finally, the reporter group retains the charge after peptide fragmentation, presents variable mass (113.1-119.1, 121.1 Da), and display an intense signal in MS².

Compared to label-free strategies, which can process an unlimited number of samples in the same experiment, iTRAQ only allows us to label, analyse, and compare up to 8 samples (Figure 13). However, label-free strategies present several challenges [368, 373]: 1) all samples are prepared and analysed separately; 2) it is necessary to use internal standards in order to avoid variations in the peak intensities between different experiments; 3) quantification errors due to close mass signals can be present, so that technical replicates are mandatory (Figure 13). Therefore, label-free quantification results in a huge amount of data, which are difficult and laborious to process (Figure 13). This issue has been solved with iTRAQ technology, which is an easy-to-use method that allows the analysis and

comparison of all samples (up to 8) in the same MS/MS read out, as all labelled samples are mixed in one common sample (CS) before LC-MS/MS analysis (Figure 13).

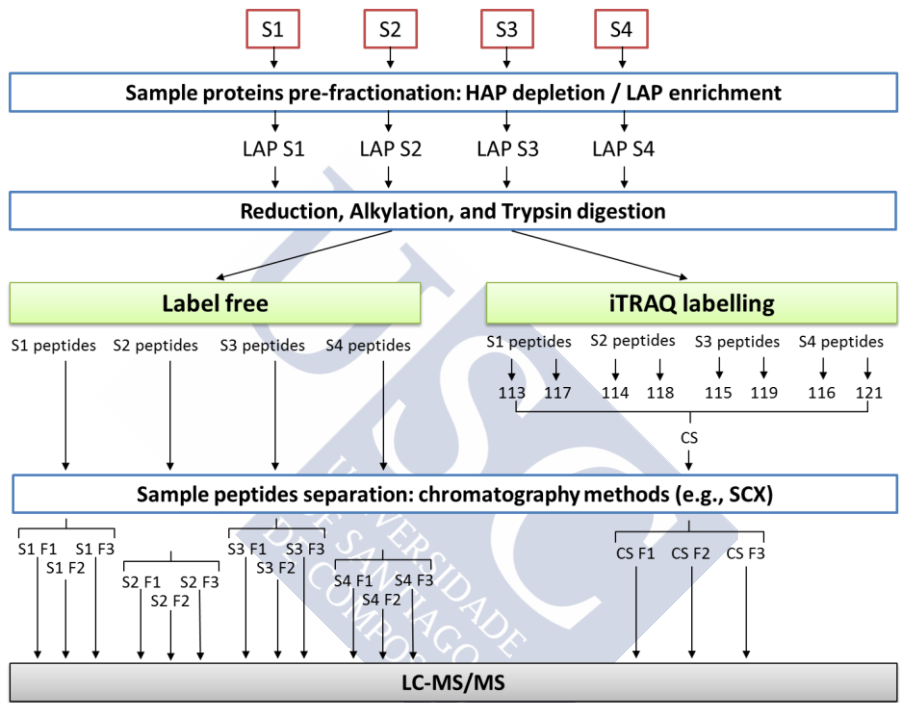


Figure 13. Comparison of label-free and iTRAQ quantification. In label-free quantification, all samples are prepared and analysed separately, which results in a huge amount of data difficult to interpret. Stable-isotope labelling by means of iTRAQ allows the comparison of proteins from each sample in the same LC-MS/MS read out. CS, common sample; HAP, high abundant protein; LAP, low abundant protein; LC-MS/MS, liquid chromatography coupled to tandem MS; S, sample; F, fraction.

7.4. Proteomic studies in asthma

As Table 1 shows, several proteomic studies have been carried out in the last years in order to search for specific biomarkers of asthma, asthma exacerbation, and treatment response. The first analysis was carried out by Lindahl *et al.* in 1999, who used 2-D electrophoresis to show the alteration of several proteins (Lipocalin-1, transthyretin, cystatin S, and IgBF) in both BALF and NLF (nasal lavage fluid) from patients with asthma compared to healthy subjects [374]. Following this initial work, a few more studies in BALF [375, 376] and NLF [377, 378] came to light. For example, Wu *et al.* in 2005 used affinity depletion of top 6 abundant proteins, 1-DE-LC-MS/MS, and a label-free approach to identify 160 BALF proteins with changes in asthmatics after allergen challenge, some of them with high importance such as IGFBPs, AMBP protein, CD5L or AGP1 [375]. Some years later, Cederfur *et al.* employed a similar MS approach to identify a set of galectin binding glycoproteins as potential asthma biomarkers (e.g., CD59, keratin 8, HP, RAIG, SBEM, attractin, CD55, AGP, or SERPINA1) [376]. In addition, FABP5 and VEGF were increased in asthmatics compared to healthy controls both in NLF and IS [378]. Apart from BALF and NLF, a few numbers of studies have been performed in BB [357, 379], nasal brushing (NB) [380], or EBC.

However, proteomics studies with IS [378, 381-386] or plasma/serum [358, 380, 386-393] samples to look for specific asthma biomarkers have been far more frequent. Moreover, most of these studies have compared asthmatics vs. healthy subjects, coming across significant changes in proteins such as S100A8/9/12, CC16, cystatin s, AAT/SERPINA1, α 2M, HP, CP, Hpx, I-TAC, EGF, MMP-9, ITIH4, ORM1, or FGA/B [381, 382, 385, 390, 391]. In contrast, other proteomic studies have compared asthma with COPD [381, 383, 390] or cystic fibrosis [380], aspirin-induced asthma vs. aspirin-tolerant asthma [377, 387], the effect of exercise-induced bronchoconstriction

[382], the level of asthma control [384, 386, 394] or exacerbation [388], and the effect of different treatments [357, 358, 379]. However, none of them was aimed to compare the differences between asthma phenotypes, and only a handful reported proteomic changes in patients with different asthma severities [384, 386, 388, 394]. Moreover, most of the studies in plasma/serum were performed using 2-DE and MALDI-TOF, only one was carried out by an LC-MS/MS (label-free quantification) approach [391], and none of them used the iTRAQ technology. Therefore, further studies are needed to compare the serum/plasma proteome of different asthma phenotypes (e.g., AA vs. NAA) and severities (intermittent-mild vs. moderate-severe).



Table 1. Proteomic studies in asthma.

Authors	Sample	Methods	Results	Ref.
Lindahl M, 1999	<ul style="list-style-type: none"> • BALF • NLF 	2-DE	<ul style="list-style-type: none"> • LCN1, TTR, cystatin S, and IgBF are altered in asthma. 	[374]
Wu J, 2005	<ul style="list-style-type: none"> • BALF 	AC and 1DE LC-MS/MS Label-Free	<ul style="list-style-type: none"> • 160 proteins were differentially expressed in asthmatic patients after 2r allergen challenge (e.g., IGFBPs, AMBP, CD5L, or AGP1) 	[375]
Lee SH, 2006	<ul style="list-style-type: none"> • Plasma 	2-DE MALDI-TOF	<ul style="list-style-type: none"> • C3a and C4a were higher in AIA patients than in patients with ATA. 	[387]
Gray RD, 2008	<ul style="list-style-type: none"> • IS 	CM10, Q10, or IMACNi SELDI-TOF	<ul style="list-style-type: none"> • 105 peaks with changes between asthmatics and controls, and 16 between asthma and COPD. • Proteins identified: S100A8, 9 and 12, CC16, lysosyme c, proline rich salivary peptide, cystatin s, and HBA1. 	[381]
Nishioka T, 2008	<ul style="list-style-type: none"> • Plasma 	2-DE (DIGE) MALDI-TOF	<ul style="list-style-type: none"> • 19 proteins with changes during asthma exacerbation vs. non-exacerbation: GP1BB, CLU, C7, C1s, AAT/SERPINA1, SERPINA2 and3, F2, FGB, KNG1, A1BG, AZGP1, ALB, HP, AFM, APCS, CD5L, APOA1, APOA4. 	[388]

Continued on next page

Table 1 (Continued)

Rhim T, 2009	<ul style="list-style-type: none"> • Plasma 	2-DE MALDI-TOF	<ul style="list-style-type: none"> • C3 increases whereas γ-fibrinogen decreases in asthma positive responders against <i>Dermatophagoides pteronyssinus</i> inhalation in comparison with negative responders. 	[389]
Gomes-Alves P, 2010	<ul style="list-style-type: none"> • NB • Serum 	CM10 pH 4 Q10 pH 10 SELDI-TOF	<ul style="list-style-type: none"> • 91 peaks with changes between control/asthma/cystic fibrosis • Protein identified: Hemoglobin subunit-beta 	[380]
Bloemen K, 2011	<ul style="list-style-type: none"> • EBC 	nano-HPLC MALDI-TOF/TOF	<ul style="list-style-type: none"> • A peak pattern of peptides was identified to discriminate between healthy controls and asthmatics. Only cytokeratin 1 was identified. 	[395]
Gharib SA, 2011	<ul style="list-style-type: none"> • IS 	LC-MS/MS Label-Free (spectral counting)	<ul style="list-style-type: none"> • 70 proteins with differences between asthma and HC (e.g., S100A8/9, AAT/SERPINA1, SMR3B, or SCGB1A1). • 5 differential proteins between EIB⁺ and EIB⁻ (e.g., C3a and HPX). 	[382]

Continued on next page

Table 1 (Continued)

Ko YC, 2011	• CD4+ T cells	2-DE MALDI-TOF	<ul style="list-style-type: none"> 13 proteins with differential expression between controlled and uncontrolled asthmatics: HSP-70, FGB, TPM3, ATP-dependent DNA helicase II, HSP-90, ACTB, VIM, ARHGDIB, ENO1, CALR precursor, YWHAZ, PRDX2. 	[394]
O'Neil SE, 2011	• BB	SCX LC-MS/MS iTRAQ	<ul style="list-style-type: none"> 7 differential proteins between asthma patients and HC (ANXA5, DPT, HIST1H2AH, LMNA, PPIA, RPL7 and 8). 7 modified proteins between budesonide pre and post-treated asthmatics (α2M, ALDOA, ATP5B, DPYSL5, RPS20, SERPINB3, and VIM) 	[379]
Terracciano R, 2011	• IS	MSB MALDI TOF/TOF	<ul style="list-style-type: none"> HNP1, HNP2, HNP3, and three C-terminal amidated peptides with changes between asthma, COPD, and healthy volunteers. 	[383]
Verrills NM, 2011	• Plasma	2-DE MALDI-TOF	<ul style="list-style-type: none"> 20 proteins with differences between asthma, COPD, and controls. 4 of them able to discriminate between the three groups: α2M, HP, CP, and Hpx. 	[390]

Continued on next page

Table 1 (Continued)

Cederfur C, 2012	• BALF	LC-MS/MS Label-Free (spectral counting)	• Some galectin-binding glycoproteins were found only in asthma compared to controls: CD59, keratin 8, HP, RAIG, SBEM, attractin, CD55, AGP, and SERPINA1	[376]
Izbicka E, 2012	• Plasma	LC- MS/MS Label-Free	• I-TAC, EGF, and MMP-9 were higher in asthmatics than in controls.	[391]
Choi GS, 2013	• NLF	2-DE (DIGE) MALDI-TOF	• ApoA1, α 2M, and CP were increased in aspirin-induced nasal responders compared to non-responders.	[377]
Lee TH, 2013	• IS	2-DE MALDI-TOF	• HNP-2, S100A9, β -amylase, NGAL, 4-aminobutyrate transaminase, and cystatin SA were increased whereas plunc precursor, C3, GFAP, IgM κ IIb SON, MLL-AF4 der(11) fusion protein, CK-8, and IgG4 heavy chain were decreased in severe uncontrolled asthma compared to controlled asthma.	[384]

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Table 1 (Continued)

Mauri P, 2014	<ul style="list-style-type: none"> • BB 	SCX-LC-MS/MS Label-Free (Spectral count)	<ul style="list-style-type: none"> • 23 proteins were unregulated in omalizumab responders and 84 in omalizumab non-responders after treatment. • LGALS3, HSPG2, ELN, TGFB1, VCL, EZR, MYH9, Myl6, MYH11, actin, ACTG2, FBLN5, and FBLN2 were only identified in omalizumab responders. 	[357]
Suojalehto H, 2015	<ul style="list-style-type: none"> • IS • NLF 	2D-DIGE LC-MS/MS	<ul style="list-style-type: none"> • FABP5 and VEGF were increased in asthmatics compared to healthy controls 	[378]
Hamsten C, 2016	<ul style="list-style-type: none"> • Plasma 	Suspension bead array	<ul style="list-style-type: none"> • Children with asthma display less CL5 and HPGDS levels and high NPSR1 levels. 	[392]
Jiang H, 2016	<ul style="list-style-type: none"> • Serum 	2-DE (DIGE) MALDI-TOF	<ul style="list-style-type: none"> • 7 proteins were differentially expressed in SRA vs. SSA: Up (MTMR9, VDBP, HP precursor, C4a) Down (ALB precursor, MASP2, ApoA1) 	[358]
Xu H, 2016	<ul style="list-style-type: none"> • Plasma 	Antibody array	<ul style="list-style-type: none"> • EPO and sGP130 were found augmented in childhood asthma compared to controls. 	[393]

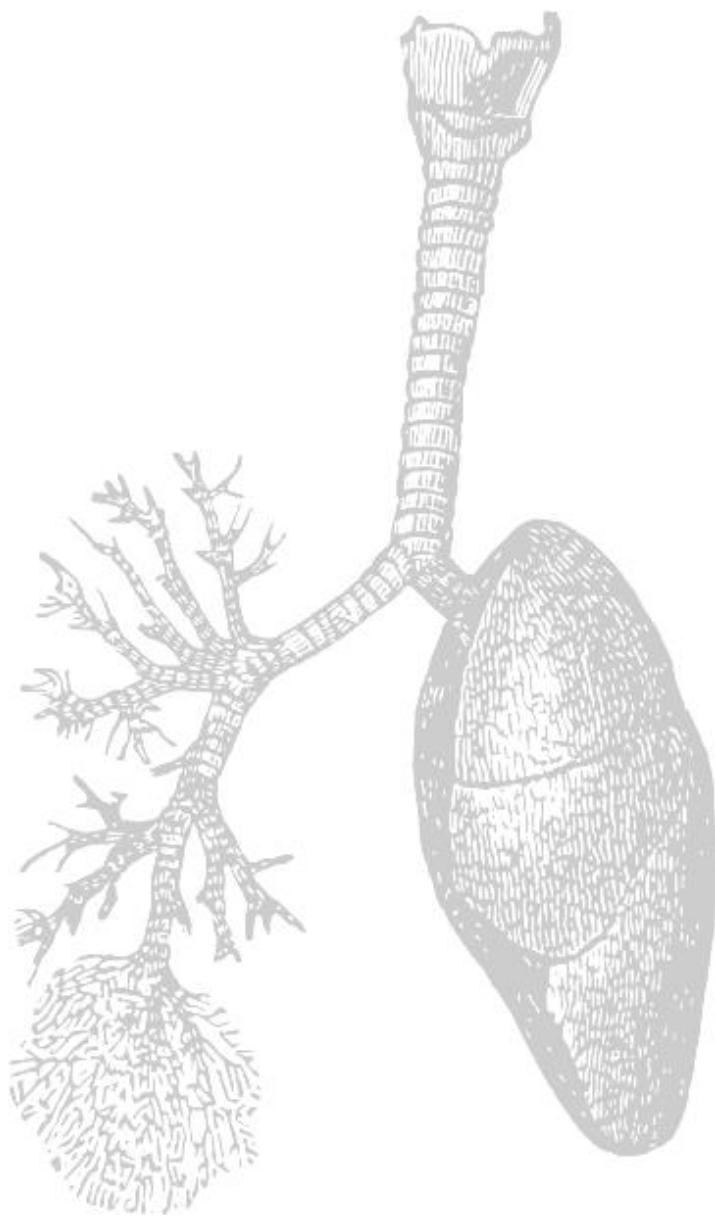
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Table 1 (Continued)

Cao C, 2017	<ul style="list-style-type: none"> • IS 	LC-MS/MS	<ul style="list-style-type: none"> • 23 proteins increased in asthma compared to controls: A2M, ANXA1, APOA2, ELANE, GPI, S100A8/9/12, AGT, C5, FGA, FGB, APOA1, SERPINF2, CHI3L1, HPX, ITIH4, ORM1, ILRN, PRG2, B2M, CTSB, FN1. 	[385]
Kasaian MT, 2018	<ul style="list-style-type: none"> • Serum • IS 	Olink multiplex arrays	<ul style="list-style-type: none"> • 27 proteins with changes between controlled and uncontrolled asthma. • Serum: CCL11, CCL19, CCL25, CDCP1, FGF21, FGF23, Flt3L, IL-6, IL-10Rβ. • IS: ADA, AZU1, tPA, DNER, KLK6, MMP9, Chit1, GRN, PGLYRP1, MPO, HGF, PRTN3, RETN, PI3, Chi3L1, and OPG. 	[386]

AC, affinity chromatography; AIA, aspirin-induced asthma; ATA, aspirin-tolerant asthma; BALF, bronchoalveolar lavage fluid; BB, bronchial biopsy; EBC, exhaled breath condensate; EIB, exercise-induced bronchoconstriction; IS, induced sputum; MSB, mesoporous silica beads; NB, nasal brushing; NLF, nasal lavage fluid; SRA, steroid-resistant asthma; SSA, steroid-sensitive asthma.





AIMS OF THE THESIS



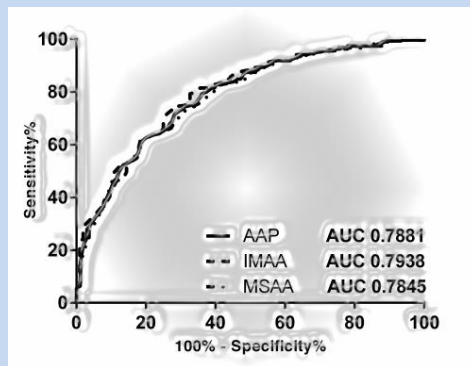
The overall aim is to seek biomarkers related to rhinitis and different asthma phenotypes/severities. Identification of those molecules/cells is a step towards a nitid separation of asthma phenotypes, to learn about the underlying pathological mechanisms, and to develop more specific therapies intended to promote personalized medicine. Simultaneously, this thesis is also an effort to replicate and go beyond in the knowledge of the innate/adaptive immune system regarding asthma phenotypes/severities, but also an attempt to find new biomarkers through a non-target strategy based on serum proteomics. This general aim is specified in several distinctive objectives, detailed as follows:

1. To study the expression of CD14 in AA, the implications of the *CD14* (-159 C/T) SNP (rs2569190) on the levels of this molecule (mCD14/sCD14), as well as the relationship between this SNP and the susceptibility to suffer this disease (Chapter I)
2. To explore the expression pattern of CD26 in different lymphocyte subpopulations and their implications in rhinitis, AA, and NAA, as well as disease severity (Chapters II and III)
3. To develop a non-target biomarker discovery strategy to gain access to the medium-low abundant proteome of serum (Chapter IV)
4. To identify novel non-invasive biomarkers of rhinitis, AA, NAA, and different asthma severities (intermittent-mild and moderate-severe asthma) (Chapter IV)



CHAPTER I

The CD14 (-159 C/T) SNP is associated with sCD14 levels and allergic asthma, but not with CD14 expression on monocytes.



The work presented in this chapter has been published in *Scientific Reports* with the following reference:

- The CD14 (-159 C/T) SNP is associated with sCD14 levels and allergic asthma, but not with CD14 expression on monocytes. *Sci Rep.* 2018 Mar 7;8(1):4147. doi: 10.1038/s41598-018-20483-1. **Open Access.** Creative Commons Attribution 4.0 International License. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>.



INTRODUCTION

During asthma attacks allergens trigger lung epithelial cells to release cytokines (e.g., Thymic stromal lymphopoietin (TSLP), Interleukin (IL)-33, IL-25) that activate innate leukocytes and drive the differentiation of allergen-specific T helper (TH)₂ lymphocytes.¹ Innate defences also rely on pattern recognition receptors, such as toll-like receptors (TLRs), capable of detecting pathogen-associated molecular patterns (PAMPs). Lipopolysaccharide (LPS) is a PAMP that interacts with CD14 (monocytes, macrophages, and neutrophils),² a receptor whose gene has been linked to asthma/allergy.³ CD14 transfers LPS to the TLR4-MD2 complex, which induces the secretion of a set of cytokines (IL-12/IL-18) that act as an innate-adaptive bridge and favour the TH₁ differentiation.⁴ In addition, IL-12 also prevents the development of allergen-specific TH₂ cells, which ameliorates airway inflammation in allergic asthma.⁴ Therefore, a functional defect in CD14 could alter TH₂ differentiation and IgE-mediated allergic diseases.⁵

Membrane-bound CD14 (mCD14) is released to the medium as soluble CD14 (sCD14),^{2,6,7} which either potentiates the response to LPS in macrophages by inducing the release of proinflammatory cytokines,⁸ or has a protective role by transferring LPS to lipoproteins.⁹ sCD14 is released from monocytes through a process enhanced by LPS, IL-6 and IL-1 β ,⁶ but decreased by interferon- γ (IFN- γ) and IL-4.¹⁰ sCD14 inversely correlates with IL-4,¹¹ but positively with the number of sputum eosinophils.¹² Moreover, plasma sCD14 is a biomarker of ongoing or acute immune responses.^{2,13} Thus, children with asthma exacerbations display augmented sCD14 levels compared to the recovery phase,^{14,15} whereas adult asthmatics have higher amounts in sputum than healthy subjects.¹² An increased sCD14 concentration has also been detected in bronchoalveolar lavage

fluid (BALF) upon allergen exposure.¹⁶ However, other works reported unaltered sCD14 levels, like for example in asthmatic children.^{7,15}

Single-nucleotide polymorphisms (SNPs) also constitute modulatory agents for CD14 levels that could influence the risk factors for developing asthma or aggravate disease symptoms.¹⁷ Baldini *et al.* found an association between *CD14* (-159 C/T) SNP (rs2569190) and sCD14 in atopic children, showing TT homozygotes higher levels than CT/CC genotypes¹¹ in line with the enhanced *CD14* transcriptional activity in the T allele.⁶ Since those landmark studies, different works have confirmed these results,^{18,19} while the C allele has been associated with high IgE levels, atopy and asthma.^{15,17,20} However, this association has not been consistently replicated.^{3,13,21-24} These different results may be attributed to a number of factors, like the low sample size, asthma phenotype (atopic, non-atopic or mixed asthma), age of study population (children or adults), ethnicity (African, Caucasian or Asiatic population) or gene-environment interactions (e.g., endotoxin levels).^{21,25} Therefore, it seems necessary to undertake new works aimed to the simultaneous measurement of both membrane and soluble forms of CD14 as well as the *CD14* (-159 C/T) SNP in adult Caucasian subjects with allergic asthma and different degrees of severity (intermittent-mild and moderate-severe).

MATERIAL AND METHODS

Subjects

The study population was recruited from January 2009 to December 2012, at the Unit of Pneumology and Allergy of the USC University Hospital Complex of Santiago de Compostela (CHUS) and the Pontevedra Hospital Complex (CHOP). This study population

included 277 healthy controls (HC) and 277 allergic asthmatics (AA), consisting of 108 intermittent-mild allergic asthmatics (IMAA) and 169 moderate-severe allergic asthmatics (MSAA). Asthma and allergy diagnosis was confirmed according to the Global Strategy for Asthma Management and Prevention criteria (GINA 2006, <http://www.seicap.es/documentos/archivos/GINA2006general.pdf>).

All patients were in a stable phase for at least 4 weeks before the study initiation. Forced vital capacity (FVC), forced expiratory volume in 1 second (FEV1), and the FEV1/FVC ratio, were measured. Asthma diagnosis was confirmed by a positive bronchodilator test (>12% of FEV1 change after salbutamol) or methacholine challenge. Allergic sensitization was confirmed through a skin prick test or serum IgE specific to frequent allergens. Other variables were measured: smoking, pets at home, residence (rural/urban), profession, comorbidities, the age of symptoms onset, asthma control, or number of visits to emergency units, family doctors or hospitals during the year prior to the study initiation. HC were selected from patients scheduled in the hospital for minor surgeries such as orthopedic surgery or inguinal hernia, and smoke and systemic diseases or allergies were used as exclusion criteria. The research was carried out according to The Code of Ethics of the World Medical Association (Declaration of Helsinki). The project was also approved by the Ethics Committee of Clinical Research of Galicia (2011/001), Spain, and all subjects signed informed consent statements.

Flow cytometry assays

Venous peripheral blood was collected in ethylenediaminetetraacetic acid (EDTA) treated tubes (BD Vacutainer K2E). To analyse the expression of CD14 on peripheral blood leukocytes, CD14-FITC (Mouse IgG2a κ ; BD Biosciences) or Isotype-FITC (Mouse IgG2a; BD Biosciences) were added to 100 μ L of whole blood (30 min, room

temp.). Then, red cells were lysed (BD FACS™ Lysing Solution). Finally, 10 000 events were collected and analysed by means of a BD FACSCalibur flow cytometer. Data were examined using WinMDI 2.9 software (Joseph Trotter, La Jolla, CA. USA).

Biochemical determinations

Biochemical determinations were carried out by an ADVIA®1650 analyser (SIEMENS Healthcare Diagnostics S.L., Berlin, Germany) while neuron-specific enolase (NSE) was measured with electrochemiluminescence analyser (MODULAR ANALYTICS Cobas E-601, Roche Diagnostics; Mannheim, Germany). The nucleated cell counting was performed using an ADVIA®2120 hematology counter (SIEMENS Healthcare Diagnostics S.L., Berlin, Germany).

Serum sCD14 levels were measured by means of an enzyme-linked immunosorbent assay (ELISA) with the Quantikine®Human sCD14 Immunoassay kit (R&D Systems, MN, USA). Optical densities were recorded at 450 nm and protein concentration was calculated from standard curves.

Genomic DNA purification and *CD14* (-159 C/T) promoter SNP (rs2569190) studies

Genomic DNA was purified from whole blood (200 µL) with the QIAamp® DNA Mini Kit (QIAGEN, Melbourne, Australia). The subsequent study of the rs2569190 SNP in the *CD14* promoter was conducted by the CEGEN-PRB2 USC node using the iPlex® Gold chemistry and MassARRAY platform, according to manufacturer's instructions (Agena Bioscience, San Diego, CA). Genotyping assay (Polymerase chain reaction/PCR primers and single-base-extension/SBE primers) were designed using the Agena Bioscience MassARRAY Assay Designer 4.1 software. To avoid confusion in the

mass spectrum, a tag (5-ACGTTGGATG-3) was added to the 5' end of each PCR primer. Both SBE and PCR primer sequences are shown in Supplementary Table 1. PCR reaction was set up in a 5 μ L volume and contained template DNA (20 ng), 1 \times PCR buffer, MgCl_2 (2 mM), dNTPs (500 μ M) and PCR enzyme (1 U/reaction). A pool of PCR primers was made at a final concentration of each primer of 100 nM (Metabion International AG, Germany). The thermal cycling conditions for the reaction consisted of an initial denaturation step at 95°C for 2 minutes, followed by 45 cycles of 95°C for 30 seconds, 56°C for 30 seconds and 72°C for 1 minute, and a final extension step of 72°C for 5 minutes. PCR products were treated with shrimp alkaline phosphatase (1.5 U) by incubation at 37°C for 40 min, followed by enzyme inactivation by heating at 85°C for 5 min to neutralize unincorporated dNTPs.

The iPLEX GOLD reactions were set up in a final 9 μ L volume and contained 0.222x iPLEX buffer Plus, 0.222x iPLEX termination mix and iPLEX enzyme (1.35 U/reaction). An SBE primer mix was made to give a final concentration of each primer between 0.52 μ M and 1.57 μ M (Metabion International AG, Germany). The thermal cycling conditions for the reaction included an initial denaturation step at 95°C for 30 seconds, followed by 40 cycles of 95°C for 5 seconds, with an internal 5 cycles loop at 52°C for 5 seconds and 80°C for 5 seconds, followed by a final extension step of 72°C for 3 minutes. The next step is to desalt the iPLEX Gold reaction products with Clean Resin following the manufacturer's protocol. The desalted products were dispensed onto a 384 Spectrochip II using an RS1000 Nanodispenser and spectra were acquired using the MA4 mass spectrometer, followed by manual inspection of spectra by trained personnel using MassARRAY Typer software, version 4.0. All assays were performed in 384-well plates, including negative controls and a trio of Coriell samples (Na10830, Na10831 and Na12147) for

quality control. 10% of random samples were tested in duplicate and the reproducibility was 100%.

Statistics

Descriptive data are presented as either median (interquartile range; IQR1-3) or percentages. To assess the significance of changes between AA and HC, Mann–Whitney U two-tailed test or Kruskal–Wallis One Way Analysis of Variance on Ranks followed by Dunn’s multiple comparison test were used. Receiver Operating Characteristic (ROC) curves and Spearman’s association test were also employed, while differences in proportions were assayed by the χ^2 test. To evaluate the association between *CD14* (-159 C/T) SNP and the risk of allergic asthma, Odd’s ratios (ORs) and 95% confidence intervals (CIs) were calculated according to different models: TT+TC vs. CC (dominant model), TT vs. TC+CC (recessive model), TT vs. CC, TC vs. CC, and T vs. C (allelic model). Hardy–Weinberg equilibrium (HWE) was calculated by using Pearson χ^2 test. All analyses and graphs were conducted using GraphPad Prism version 6.0 (GraphPad Software, Inc., San Jose, California, USA). Data are presented in box and whisker plots, where median, 25 and 75 quartiles, 5-95 percentiles (error bars) and anomalous values are shown. The statistical signification was defined as $P < 0.05$.

RESULTS

Demographic and clinical characteristics of the study population

In this work a case-control study was performed, where adult patients with both intermittent-mild and moderate-severe allergic asthma were recruited. These patients were in a clinically stable state, had a well-

controlled disease, and the majority were non-smokers under treatment with inhaled corticosteroids (Table 1). FEV1 (%) and FEV1/FVC ratio (%) values are described in Table 1, showing decreased levels in MSAA (moderate-severe allergic asthmatics) compared to IMAA (intermittent-mild allergic asthmatics) (Table 1). Although 66.4% of AA came from rural areas, most of them had no animals or just dogs/cats; only 11% had farm animals.

Table 1. Characteristics of the study population.

	AA			HC
	ALL	IMAA	MSAA	
N	277	108	169	277
Age^a	32 (25-42)	31 (22-38)	33 (26-46)	46 (31-60)
Sex (M/F)	119/158	42/66	77/92	105/172
Smokers (%)	21.3	15.7	24.8	0
Control:				
Good	219	98	121	-
Partial	36	8	28	-
Bad	22	2	20	-
Treatment:				
No	66	66	0	-
Inhaled	196	34	162	-
Corticosteroids				
Oral	15	0	15	-
Corticosteroids				
Antileukotrienes	63	6	57	-
Omalizumab	4	0	4	-
FEV1 (%)	97.2 (83.7-108.0)	105.0 (95.3-115.7) [#]	93 (74.5-102.2) [#]	-
FEV1/FVC (%)	78.0 (70.7-85.4)	82.9 (75.9-88.4) [#]	74.7 (67.6-81.9) [#]	-
Neutrophils (10³ cells/μL)^a	3.67 (2.77-4.50)	3.53 (2.99-4.27)	3.71 (2.90-4.96)	3.54 (2.77-4.50)
Lymphocytes (10³ cells/μL)^a	2.22 (1.85-2.71) [*]	2.20 (1.86-2.79) ^{&}	2.23 (1.80-2.71) ^{&}	2.02 (1.62-2.45)
Monocytes (10³ cells/μL)^a	0.47 (0.38-0.59) [*]	0.47 (0.39-0.56) ^{&}	0.46 (0.37-0.62) ^{&}	0.35 (0.28-0.43)

Continued on next page

Table 1 (Continued)

Eosinophils (10^3 cells/μL)^a	0.33 (0.19-0.51)*	0.29 (0.20-0.51) ^{&}	0.34 (0.18-0.51) ^{&}	0.16 (0.11-0.23)
Basophils (10^3 cells/μL)^a	0.04 (0.03-0.05)	0.04 (0.03-0.05)	0.04 (0.02-0.06)	0.04 (0.03-0.06)
IgE (IU/mL)^a	272 (116-533)*	270 (111-485) ^{&}	276 (122-668) ^{&}	34 (11-102)

AA, allergic asthmatics; HC, healthy controls; IMAA, intermittent-mild allergic asthmatics; MSAA, moderate-severe allergic asthmatics.

^a Median value (IQR1-3); * AA vs HC: Mann-Witney U Statistic, $P < 0.001$; # IMAA vs MSAA: Mann-Witney U Statistic, $P < 0.001$; & IMAA vs MSAA vs HC: Kruskal-Wallis One Way Analysis of Variance on Ranks ($P < 0.001$), IMAA/MSAA vs HC $P < 0.05$ Dunn's Method.

According to their allergic disease state, patients had significantly augmented levels of eosinophils and total IgE compared to HC, but there were no significant changes regarding disease severity (Table 1). IgE showed a positive correlation with eosinophil, monocyte and, to a lesser extent, lymphocyte blood count, underlying the relevance of these subsets in allergic asthma pathogenesis (Table 2). Since activation of eosinophils and macrophages has been associated with enhanced NSE levels under some pathological conditions,^{26,27} we also undertook the measurement of this enzyme in serum samples. As table 2 shows, it was found a positive correlation of IgE, eosinophils and monocytes with NSE (Table 2), which prompted us to examine the utility of this parameter as an additional marker of allergic asthma. As shown in Figure 1a, NSE levels were augmented in AA compared to HC and tend to be around 14.6% higher in men than in women (Figure 1a). Moreover, the area under the curve (AUC) of the ROC plot for NSE levels was close to AUC of total IgE and higher than AUC of blood eosinophils (absolute values) (Figure 1b).

Table 2. Spearman correlation matrix of the study population.

VARIABLES	NSE	CRP	IgE	mCD14 [#]	sCD14	Age
Leucocyte count	0.163*	0.221***	0.192***	-0.021	-0.035	-0.165***
Neutrophil count	0.003	0.190***	-0.027	-0.027	-0.034	-0.052
Lymphocyte count	0.077	0.107*	0.143***	-0.020	-0.091	-0.152***
Monocyte count	0.303***	0.154***	0.336***	0.234***P	-0.021	-0.182***
Eosinophil count	0.279***	0.054	0.459***	-0.199***	-0.071	-0.273***
Basophil count	0.149***	-0.024	0.057	-0.034	-0.005	-0.113*
FEV1%	0.099P	-0.088P	-0.057P	0.140P	-0.177P	-0.209***P
FEV1/FVC	0.106P	-0.071P	-0.051P	0.151	-0.146P	-0.375***P
TNF	-0.022	0.179***	-0.011	0.082	0.119**	0.198***
NSE		-0.004	0.322***	-0.215***	0.087	-0.128**
CRP			-0.033	-0.000	0.158** *	0.119**
IgE				-0.264***	-0.054	-0.296***
mCD14 [#]					-0.079	0.177***
sCD14						0.102*

CRP, C-reactive protein; IgE, immunoglobulin E; NSE, neuron specific enolase; P, patient population; TNF, tumour necrosis factor. *P<0.05, **P<0.01, ***P<0.001. [#] % of CD14⁺ monocytes.

No alterations were appreciated for C-reactive protein (CRP), IgG, IgA, IgM, or tumour necrosis factor (TNF) in AA. The influence of age was also taken into consideration, with a negative correlation with FEV1%, FEV1/FVC, IgE and leukocyte subtypes (mostly eosinophils), but a small positive association with TNF, CRP, mCD14 and sCD14 (Table 2).

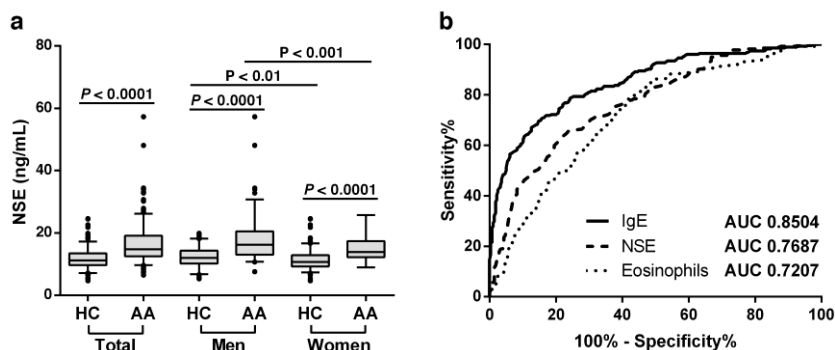


Figure 1. Analysis of NSE values in serum from AA and HC. (a) NSE levels in allergic asthmatics (AA) and healthy controls (HC) segregated by gender. Numbers on the graphs represent P -values (Mann-Whitney U test). (b) ROC curves for IgE, NSE and peripheral blood eosinophils. AUC values are shown for each parameter.

Allergic asthma enhances the number of peripheral blood monocytes, but causes a reduction of mCD14 in these cells

Complete blood count revealed an increased number of leukocytes in AA (HC $6.44 (5.39-7.75) \times 10^3$ cells/ μ L vs. AA $6.93 (6.00-8.23) \times 10^3$ cells/ μ L; $P < 0.001$). These differences were partially dependent on some innate subsets like eosinophils and monocytes, but not neutrophils or basophils (Table 1). Moreover, men had higher numbers of monocytes in peripheral blood than women ($P < 0.0001$), and asthma caused a slight but significant elevation of monocytes in both IMAA and MSAA (Figure 2a). mCD14 was mainly expressed by monocytes ($>90\%$ CD14⁺) (Supplementary Figure 1), and asthma produced a decrease in the percentage of CD14⁺ monocytes (and mean fluorescence intensity/MFI values), without changes between IMAA and MSAA (Figures 2b and c). Indeed, when a ROC curve was constructed for mCD14 values (%), the AUC gave a value of 0.7881 (whole asthmatics), which underlines the potential use of this

parameter as an allergic asthma marker (Figure 2d), even after segregating the patients in IMAA and MSAA.

Apart from monocytes, neutrophils and lymphocytes also contain CD14⁺ cells, but the expression of this marker is much lower than in monocytes (Supplementary Figure 1). Because of this, we assessed the differences between neutrophils and lymphocytes-associated mCD14 levels in AA and HC, but they were not influenced by the presence of allergic asthma (data not shown).

Reduction of relative but not absolute sCD14 levels in allergic asthma

Despite the decreased levels of mCD14 in monocytes from AA, we did not reach significant differences in the serum concentration of sCD14 in IMAA, MSAA and HC (data not shown). Moreover, when a cut-off value of total IgE (80 IU/mL) was selected to maximize the true positive rate (70.76%) and minimize the false positive rate (15.88%) (Figure 1b), sCD14 levels remained unaltered in donors with IgE < 80 IU/mL or ≥ 80 IU/mL.

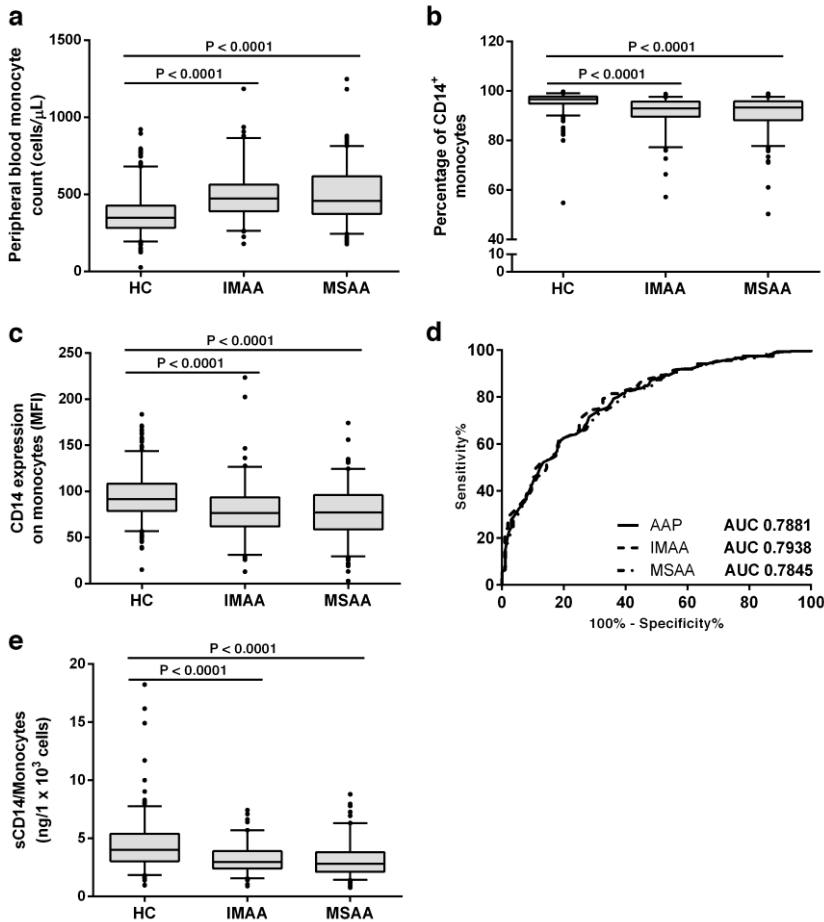


Figure 2. Monocyte counts and mCD14/sCD14 levels in AA and HC. (a) Peripheral blood monocytes count in IMAA, MSAA and HC. Percentage of CD14⁺ cells (b) and mean fluorescence intensity (MFI) of CD14 on monocytes (c) in IMAA, MSAA and HC. (d) ROC curve for the percentage of CD14⁺ monocytes. (e) Relative sCD14 levels (ng/1 x 10³ monocytes) in serum samples from IMAA, MSAA and HC. Numbers on the graphs represent *P*-values (Mann-Whitney U test).

As our results were supporting the presence of altered numbers of monocytes in asthmatic patients, and monocytes were the most likely source of sCD14, we evaluated the correlation between the absolute count of peripheral blood monocytes and the serum concentration of sCD14, with negative results (Table 2). In spite of this, sCD14 levels could still be influenced by the combined effects of increased monocyte count and decreased expression of mCD14 on this leukocyte subset (phenotype). Accordingly, sCD14 values were normalised with respect to the absolute number of circulating monocytes to maximize the effect of cell phenotype, finding in this case a significant reduction of sCD14 in AA compared to HC even after segregating by disease severity (Figure 2e). We also detected higher levels of relative sCD14 in women compared to men (data not shown).

We next examined the mCD14-sCD14 correlation. In order to analyse the strength of this relationship, we have taken into account the absolute and normalized values of sCD14, as well as we have analysed the data as a whole or after segregating our results according to sex or donor group (healthy or diseased). However, we have found no connection between both parameters (Table 2, whole set of mCD14-sCD14 pairs), which underlines an independent regulation of both molecules. Apart from the monocyte count, alternative reasons might explain this low correlation, like other sCD14 sources (e.g. hepatocytes) or the existence of different genetic backgrounds. Regarding the first possibility, there were no differences in CRP or TNF between HC and AA ($P > 0.05$), or evidence of respiratory infection, even though there is a very small correlation between CRP or TNF and sCD14 (Table 2). Therefore, we next analysed the influence of the *CD14* (-159 C/T) SNP genotype (rs2569190) on mCD14/sCD14 levels.

Genotypic and allelic frequency distribution of *CD14* (-159 C/T) SNP in the study population

Table 3. Genotype and allele frequencies of the *CD14* (-159 C/T) SNP in the study population.

	N	Genotype frequencies (%)				Allele frequencies (%)		
		TT	TC	CC		T	C	
HC	277	79 (28.5)	138 (49.8)	60 (21.7)		296 (53.4)	258 (46.6)	
AA	277	59 (21.3)	137 (49.5)	81 (29.2)	χ^2 =6.030 P =0.049*	255 (46.0)	299 (54.0)	χ^2 =5.776 ^a P =0.016*
IMAA	108	26 (24.1)	52 (48.1)	30 (27.8)	χ^2 =1.851 P =0.3963	104 (48.1)	112 (51.9)	χ^2 =1.531 ^a P =0.2159
MSAA	169	33 (19.5)	85 (50.3)	51 (30.2)	χ^2 =6.444 P =0.039*	151 (44.7)	187 (55.3)	χ^2 =6.091 ^a P =0.013*

AA, allergic asthmatics; HC, healthy controls; IMAA, intermittent-mild allergic asthmatics; MSAA, moderate-severe allergic asthmatics. ^aYates correction.

*Significant difference.

Genotype (TT, TC, CC) and allelic (C, T) frequencies were calculated in this study, and their distribution presented in Table 3. The whole population ($\chi^2 = 0.014$, $P > 0.05$), HC ($\chi^2 = 0.002$, $P > 0.05$), AA ($\chi^2 = 0.007$, $P > 0.05$), IMAA ($\chi^2 = 0.041$, $P > 0.05$), and MSAA ($\chi^2 = 0.012$, $P > 0.05$) were in HWE. Moreover, there was

association between the (-159 C/T) SNP and the presence of asthma (Table 3), showing a decrease in the frequency of the T allele in AA ($P = 0.016$) and MSAA ($P = 0.013$), but not in IMAA compared to HC. Furthermore, the frequency of TT genotype of CD14 polymorphism were significantly lower in AA than in HC ($P = 0.049$), being only significant in MSAA ($P = 0.039$) when patients were segregated according to disease severity (Table 3).

As allelic and genotypic frequencies seem to be related to disease severity, we further divide MSAA into moderate (N=129) and severe allergic asthmatics (N=40). After this segregation, the association of the (-159 C/T) SNP was only maintained in severe asthmatics, both the allelic (T vs. C, $\chi^2 = 6.478$, $P = 0.011$) and genotypic (TT vs. CC, $\chi^2 = 7.429$, $P = 0.024$) frequencies, and it was lost in moderate asthmatics (T vs. C, $\chi^2 = 2.749$, $P = 0.097$; TT vs. CC, $\chi^2 = 3.040$, $P = 0.219$).

Association between CD14 (-159 C/T) SNP and allergic asthma risk

A summary of allergic asthma risk according to the different genetic models is presented in Table 4. We found an association between T allele and a decreased allergic asthma risk in the overall allergic asthma population (T vs. C: OR = 0.74, 95% CI = 0.59-0.94, $P = 0.0162$). Moreover, when AA were subset by disease severity (IMAA and MSAA vs. HC), these association was maintained only for MSAA (OR = 0.70, 95% CI = 0.54-0.92, $P = 0.0136$), while IMAA lost the allelic association (Table 4).

Table 4. Association between *CD14* (-159 C/T) SNP and allergic asthma risk.

		OR (95% CI)	χ^2 (Yate's correction)	P
AA (ALL)	TT+TC vs CC	0.67 (0.45-0.98)*	3.805	0.0511
	TT vs TC+CC	0.68 (0.46-1.00)	3.484	0.0620
	TT vs CC	0.55 (0.34-0.89)*	5.449	0.0196*
	TC vs CC	0.74 (0.49-1.11)	1.180	0.1704
	T vs C	0.74 (0.59-0.94)*	5.776	0.0162*
IMAA	TT+TC vs CC	0.72 (0.43-1.20)	1.300	0.2543
	TT vs TC+CC	0.79 (0.48-1.33)	0.566	0.4517
	TT vs CC	0.66 (0.35-1.23)	1.346	0.2460
	TC vs CC	0.75 (0.44-1.30)	0.781	0.3768
	T vs C	0.81 (0.59-1.11)	1.531	0.2159
MSAA	TT+TC vs CC	0.64 (0.42-0.99)*	3.630	0.0547
	TT vs TC+CC	0.61 (0.38-0.96)*	4.029	0.0442*
	TT vs CC	0.49 (0.28-0.85)*	5.767	0.0163*
	TC vs CC	0.72 (0.46-1.15)	1.572	0.2100
	T vs C	0.70 (0.54-0.92)*	6.091	0.0136*

AA, allergic asthmatics; CI, confidence interval; IMAA, intermittent-mild allergic asthmatics; MSAA, moderate-severe allergic asthmatics; OR, odds ratio; TC vs CC, heterozygote; TT vs CC, homozygote; TT vs TC+CC, recessive model; TT+TC vs CC, dominant model.

*Significant difference.

Regarding to the other genetic models, a significant association of *CD14* (-159 C/T) and allergic asthma risk was found between the homozygotes TT vs. CC (OR = 0.55, 95% CI = 0.34-0.89, $P = 0.0196$), and almost reached significance in a dominant model (TT+TC vs. CC: OR = 0.67, 95% CI = 0.45-0.98, $P = 0.0511$) (Table 4). Furthermore, after segregating by disease severity, it was found an association of allergic asthma risk with this SNP only in MSAA according to a recessive model (TT vs. TC+CC: OR = 0.61, 95% CI = 0.38-0.96, $P = 0.0442$) or TT vs. CC genotype comparisons (OR = 0.49, 95% CI = 0.28-0.85, $P = 0.0163$). Therefore, the results suggested that T allele and TT homozygote individuals have decreased risk of allergic asthma compared with C allele and CC homozygote carriers, respectively.

The influence of the *CD14* (-159 C/T) SNP on CD14 levels

Although we have shown augmented peripheral blood monocyte count and decreased levels of mCD14 (Figures 2a-c), the number of monocytes was not influenced by the *CD14* (-159 C/T) SNP genotype. We also failed to detect any change in mCD14 related to the SNP genotype (data not shown). In contrast (and regardless of whether they belong to the control group or to the asthmatic population), CC genotypes and to a lesser extent TC genotypes had lower concentrations of sCD14 (absolute values) than TT carriers, while no significant differences were observed between TC and CC subjects (Figure 3a). This association was maintained between TT and CC carriers when sCD14 levels were normalised by the absolute count of monocytes (Figure 3b). Therefore, this SNP could be partially responsible for the reduction of normalised sCD14 levels in AA and influence the severity of this disease (Figure 2e).

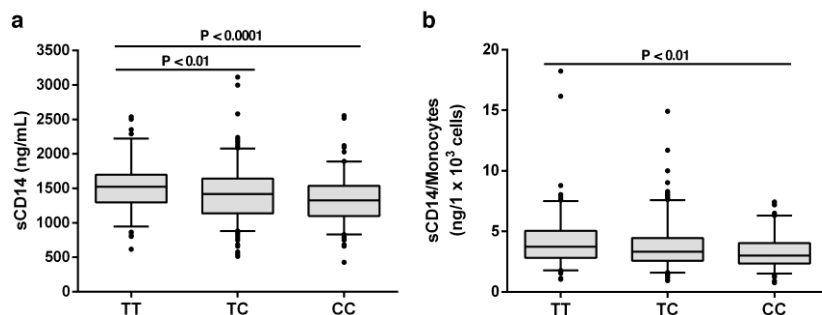


Figure 3. Impact of the *CD14* (-159 C/T) SNP (rs2569190) on sCD14 levels in serum. Absolute (ng/mL) (a) and relative (ng/1 x 10³ monocytes) (b) sCD14 levels in serum samples from TT, TC and CC donors (healthy and asthmatics). *Kruskal-Wallis One Way Analysis of Variance on Ranks, $P < 0.001$; Dunn's Method was used for multiple comparisons (numbers on the graph represent P -values).

DISCUSSION

In the current study, we report data supporting an increase of NSE and monocytes and a down-modulation of their mCD14 expression in allergic asthma regardless of disease severity. In addition, we detect a decrease of normalised sCD14 values in serum samples from asthmatics, suggesting the expansion of a CD14^{low} monocyte subset and the influence of the *CD14* (-159 C/T) SNP genotype. Indeed, we evidence an association of the T allele and TT genotype of *CD14* (-159 C/T) polymorphism with reduced risk of moderate-severe allergic asthma.

AA in our study have an atopic disease with eosinophilia, monocytosis and elevated IgE levels. NSE is the neuronal isomer of the glycolytic enzyme 2-phospho-D-glycerate hydrolase, and a typical biomarker of small cell lung cancer.²⁸ Nevertheless, changes in non-malignant inflammatory lung diseases have also been found,^{27,29-32}

since this enzyme can translocate towards the cell surface upon proper stimulatory signals to enhance a proinflammatory response.³³ Our results support the presence of higher NSE levels in men, as previously reported by Collazos *et al.*,²⁹ but contrary to this work our asthma patient cohort displays above-normal NSE levels in serum. Monocytes/macrophages appear to be a possible source of NSE,^{27,33} and increased numbers of monocytes as well as a correlation of them with NSE levels were demonstrated in our study. However, other plausible sources of NSE are eosinophils or injured epithelial cells during pulmonary infiltration,²⁶ while the neuronal distress or hypoxia occurring in the lung also could play a role during the disease.²⁹

Apart from other cells as T and B lymphocytes, eosinophils, basophils or neutrophils, monocytes are gaining importance as regulators of inflammation in asthma and as key players in the pathogenesis.³⁴⁻³⁶ Our results show the expansion of this subset in AA regardless of the severity of the symptoms (i.e., IMAA and MSAA), as well as a reduction of mCD14, a monocyte marker associated to asthma.³ In contrast, some authors have described no differences in the staining for mCD14,^{37,38} even though these could be the result of a low statistical sample size. Reduced levels of mCD14 or TLR4 in AA makes biological sense,³⁹ as signal transduction through CD14/TLR4 leads to IL-12 secretion, a powerful inducer of TH₁ polarization.¹³ Therefore, attenuated mCD14 levels on antigen presenting cells (APCs) could favour TH₂-driven allergic asthma.^{4,5,13,16} This diminished number of mCD14 molecules on monocytes could arise as a result of several, and not mutually exclusive, mechanisms: a) altered transcription/translation rates affecting protein abundance; b) expansion of CD14^{low} monocyte subsets; c) a vesicle- or enzymatic-mediated mechanism that release mCD14 from monocytes and should also affect sCD14 concentration.

The degree of mCD14 down-modulation on monocytes suggests the preferential expansion of a small CD14^{low} subset and not a globally altered transcription/translation rate. This, for example, is in line with the increased percentage of CD14^{low/-} monocytes upon *in vitro* culture in the presence of TSLP, a cytokine important in allergic asthma.⁴⁰ Monocytes are heterogeneous, with major (CD14^{high}) and minor (CD14^{low}) subsets.³³ CD14^{high} (“classical”) monocytes display a CD16/FcγRIII⁻ phenotype, while the less frequent CD16⁺ subset consists of both intermediate (CD14^{high}CD16⁺) and non-classical (CD14^{low}CD16⁺) subpopulations.³⁴ CD16⁺ monocytes, particularly the intermediate subset, are expanded in inflammation, severe asthma or upon allergen challenge,^{34,36} in line with their pro-inflammatory nature.⁴¹ A major constraint of our study is that we have not analysed CD16, but our results show a significant down-modulation of mCD14 in allergic asthma, which appears to rather support the expansion of CD14^{low}CD16⁺ monocytes. These cells (non-classical subset) express high levels of CD80, CD86, and CD163, suggesting a high antigen presenting capability.⁴² Furthermore, non-classical monocytes are in an advanced differentiation stage and they evidence high invading ability to infiltrate and differentiate into M2-type macrophages,⁴³ a subset related to allergic inflammation.⁴⁴

CD14 can be released to medium from hepatocytes as an acute phase protein.⁴⁵ Although we saw a small correlation between sCD14 and CRP or TNF, the levels of these two last molecules had no changes between AA and HC, and our patients were in a steady-state of the disease. Excluding the hepatocyte origin, monocytes are the most likely cell source of sCD14. Down-modulation of mCD14 in monocytes from AA does not fit with either its shedding^{2,6,7} or the release of mCD14-enriched vesicles [http://exocarta.org/gene_summary?gene_id=12475] from these cells, because both processes should lead to a higher number of sCD14

molecules in the extracellular compartment, as happen during the acute phase.^{15,16,46,47} However, patients in our study are in a chronic phase, where there is no relationship between monocyte counts and sCD14 or mCD14-sCD14 correlation.¹⁶ Therefore, our results only make sense considering a puzzling scenery with an elevation of monocyte numbers and enhanced frequencies of both CD14^{high},³⁴ but also CD14^{low} (our results) subsets of monocytes in asthma. Indeed, in our study only normalised serum levels of sCD14 were significantly reduced in patients. In agreement, sCD14 levels have been inversely correlated with IL-4-production,¹¹ total IgE,¹¹ or asthma severity.⁴⁶ However, some authors have detected higher levels¹² or no differences^{7,15} of baseline sCD14 in peripheral blood from asthmatics. Hence, we cannot rule out the contribution of many potential confounding factors that explain these different results, like gene-gene or gene-environment interactions.^{11,15}

One of the most studied CD14 polymorphisms in asthma is the *CD14* (-159 C/T) SNP (rs2569190).¹¹ Previous studies investigating the association of this SNP with allergic asthma yielded variable results regarding the strength and direction of the association.^{3,17,24} These contradictory results can be explained by differences in ethnicity, low sample size, the age of patients or gene-environment interaction.^{21,25} We performed our study in a well-defined population (Caucasian, adults, allergic asthmatics and mostly non-farmers), with a high sample size (277 AA vs. 277 HC), and two different disease severity grades (IMAA and MSAA). In agreement with others,^{11,17} we show an association of the frequency of the C allele and the CC genotype with allergic asthma (whole asthmatics). More interesting, this association is also related to the disease severity, as it is only maintained in MSAA and within this group, in severe asthmatics. Moreover, the risk of having moderate-severe allergic asthma (but not intermittent-mild asthma) is lower in carriers of the T allele (T vs. C)

and TT genotype, following either a recessive model (TT vs. TC+CC) or after comparing TT vs. CC homozygotes. As other works have shown,^{5,11,12,18,19} we evidence augmented sCD14 levels in subjects carrying the TT genotype but no association of this polymorphism with mCD14 levels on monocytes. This suggests an adverse role for the C allele, the CC genotype and the presence of low levels of sCD14/mCD14 in allergic asthma or atopy,^{11,46} especially among adult and atopic subjects exposed to low levels of endotoxin, like our cohort.¹³

In summary, our findings show an increment in the serum levels of NSE, which could be used as a novel biomarker of allergic asthma. On the other hand, we also found a decrease in the expression of CD14 on monocytes from allergic asthmatic patients, probably related to an increase of CD14^{low} monocyte subset. Moreover, we evidence an association of the (-159 C/T) SNP in the CD14 promoter with allergic asthma, and a decreased risk of having moderate-severe allergic asthma in carriers of T allele and TT genotype. Furthermore, TT genotype is associated with higher levels of sCD14, pointing out a protective role for the T allele in this disease.

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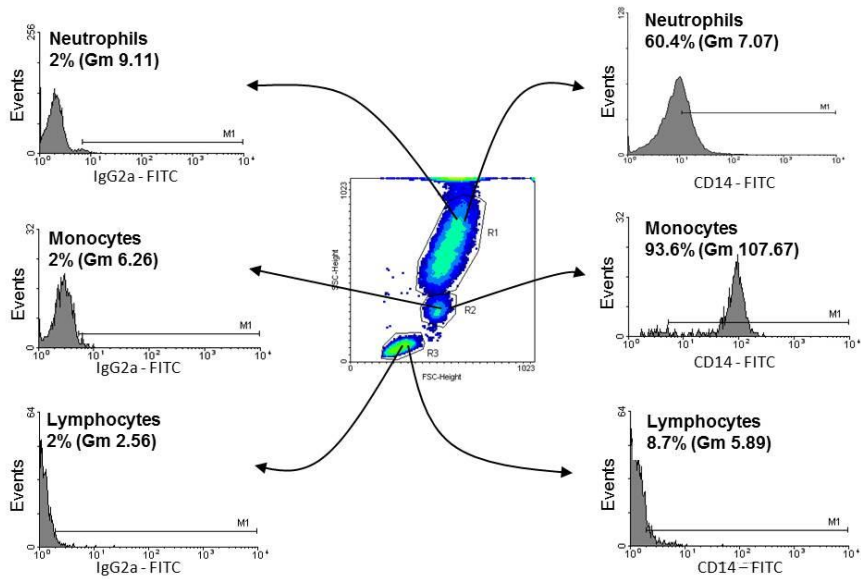
Supplementary Tables

Supplementary Table 1. PCR and SBE primers used for the iPLEX assay to assess the *CD14* (-159 C/T) polymorphism.

Second PCR Primer*	acgttggatgAGACACAGAACCCTAGATGC
First PCR Primer*	acgttggatgCAATGAAGGATGTTTCAGGG
Amplicon length (bp)	97
UEP direction	Reverse
SBE unextended primer (UEP)	AATCCTTCCTGTTACGG

*The lowercase letters in the PCR primer sequences are 5'-end tags.

Supplementary Figures



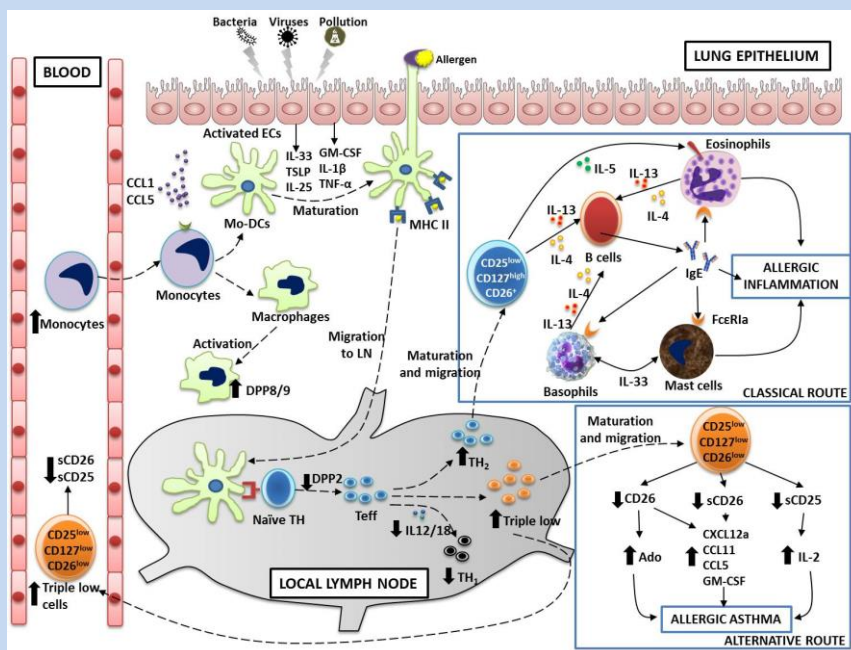
Supplementary Figure 1. CD14 expression in major peripheral blood leukocyte subpopulations. Leukocytes were marked with CD14-FITC or isotype antibody IgG2a κ -FITC. Data are shown as dot plots “forward scatter” (FSC) versus “side scatter” (SSC), where different leukocyte subpopulations are represented (neutrophils/R1, monocytes/R2 and lymphocytes/R3), and histograms, where mean fluorescence intensity (x-axis) is represented versus number of cells (y-axis) of every subpopulation (R1-R3). Left we can see the negative controls where 2% is chosen to threshold value. A representative result is shown.



CHAPTER II

Expansion of a CD26^{low} Effector TH Subset and Reduction in Circulating Levels of sCD26 in Stable Allergic Asthma in Adults

II



The work presented in this chapter has been published in *Journal of Investigational Allergy and Clinical Immunology* with the following reference:

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INTRODUCTION

Asthma is influenced by genetic factors (eg, dipeptidyl peptidase 10 [*DPP10*] and ADAM metallopeptidase domain 33 [*ADAM33*]) and environmental factors [1]. Its management should be based on endotypes [1,2]. During asthma attacks, allergens trigger lung epithelial cells to release cytokines, which in turn activate innate leukocytes and drive type 2 helper T cell (T_H2) lymphocyte differentiation [1]. These cells release interleukins (IL-4, IL-5, and IL-13), stimulate IgE production and favor the activation of eosinophils, mast cells, and basophils [1]. This effector role is counteracted by regulatory T cells (Tregs) [3], whose number and/or function may be altered in asthma [4]. Both $CD4^+$ T subsets express differential levels of interleukin receptor 2 (IL-2R). Thus, CD25 (IL-2R α) is mainly expressed by Tregs [4], while a $CD25^{-low}$ phenotype is present in effector $CD4^+$ T lymphocytes (Teff). T-cell receptor (TCR)–triggered Teff cells release soluble CD25 (sCD25), an activation marker [5] that is elevated in serum/plasma during asthma exacerbations [6] and that correlates positively with the severity of allergic asthma [7]. In addition, Tregs are thought to be another source of sCD25 [4,8,9]. sCD25 is also increased in bronchoalveolar lavage fluid from asthma patients [8,9].

Another interesting protein in the pathogenesis of asthma is CD26/DPP4, a surface glycoprotein enriched in $CD4^+$ T cells [10]. In the form of dipeptidyl peptidase 4 (DPP4; EC 3.4.14.5), the enzyme belongs to the serine peptidase subfamily S9B, which includes an asthma susceptibility locus (*DPP10* [11]), dipeptidyl peptidase 8 (*DPP8*), dipeptidyl peptidase 9 (*DPP9*), and fibroblast activation protein alpha (*FAP*) [12-16]. Dipeptidyl peptidase 2 (*DPP2*, *DPP7*) from serine peptidase subfamily S28 also displays DPP4-like activity at acidic pH. As CD26, the glycoprotein interacts with adenosine deaminase, CD45, caveolin-1, and C-X-C chemokine receptor type 4

(CXCR4), thereby fulfilling either inhibitory or enhancing roles upon association [17]. CD26 is an activation marker known to be upregulated on lymphocytes (especially CD4⁺) in adults with allergic asthma [18]. CD4⁺ T cells are major actors in the pathogenesis of asthma; however, unlike CD25, Treg cells display lower CD26 levels than Teff lymphocytes. Indeed, CD26 is a negative marker of Treg cells and a marker of the remaining T_H subsets. Thus, expression of CD26 on T_H follows the order of T_H17>>T_H1>T_H2>Treg [19,20]. Hence, an elevated presence of CD26 on CD4⁺ T cells in adult allergic asthma suggests an activated status [18,21] and may point to a specific T-cell phenotype. Moreover, a soluble form of CD26 (sCD26/sDPP4) is released from T cells into the bloodstream, either shed by metalloproteases [22] or secreted by CD26⁺ vesicles (<http://www.exocarta.org>). In plasma/serum, sCD26 accounts for >90% of total sDPP4-like activity [23,24], and the remaining 10% is derived from the intracellular peptidases DPP2, DPP8, and DPP9. These DPP4 homologs are also involved in the pathogenesis of asthma [13] and show differential expression in leukocytes [14,15] and eosinophils [25]. Moreover, both DPP8 and DPP9 enzymes are upregulated in activated macrophages and trimmed for antigen presentation [14,15]. Besides, DPP2 is necessary for maintaining the quiescence of lymphocytes and is downmodulated upon activation [15].

CD26/DPP4 cleaves X-Pro or X-Ala amino terminal dipeptides from chemokines (eg, CXCL12a [stromal cell-derived factor-1 α , SDF-1 α], CCL11 [eotaxin], and CCL5 [regulated on activation, normal T cell expressed and secreted, RANTES]), thereby modulating their biological activity and immunological function, as recently reviewed [17,26,27]. Other substrates include neuropeptides and peptide hormones such as incretins [28,29], whose half-life is prolonged by the DPP4 inhibitors currently used as antidiabetic drugs

[30]. Vascular substrates of DPP4 may be cleaved by sDPP4, DPP4 expressed on leukocytes, and endothelial DPP4 [31]. Increased DPP4-like activity has been observed in bronchoalveolar lavage fluid from asthmatic rat lungs and is due mostly to sDPP4 and, to some extent, DPP8, DPP9, and DPP2 [13,32].

CD4⁺ T lymphocytes are the main source of sCD26, as this subpopulation displays the highest percentage of CD26⁺ cells [10,23,33]. Therefore, this molecule could be used as a “fingerprint” to test the activation status or differentiation status of CD4⁺ T cells in asthma. However, the few studies that have been undertaken show augmented levels of sCD26 in allergic asthma that were positively correlated with eosinophils and IgE [18]. In contrast, sCD26 was inversely associated with inflammation in chronic eosinophilic pneumonia, a disease linked to asthma [34], while no changes were observed for sCD26 in children with asthma or atopy [35].

To date, few studies have monitored sCD26 in asthma, and none consider the potential roles of CD26 in the pathogenesis of asthma or take into account the possibility that abnormalities of circulating biomarkers (sCD25 and sCD26) may reflect changes in leukocyte phenotype such as CD25^{-/low}CD26⁺ Teff cells and CD25^{+/high}CD26^{low} Treg cells. Therefore, in the present study, a comprehensive analysis was carried out to assess the aforementioned immune biomarkers in patients with moderate-severe allergic asthma.

MATERIAL AND METHODS

Subjects

The study was conducted between 2009 and 2012 and included patients from hospital consultations for Pneumology and Allergy in

Galicia (Spain). Patients had confirmed diagnosis of asthma and allergy for at least one year according to Global Strategy for Asthma Management and Prevention (GINA 2006, <http://www.seicap.es/documentos/archivos/GINA2006general.pdf>) criteria. The research project was approved by the Ethics Committee of Clinical Research of Galicia (2011/001), Spain, and informed consent was obtained from all individual participants included in the study. A validation cohort was also recruited from 2014 to 2016 (Neumology Service, University Hospital of Santiago de Compostela, Spain). All patients were in a stable phase for at least 4 weeks before sample collection. Healthy Controls (HC) were selected from patients scheduled in the hospital for minor surgeries such as inguinal hernia or orthopedic surgery; they were non-smokers and systemic diseases or allergies were absent. None of the patients or healthy controls were on DPP4 inhibitors treatment.

Sensitization in allergic asthmatic patients (AAP) was confirmed through a skin prick test or serum IgE specific to frequent allergens. Other variables were also accounted: body mass index (BMI), rural-urban residence, profession, smoking or comorbidities. Biochemical determinations were performed using an ADVIA[®]1650 analyzer (SIEMENS Healthcare Diagnostics S.L., Berlin, Germany). The nucleated cells number was measured using an ADVIA[®]2120 hematology counter (SIEMENS Healthcare Diagnostics S.L., Berlin, Germany).

Magnetic purification of CD4⁺ T cell subsets and in vitro culture

Buffy coats from healthy subjects were donated by “Axencia Galega de Sangue, Órganos e Tecidos” (Santiago de Compostela, Spain) and used to isolate peripheral blood mononuclear cells (PBMCs) by Ficoll[®] density gradients. Teff and Treg cells were prepared from PBMCs by means of the Dynabeads[®] Regulatory CD4⁺CD25⁺ T cell

Kit (Life-Technologies, Spain). Viability was always >90% (trypan blue exclusion).

Teff (CD4⁺CD25⁻) and Treg (CD4⁺CD25⁺) cells were cultured *in vitro* for 4 days in 96-microwell U-bottom plates with ImmunoCult™-XF T Cell Expansion Medium (StemCell, Grenoble, France) supplemented (or not) with soluble tetrameric antibody complexes (ImmunoCult™ Human CD3/CD28 T Cell Activator; StemCell). To promote a partial Teff differentiation, the following cytokines (PeproTech, London, UK) were added: 10 ng/mL IL-12 (TH₁-like), 10 ng/mL IL-4 (TH₂-like), or IL-1β (100 ng/mL), IL-6 (30 ng/ml) and IL-23 (100 ng/mL) (TH₁₇-like). In addition, 800 ng/mL of IL-2 was used for Treg cells maintenance.

Flow cytometry assays

Venous peripheral blood was collected (BD Vacutainer K2E) in order to examine the proportion of Teff and Treg cells. Leukocytes from 100 µL of whole blood were stained (30 min, room temp.) with mouse IgG1 κ isotype antibodies (BD Biosciences) labelled with FITC, PE-Cy7, AlexaFluor-647 and PE as negative controls. Alternatively, cells were stained with mouse IgG1 κ specific antibodies (BD Biosciences) against CD4 (FITC), CD25 (PE-Cy7) or CD127 (Alexa Fluor-647) and a mouse IgG2b antibody specific for CD26 (PE; Immunostep); then, red cells were lysed (BD FACS™ Lysing Solution). The purity of Teff/Treg lymphocytes prior (or after) *in vitro* cell culture was also assayed with the same specific (CD4-FITC, CD25-PE-Cy7, CD26-PE) or isotype antibodies (Isotype-FITC, Isotype-PE-Cy7, Isotype-PE). Finally, samples were analysed (BD FACSCalibur and FACSsort) and a number of 10,000-200,000 events collected. Data were examined using WinMDI 2.9 software (Joseph Trotter, La Jolla, CA. USA).

DPP4 activity measurement

Total DPP4 enzymatic activity was colourimetrically assayed by means of a flat-bottom 96-well microplate-adapted and end-point protocol. Cell culture supernatants (50 μ L) were diluted with 50 μ L reaction buffer (0.05 M Tris(hydroxymethyl)aminomethane (TRIS)-HCl pH 8.0 buffer) and 100 μ L of 2 mM glycyl-prolyl-paranitroanilide (Gly-Pro-pNA). Plates were incubated at 37°C and absorbance sequentially (30-120 min) recorded at 405 nm (Labsystems Multiscan MS microtiter plate reader). The concentration of pNA was calculated from a standard curve, ranging from 0 – 2000 μ M. One international unit (IU) was defined as the amount of enzyme that processes 1 μ mol Gly-Pro-pNA (or releases 1 μ mol pNA from this substrate) per minute. Assays were performed in duplicate for each sample.

Determination of sCD25 and sCD26

Serum sCD25 levels were measured through enzyme-linked immunosorbent assay (ELISA) from R&D Systems, MN, USA (Quantikine® Human IL-2R α Immunoassay), and sCD26 was quantified with ELISAs plates from eBioscience®, Vienna, Austria (Human sCD26 Platinum ELISA). Optical densities were recorded at 450 nm and protein concentration calculated from standard curves.

Statistics

Descriptive data are presented as either median (interquartile range; IQR1-3) or percentages. To assess the changes between AAP and HC in non-normally distributed variables we used Mann–Whitney U two-tailed test, or the Kruskal–Wallis test followed by Dunn’s multiple comparison test for more than 2 groups. Spearman’s test was used to measure the association between variables. All analyses were conducted using GraphPad Prism 6.0 (GraphPad Software, Inc., San

Jose, California, USA). The statistical significance was defined as $P < 0.05$.

RESULTS

Characteristics of the First Cohort of Allergic Asthmatic Patients

Table 1. Characteristics of the Study Population^a

	Allergic Asthmatic Patients ^b			Healthy Controls		
	Male	Female	All	Male	Female	All
No. (%)	33 (40.7)	48 (59.3)	81 (100)	36 (37.1)	61 (62.9)	97 (100)
Age	33 (21-45)	36 (28-48)	35 (26-47)	35 (27-49)	37 (29-52)	35 (29-51)
Smokers, % ^c	45.5	18.75	29.6	0	0	0
BMI, kg/m ²	26.4 (23.8-29)	26.7 (22.6-28)	26 (23.1-28.4)	-	-	-
Asthma Severity:						
Mild	2	4	6	-	-	-
Moderate/High	31	44	75	-	-	-
Control:						
Good	23	37	60	-	-	-
Bad	10	11	21	-	-	-
Treatment:						
No	1	2	3	-	-	-
Inhaled corticosteroids	32	46	78	-	-	-
Oral corticosteroids	5	3	8	-	-	-
Antileukotrienes	6	19	25	-	-	-
Omalizumab	0	1	1	-	-	-

Continued on next page

Table 1 (Continued)

FEV ₁ (%)	93 (74-101)	91.8 (73-102)	93 (74-102)	-	-	-
FEV ₁ /FVC (%)	74.5 (64-83)	73.5 (65-81)	74.2 (65-82)	-	-	-
Lymphocytes, cells/ μ L	2480 (1935-2835)	2150 (1770-2680)	2310 (1848-2765) ^d	1941.5 (1615-2372)	1892.3 (1585-1892)	1912.7 (1594-2362) ^d
Eosinophils, cells/ μ L	327 (154-502) ^d	326 (182-492) ^d	327 (175-494) ^d	173 (110-268) ^d	133 (97-198) ^d	152 (103-218) ^d
Monocytes, cells/ μ L	501 (414-658)	420 (306-518) ^d	444 (349-575) ^d	425 (350-528)	318.8 (231-399) ^d	361 (271-451) ^d
Neutrophils, cells/ μ L	4458 (3202-5617)	3851 (2814-4859)	4045 (3003-5232)	3286 (2645-5685)	3399 (2812-4472)	3395 (2776-4809)
IgE, IU/mL	355 (87-707) ^d	204 (89-680) ^d	241 (90-682) ^d	78 (19-195) ^d	22 (7-55) ^d	34 (10-95) ^d
CRP, mg/dL	0.09 (0.07-0.42)	0.16 (0.07-0.32)	0.15 (0.07-0.34)	0.19 (0.1-0.69)	0.16 (0.05-0.39)	0.17 (0.07-0.57)
TNF, pg/mL	9.4 (6.6-12.0)	9.5 (7.6-12.9)	9.4 (7.2-12.3)	8.6 (7.3-10.4)	10.5 (7.6-13.2)	9.7 (7.4-12.9)
Leptin, ng/mL	2.9 (1.3-7.2) ^e	18.1 (11.2-27.9) ^e	11.2 (3.1-23.9)	2.9 (1.0-8.0) ^e	13.0 (5.35-26.9) ^e	8.1 (3.3-20.0)

Abbreviations: BMI, body mass index; CRP, C-reactive protein; FEV₁, forced expiratory volume in the first second; FVC, forced vital capacity; TNF, tumor necrosis factor.

^aValues are expressed as median (IQR), unless otherwise specified.

^bWe recorded the professional activity of ~70% of patients, distributed according to the following order: students (14.8%), construction professionals (9.9%), housewives (8.6%), administrative officers (7.4%), cleaning service (6.2%), health professionals (6.2%), waiters (4.9%), educators (4.9%), farmers (2.5%), salespersons (2.5%), and textile workers (2.5%).

^cApart from the nonregistered (8.6%), formerly smoker (11.1%) and current smoker (29.6%) patients, they were mostly nonsmokers (50.6%).

^dDifferences between AAPs and HCs (Mann-Whitney, $P < .05$).

^eDifferences between male and female (Mann-Whitney, $P < .05$).

The characteristics of this first cohort of patients are summarized in Table 1. In AAPs, the median forced expiratory volume in the first second (FEV₁) (%) was 93 (74.5-102.2), while the FEV₁/forced vital capacity (FVC) ratio (%) was 74.2 (65.2-81.9). Asthma was mainly moderate-persistent (71.6%), and patients had an allergic disease, with positive skin prick test reactions against common allergens. In addition, most patients lived in rural areas (72%), although only a small percentage of them were farmers (Table 1). As expected, a significant group of AAPs had peripheral blood eosinophilia (45% had >350/ μ L) and elevated total IgE (Table 1). There was a positive correlation between eosinophils and IgE, but not between FEV₁ and serum IgE in AAPs (Table 2). Patients were under different treatments, were mostly nonsmokers, and had well-controlled asthma (Table 1).

Given the well-known association between leptin and body mass index (BMI) and the assumed correlation between the development/worsening of asthma and BMI, we also studied these parameters. First, BMI in AAPs was positively correlated with leptin and C-reactive protein (CRP), but negatively associated with IgE and both FEV₁% and FEV₁/FVC (Table 2). Second, leptin levels were generally 4 to 6-fold higher in women, although no differences were detected between HCs and AAPs (Figure S1, Table 1). These findings underline the lack of association between BMI and asthma. In contrast, some parameters were more elevated in men, including IgE, basophil counts, and sCD26 (data not shown). The influence of age was also taken into consideration, indicating a positive correlation between BMI and TNF and a negative interdependence with IgE, FEV₁%, and FEV₁/FVC; no association with age was detected for sCD26 or sCD25 (Table 2).

Table 2. Spearman Correlation Matrix of the Study Population

Variables	BMI	IgE	Leptin	sCD25	sCD26	Age
Eosinophil count	-0.004P	0.420 ^a	-0.009	-0.306 ^{bC}	0.063	-0.144
Eosinophils, %	-0.010P	0.379 ^a	0.005	-0.358 ^{bC}	0.051	-0.103
Basophil count	0.011P	0.025	-0.234 ^b	-0.073	0.261 ^b	-0.157
Basophils, %	-0.005P	-0.048	-0.263 ^a	-0.108	0.265 ^b	-0.125
Lymphocyte count	0.021P	0.178 ^c	0.024	-0.327 ^{bC}	0.091	-0.098
Lymphocytes, %	-0.043P	-0.015	-0.090	-0.341 ^{bC}	0.201 ^c	-0.032
Monocyte count	-0.004P	0.266 ^a	-0.138	-0.018	0.111	-0.103
Monocytes, %	-0.015P	0.100	-0.138	-0.037	0.190 ^c	-0.038
Neutrophil count	0.041P	0.101	0.057	-0.091	-0.174 ^c	-0.062
Neutrophils, %	0.075P	-0.094	0.136	0.346 ^{bC}	-0.249 ^b	0.095
FEV ₁ , %	-0.277 ^c P	-0.059P	-0.243 ^c P	-0.035P	0.019P	-0.240 ^c P
FEV ₁ /FVC	-0.252 ^c P	-0.046P	-0.174P	-0.102P	-0.013P	-0.428 ^a P
CRP	0.403 ^a P	-0.016	0.272 ^a	0.214 ^b	-0.057	0.072
BMI		-0.260 ^c P	0.282 ^c P	0.071P	-0.072P	0.504 ^a P
TNF		-0.299 ^b C	0.221 ^b	0.170 ^c	0.040	0.252 ^c C
IgE			-0.230 ^c C	-0.123	-0.066	-0.275 ^c P
Leptin				0.102	-0.280 ^c P	0.147
sCD25					0.001	0.015
sCD26						0.003

Abbreviations: BMI, body mass index; C, control population; CRP, C-reactive protein; FEV₁, forced expiratory volume in the first second; FVC, forced vital capacity; P, patient population; TNF, tumor necrosis factor.

^aP<.001

^bP<.01

^cP<.05

sDPP4 Activity Is Highly Correlated With CD26 Expression on CD4⁺ T Lymphocytes In Vitro

CD26 was mainly expressed by lymphocytes, especially CD4⁺ T cells. Furthermore, CD26 expression was higher in Teff (CD4⁺CD25^{low}CD127^{high}) than Treg cells (CD4⁺CD25^{high}CD127^{low})

(Figure S2). To investigate whether phenotypic differences in $CD4^+$ T cells with respect to CD26 expression could be translated into altered sCD26 levels, we performed in vitro experiments with Treg cells ($CD4^+CD25^{high}$) and Teff lymphocytes ($CD4^+CD25^-$) cultured under T_H1 -, T_H2 -, or T_H17 -skewing conditions. Results from the determination of sDPP4 activity in cell culture supernatants (highly correlated with sCD26 levels according to the literature) and flow cytometric assays against CD26 showed a high positive correlation between sDPP4 and CD26 (MFI) (Figure 1A) and a direct association between CD26 levels and absolute lymphocyte counts (Figure 1B). Likewise, there was a positive relationship between sDPP4 and the lymphocyte count (Figure 1C). To maximize the influence of $CD4^+$ T-cell phenotype, we weighted sDPP4 for the lymphocyte count and continued to observe a strong correlation between CD26 expression (MFI) and sDPP4 (Figure 1D), thus supporting the influence of the T_H phenotype on the latter variable. Moreover, comparable results were obtained for both cell surface CD26 expression (MFI) (Figure 1E) and sDPP4 (Figure 1F), with data segregated according to in vitro culture conditions. Indeed, 2 opposite poles were observed: resting Treg and T_H cells cultured under T_H17 -favoring conditions. Moreover, T_H1 -like and T_H2 -like cells expressed intermediate levels of CD26 on lymphocytes (Figure 1E), and this was mirrored in sDPP4 levels (Figure 1F). Therefore, at least in vitro, there was a positive correlation between the density of CD26 molecules on $CD4^+$ T cells and sDPP4 levels (ie, sCD26). Furthermore, we found differential behavior in Teff and Treg cells, with a high positive correlation between the intensity and percentage of CD26 in activated Teff cells and an opposite correlation for Tregs (Figures 1G, H).

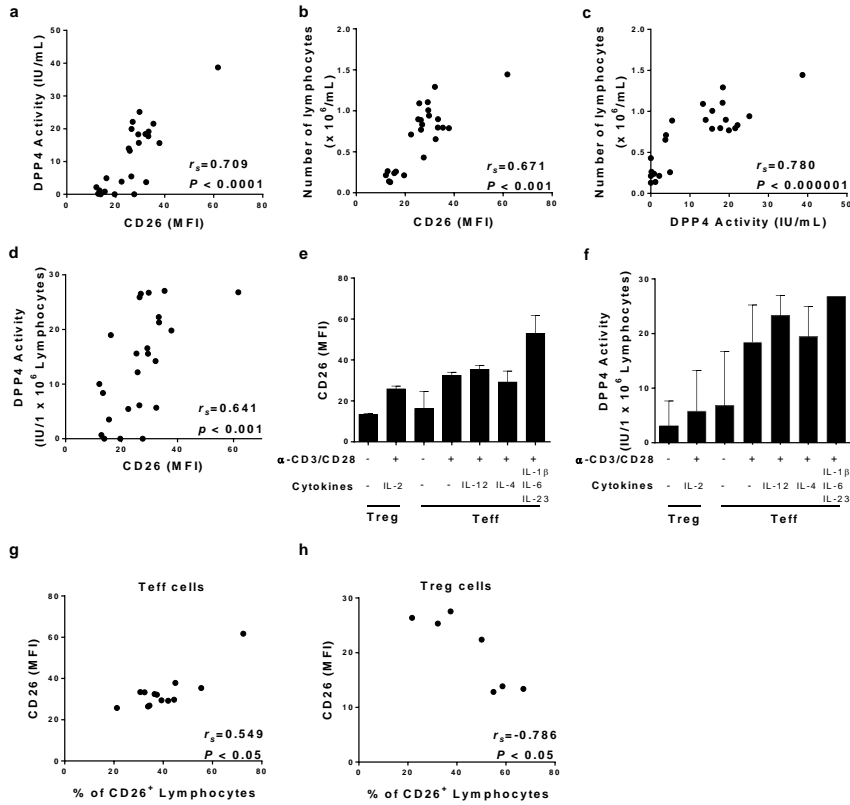


Figure 1. CD26 surface expression and DPP4 activity in culture supernatants of magnetically isolated lymphocytes. Spearman rank correlation test showing the positive relationship between the following: A, CD26 expression (MFI) in resting/activated CD4 $^+$ T lymphocytes and soluble DPP4 activity (sDPP4; IU/mL) in cell culture–derived supernatants (n=24); B, CD26 expression (MFI) in resting/activated CD4 $^+$ T cells and the lymphocyte count (lymphocytes/mL) at the end of the culture period (n=24); C, CD4 $^+$ T lymphocyte count (lymphocytes/mL) and sDPP4 activity (IU/mL) in culture supernatants (n=24); and D, CD26 expression (MFI) in the membrane of CD4 $^+$ T cells and the relative activity (IU/L $\times 10^6$ lymphocytes) of sDPP4 in culture supernatants (n=24). Potential outliers in A-C were not eliminated, because their removal did not significantly affect r_s values. **Continued on next page.**

Figure 1 (Continued). (E-F) Histogram plots (median [IQR]) showing the alteration in membrane CD26 (MFI) in T_H cells (E) and the corresponding sDPP4 activity in cell culture supernatants (F) from resting regulatory T cells (Treg), regulatory T cells expanded with activation beads and IL-2 (Treg + B + IL-2), resting effector T cells (Teff), and activated effector T cells expanded with activation beads in the absence (Teff + B) or the presence of the cytokines required for differentiation of effector T_H subsets differentiation: T_{H1} (Teff+ B + IL-12), T_{H2} (Teff + B + IL-4)- and T_{H17} (Teff + B + IL-1 β + IL-6 + IL-23) (n=4). Figures (G) and (H) show, respectively, the positive and negative association (Spearman rank correlation test) between the fluorescence intensity (geometric mean; CD26 MFI) and the percentage of CD26⁺ lymphocytes in activated Teff (n=13) and resting/activated Treg cells (n=7). Results with resting Teff cells were not included in figure G for clarity, since resting Teff and Treg cells are more alike than resting and activated Teff cells.

Reduction of Serum sCD26 and sCD25 and Increment of CD4⁺CD127^{low}CD25^{low}CD26^{low} T Cells in Allergic Asthma Patients

Despite therapy with corticosteroids, circulating lymphocytes were increased in AAPs (Table 1). Given that in vitro experiments showed a positive correlation between CD26 on CD4⁺ T cells and sDPP4, Treg and Teff cells have a reverse phenotype (CD25^{high}CD26^{low} and CD25^{low}CD26^{high}, respectively), and asthmatic donors were expected to have effector/regulatory disequilibrium, we evaluated both sCD26 and sCD25. Our results showed a slight positive correlation between sCD26 and basophils and a negative correlation between sCD26 and neutrophils (Table 2). However, sCD26 levels were not positively associated with BMI, and there was a slightly negative relationship with leptin (Table 2). In addition, sCD25 was directly associated with CRP and neutrophils (%), although negatively with eosinophils (Table 2). Importantly, instead of the previously reported sCD26 upregulation [18], a slight but nonsignificant decrease was observed in the absolute concentration of sCD26 in AAPs (Figure 2A), even after segregation of data by gender (data not shown). Moreover, we found a small association between sCD26 and the percentage of lymphocytes (Table 2), but not between

sCD26 and the absolute number (as shown in our experiments *in vitro*). Despite the latter finding, sCD26 was still divided by the lymphocyte count to maximize the effect of T-cell phenotype, revealing significantly lower concentrations in AAPs (Figure 2B). Significant downmodulation in AAPs was observed for the absolute concentrations of sCD25 (Figure 2C) and for the normalized concentrations (Figure 2D). In any case, there was no correlation between sCD26 and sCD25 levels (Table 2).

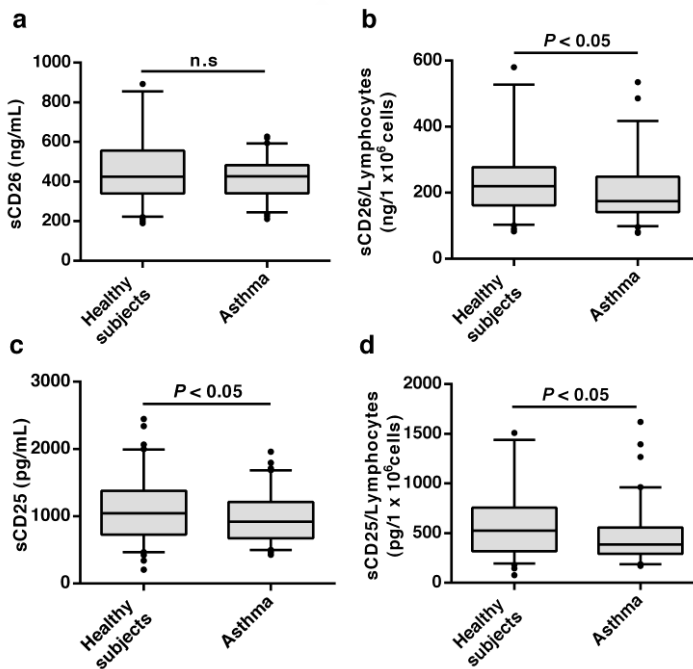


Figure 2. Concentration of sCD26 and sCD25 in serum samples from healthy and allergic asthmatic patients. Data are presented in box and whisker plots where median (IQR), 5-95 percentiles (error bars), and anomalous values are shown. Data refer to levels of sCD26 (A and B; healthy individuals, n=89; asthma patients, n=72) or sCD25 (C and D, healthy individuals, n=96; asthma patients, n=81), which were normalized (B and D) or not (A and C) for the absolute lymphocyte count in peripheral blood. The numbers on the graph represent *P* values (Mann-Whitney). NS, not significant.

According to our *in vitro* assays, the slight reductions in sCD26 (and sCD25) in AAPs point to expansion of a small CD26^{low} subset of CD4⁺ T cells. To test this hypothesis, another independent set of samples with similar characteristics (validation cohort) was obtained from patients with intermittent-mild allergic asthma (n=53) and moderate-severe allergic asthma (n=50) and HCs (n=32). First, Teff cells (CD26^{high}) and Treg cells (CD26^{low}) within circulating CD4⁺ T lymphocytes were detected based on CD25 and CD127 (Figure 3A-C), but an additional CD25^{low}CD127^{low} subset within Teff cells was also found in intermittent-mild asthma (Figure 3B) and moderate-severe asthma (Figure 3C). Besides, this small subset displayed the lowest levels of CD26 (CD26^{~low}) among CD4⁺ T cells (Figure 3D). In intermittent-mild and moderate-severe AAPs, we detected a slight (but not significant) reduction in the percentage of Teff lymphocytes (CD25^{low}CD127^{high}CD26^{high}) (Figure 3E), which was accompanied by a significantly increased proportion of triple-low CD4⁺ T cells (CD25^{low}CD127^{low}CD26^{low}) (Figure 3F). In contrast, the percentage of Treg lymphocytes (CD25^{high}CD127^{low}CD26^{low}) remained unchanged (Figure 3G). In line with the augmented proportion of triple-low CD4⁺ T cells, our data revealed significantly lower absolute levels of sCD26 in serum samples from AAPs (especially in moderate-severe asthma) than in HCs (Figure 3H). This finding was in line with the results from the first cohort. Moreover, in the second cohort, it was unnecessary to weight sCD26 levels by lymphocyte count to maximize the effect of CD4⁺ T-cell phenotype. Downmodulation of sCD26 in AAPs was mainly associated with men, whose levels were higher in the reference population (HCs) than those of women. In contrast, CD26 expression in total lymphocytes was higher in women than in men (data not shown), and also in AAPs than in HCs (Figure 3I). Furthermore, even though we did not perceive abnormalities in Teff (CD26^{high}) or Treg (CD26^{low}) percentages between HCs and AAPs that could explain the reduction in sCD26

levels, women had higher and lower proportions, respectively, of Teff and Treg subsets than men (Figure 4).

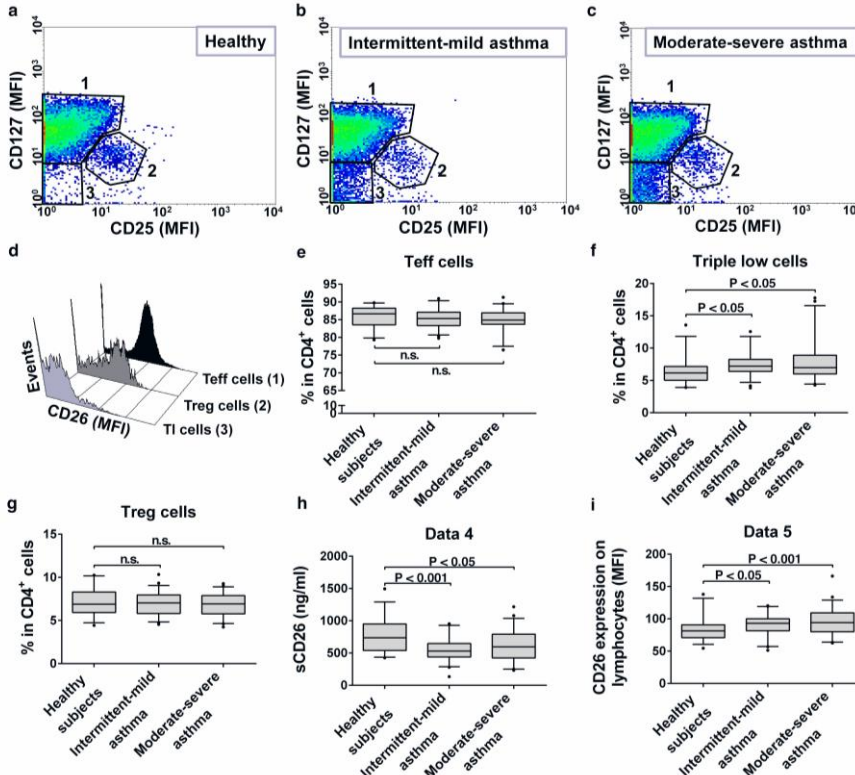


Figure 3. Four-color flow cytometry analysis of peripheral blood CD4⁺ T subsets and evaluation of sCD26 levels in serum samples from healthy and intermittent-mild or moderate-severe allergic asthmatics. A-C, Representative dot-plots (CD25 vs CD127) of CD4-gated lymphocytes showing the 3 major cell subsets detected in peripheral blood from healthy donors (A), intermittent-mild asthmatics (B), and moderate-severe asthma patients (C) (validation cohort): Teff (region 1), Treg (region 2), and triple-low (region 3). D, CD26 expression levels (MFI) in the different CD4⁺ T subsets observed in A-C (Teff > Treg >> “triple low” cells). E-G, Percentage of Teff (E), triple-low (F), and Treg (G) subsets among CD4⁺ T lymphocytes in intermittent-mild asthmatics, moderate-severe asthmatics, and healthy individuals. (H), Serum sCD26 levels (ng/mL) in asthmatic patients and healthy individuals. (I), CD26 expression (MFI) in lymphocytes from healthy individuals and asthmatic patients. Kruskal-Wallis 1-way analysis of variance on ranks. All pairwise multiple comparison procedure, Dunn method. NS, non-significant.

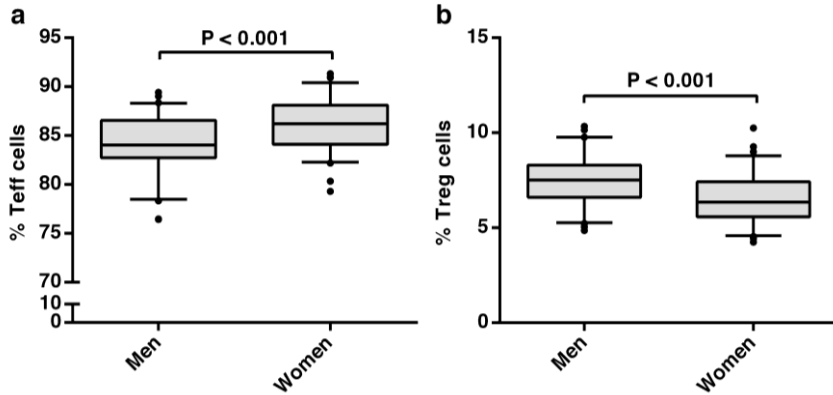


Figure 4. Percentage of Teff and Treg cells in the whole population according to gender. A, Percentage of Teff cells between men and women. B, Sex differences in the percentage of Treg cells. Mann-Whitney, $P < .001$.

DISCUSSION

In the present study, in vitro experiments provided evidence of a direct correlation between lymphocyte surface CD26 and sDPP4 activity and differential expression/secretion of CD26 related to the T_H phenotype. Although we expected increased sCD26 in patients (in line with the enhanced expression of CD26 in lymphocytes), we found lower levels of sCD26 than in healthy donors. This finding can be partially explained by the expansion of a $CD25^{low}CD127^{low} T_H$ subset, with the lowest levels of CD26 amongst $CD4^+$ T lymphocytes (triple-low). This subpopulation could have an important pathogenic role in asthma (Figure 5).

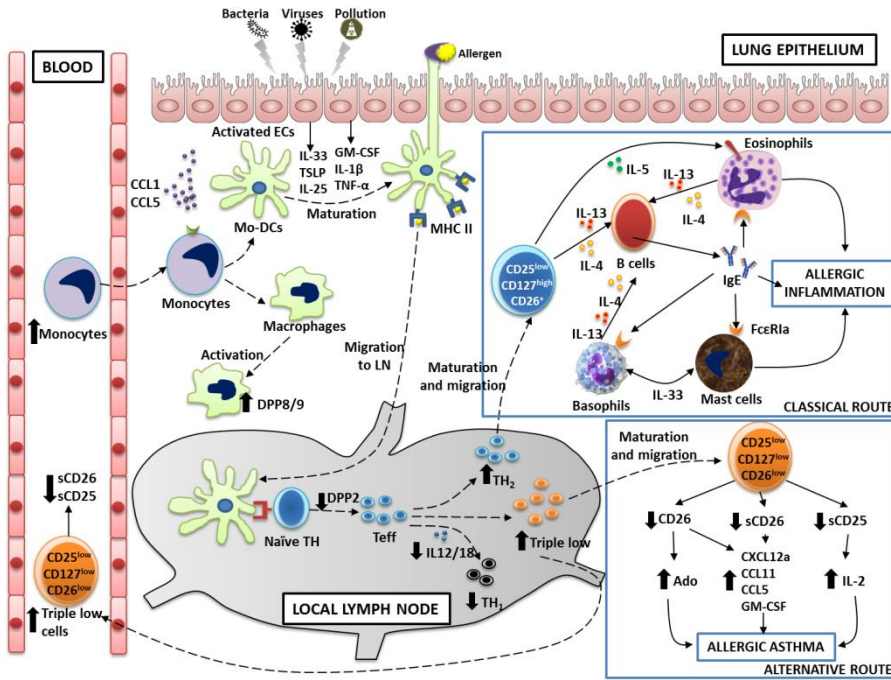


Figure 5. Major immunological pathways in the pathogenesis of allergic asthma. Epithelium damaged by pollution or infection leads to chemokine secretion by airway epithelial cells, enhancing trafficking of innate cells such as monocytes from blood to lung epithelium. Monocytes differentiate into macrophages, which increment expression of DPP8/9 upon activation, or into monocyte-derived dendritic cells (Mo-DCs), the last subset migrating to lymph nodes (LNs) upon maturation. Within the LNs, Mo-DCs present antigens to naïve T_H cells, which lost DPP2 and differentiated into $CD25^{low}CD127^{high}CD26^{+}$ T_H2 cells (classic route). On the other hand, our results also evidence the expansion of an unconventional $CD25^{low}CD127^{low}CD26^{low}$ T_H subset (triple-low) in asthma patients. Triple-low cells could have an important role in allergic asthma, as their lower levels of CD26 on plasma membrane or their diminished ability to release sCD26 could promote higher local amounts of chemokines (eg, CXCL12a/SDF-1 α , CCL11/Eotaxin, or CCL5/RANTES) and adenosine (Ado) and exacerbate asthma. CCL indicates chemokine (C-C motif) ligand; TSLP, thymic stromal lymphopoietin; T_H , helper T cell; GM-CSF, granulocyte-macrophage colony-stimulating factor; Fc ϵ RI, high-affinity IgE receptor.

CD26 is a multifunctional molecule with both stimulatory and inhibitory roles [17] and remarkable differences in expression between Treg and Teff cells [19,20], as with CD25 and CD127 [36]. IL-12 leads to T_H1 polarization [37] and potentiates the TCR-mediated upregulation of CD26 on $CD4^+$ T cells [38]. On the other hand, in 2012, Bengsch et al [20] used flow cytometry and cell sorting assays with human peripheral blood and tissue samples to show that human T_H17 cells express the highest levels of CD26, followed by T_H1 , T_H2 , and Treg lymphocytes [20]. Our in vitro assays reveal a similar pattern, where Teff cells undergoing T_H17 -polarizing conditions (IL-1 β , IL-6, IL-23) also acquire the highest levels of CD26 and sDPP4 activity ($T_H17 > T_H1 \geq T_H2 > Treg$). Although 90% of soluble DPP4 activity is derived from sCD26 [23,24], we must also consider the likely contribution to total DPP activity in cell culture supernatants of other DPP enzymes expressed by lymphocytes, such as DPP8, DPP9, and DPP2. Nevertheless, these enzymes are intracellular and only minute amounts of them reach the extracellular compartment because of cell turnover. Besides, the most likely candidate, DPP2, is a structurally unrelated aminopeptidase that is expressed in quiescent lymphocytes, is downregulated upon activation, and is active at both acidic and neutral pH, but not at the alkaline pH used in our DPP4 enzyme activity assays. Therefore, sDPP4 activity is a bona fide indicator of actual sCD26 levels in cell culture supernatants.

Stimulation by IL-4 results only in moderate upregulation of CD26 followed by $CD4^+$ T cell activation. Thus, T_H2 cell differentiation in allergic asthma should lead to cells with slightly higher levels of CD26 (although lower than T_H1 or T_H17 lymphocytes), as well as enhanced concentrations of sCD26 in serum. Accordingly, Lun et al [18] found upregulation of CD26 in $CD4^+$ T cells from adult patients with allergic asthma, which we confirmed in the second cohort. Moreover, the authors also reported increased

sCD26 in allergic asthmatics [18]. However, under pathological conditions, sCD26 has more frequently been reported to have decreased concentrations [23] than increased concentrations [39]. Indeed, we found a trend towards sCD26 downmodulation in allergic asthma. Similar sCD26 downmodulation has been reported in chronic eosinophilic pneumonia [34], while Remes et al [35] detected no differences in serum sCD26 levels in children with asthma and no association with atopy [35]. Therefore, although it is difficult to ascertain the reasons for these differences, since most studies (including ours) used the same ELISA kit (Bender MedSystems) for determination of CD26 concentration [18,35], at least in the study of Lun et al the results might be partially explained by a higher proportion of males or perhaps a more active disease status.

Contrary to findings from in vitro studies, values for circulating sCD26 in serum samples are not correlated with the number of lymphocytes, probably owing to a series of processes: (a) shedding of sCD26 [22] or release of CD26⁺ vesicles [http://exocarta.org/gene_summary?gene_id=1803]; (b) changes in proportions of CD26^{high} and CD26^{low} subsets; and (c) release of sCD26 by adipose tissue or hepatocytes [40,41]. However, the third possibility is not supported by the present study owing to unaltered levels of CRP. Furthermore, the lack of variation in overall adiposity and absence of differences in leptin levels between AAPs and HCs as found in the present study indicate that adipose tissue is not a major source of sDPP4/sCD26. A negative correlation has also been reported between sCD26 (higher in men [42,43]) and leptin (higher in women [44]). No correlation was observed with BMI. Besides, CD26-specific ELISA revealed that sCD26 is downmodulated rather than augmented in asthma patients, contrary to what is expected in obesity-related asthma.

sCD25 is considered a T-cell activation marker, as it is increased during asthma exacerbations [5-8]. Surprisingly, we detected a significant reduction in sCD25 in the first asthmatic cohort and no changes in the second group. These differences are difficult to interpret, although one explanation could be the presence of “stable” disease in our cohort compared with previous studies. In addition, these results show that the behaviour of sCD26 and sCD25 is similar. Indeed, both molecules could share the same cell origin even though they are not correlated. Thus, they are carried by vesicles or released by matrix metalloproteinase-9 (MMP-9)–mediated cleavage [22]. Moreover, our data support the possibility that CD26 is mainly expressed by peripheral blood CD4⁺ T cells and primarily secreted by Teff cells upon TCR triggering *in vitro*. Similarly, Teff cells upregulate and release CD25 following activation [45]. Therefore, the slight reduction in sCD26 and sCD25 in asthmatics might be attributed to the expansion of a CD25^{low}CD26^{low} subset of CD4⁺ T cells.

Treg cells are CD25^{high}CD26^{low} lymphocytes [19] that tend to maintain expression of CD25 upon activation but turn into CD25^{low} lymphocytes when their suppressive function is abrogated [45]. Indeed, deficient function and reduced frequency of Tregs have been described in allergic asthma [4,46,47]. However, we failed to detect a numerical alteration of Tregs, thus ruling out a link between this subset and alteration of sCD26/sCD25 levels in asthma. We also recorded increased percentages of Teff cells and reduced percentages of Tregs in women, thus explaining their higher susceptibility to asthma.

The nature of triple-low lymphocytes is unknown, but they are probably CD25^{low}CD127^{high}CD26^{high} Teff cells that have reduced these 3 surface markers and whose expansion causes the downmodulation of sCD26 and sCD25 in AAPs. Reduction of CD26/sCD26 could be

important for T_H cells in abrogation of the inhibitory role of this molecule and the burst in cell proliferation, potentiating the activity of T_H2-chemokines (eg, CCL11/eotaxin), or favoring the proinflammatory effect of adenosine or substance P [17] (Figure 5). Thus, reduced DPP4 activity in cells or plasma could enhance the bioavailability of T_H2 (e.g., IL-4) and myeloproliferative cytokines (G-CSF, GM-CSF) [17]. Besides, CD26 down-modulation in Teff lymphocytes could be parallel to the loss of caveolin-1 (a CD26 ligand) in bronchial epithelial cells and monocytes from asthmatics [48].

Reversible competitive DPP4 activity inhibitors or “gliptins” are a novel class of small molecules for oral administration. They include the peptidomimetic and less specific vildagliptin (Galvus; EU, 2007) and saxagliptin (Onglyza; US FDA, 2010), and the nonpeptidomimetic, long-lasting, and more specific/potent sitagliptin (Januvia; US FDA, 2006), linagliptin (Tradjenta; US FDA and EU, 2011), and alogliptin (Takeda Pharmaceutical Company Limited; US FDA, 2013). These drugs have an excellent tolerability profile and have been introduced into clinical practice as second-line therapy in type 2 diabetes mellitus (T2DM) to reduce inactivation of incretins. However, several mild adverse drug reactions (ADRs) have been reported, such as skin-related disorders, infections (eg, nasopharyngitis and upper respiratory tract infections), and respiratory disorders (eg, dyspnea, coughing, wheezing) [VigiBase, WHO Programme for International Drug Monitoring; 49]. Some studies fail to detect significant differences in the incidence of upper respiratory tract infections or nasopharyngitis [50,51]. However, the mere likelihood of enhanced risk of viral infections in the upper respiratory tract deserves a careful assessment of the safety profile of gliptins, particularly in patients susceptible to airway inflammation or with an already established (sometimes unnoticed) disease (eg, allergic asthma

with reduced extracellular sCD26 levels [see above]). Indeed, viral upper respiratory infections trigger or worsen asthma symptoms (<https://www.nhlbi.nih.gov/health/health-topics/topics/asthma/signs>). For example, respiratory viral infections (eg, rhinovirus) or viral-derived dsRNA sensed by pattern-recognition receptors lead to asthma exacerbations in murine models of asthma induced by ovalbumin [52] or house dust mite [53], but also in children [54].

Apart from CD26/DPP4, DPP4 activity and/or structure homologue (DASH) proteins include FAP, DPP8, DPP9, DPP-like protein 1 (DPPL1), and 2 proteins linked to asthma: the soluble DPP2 (an enzyme that plays an important role in lymphocyte quiescence) [15], and the catalytically inactive and bronchi/trachea-associated DPPL2/DPP10 [15]. Some ADRs could be linked to off-target effects on some of these DASH proteins [55], but the presence of similar ADRs with different gliptins and the “moonlighting” nature of DPP4, with a large number of substrates associated with the immune system, indicate that these drugs could be enhancing T_H2 -mediated responses and the asthma-dependent downmodulation of sCD26 levels. Thus, gliptins have been proposed for the treatment of the autoimmune disease type 1 diabetes by, amongst other mechanisms, upregulating T_H2 cells [56], while the highly specific and potent inhibitor sitagliptin reduces sDPP4 activity without altering CD26 levels on cells and causes a temporary proinflammatory state in T2DM patients through the reduction in Treg cell percentages [57]. In the same sense, gliptins also favor the development of allergic rhinitis [49] and a noninflammatory variant of bullous pemphigoid, diseases where eotaxins and eosinophils play important roles [58,59]. In clear contrast, sitagliptin seemed to play a beneficial role in a chronic murine model of asthma induced by ovalbumin [60]. Therefore, additional research is needed to ascertain the impact of gliptins in allergic asthma.

Taken together, our findings evidence the expansion of a CD25^{low}CD127^{low}CD26^{low} subpopulation of CD4⁺ T cells in allergic asthmatic patients that is coincident with a decrease in the levels of sCD26 and sCD25 in these patients. Reduction of sCD26 and CD26 levels on effector T_H lymphocytes might play an important role in improving their migratory or proliferative capabilities. Moreover, this downmodulation of CD26/sCD26 could be emulated upon the administration of certain drugs, which should be considered in the light of the clinical usage of DPP4 inhibitors [17] and humanized anti-CD26 antibodies [61] in patients with a predisposition toward developing certain allergic hypersensitivity reactions (atopy).

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SUPPLEMENTARY FIGURES

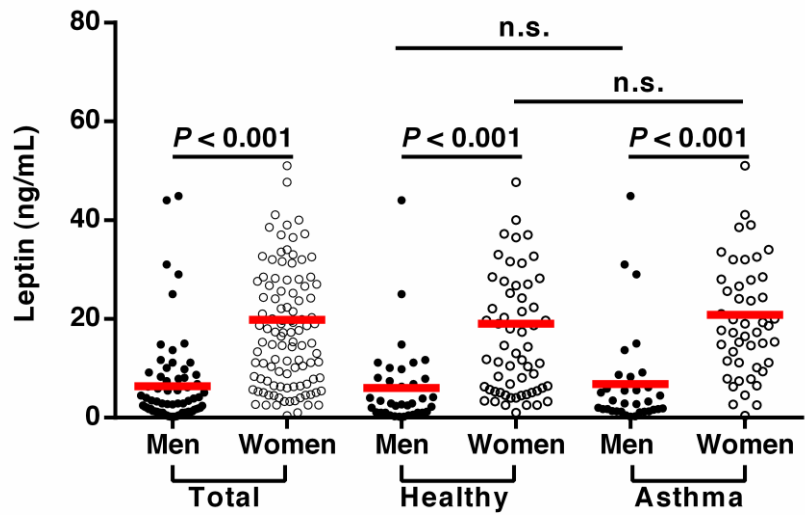


Figure S1. Serum leptin levels in allergic asthma according to gender. Leptin levels (ng/mL) according to gender in both healthy (n=97) and asthmatic (n=81) populations. Mann-Witney U statistic, numbers on the graph represent P-value.

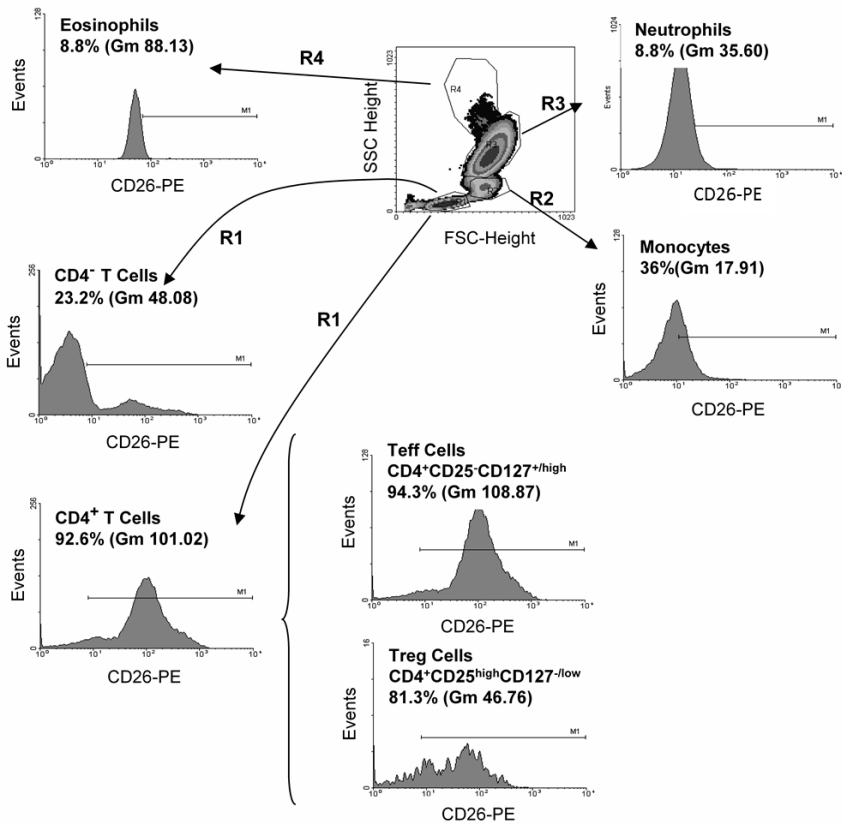


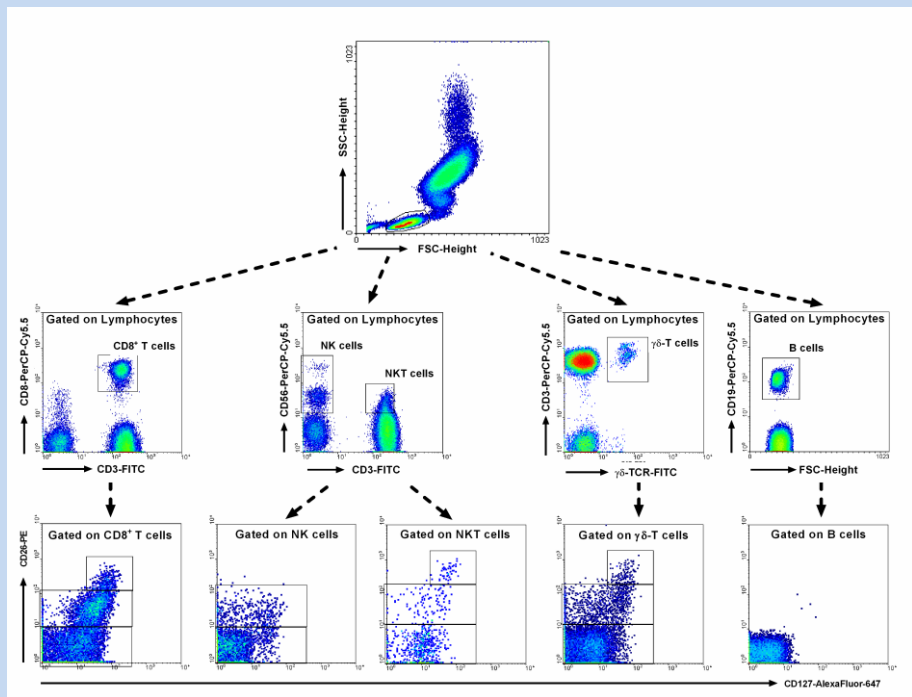
Figure S2. CD26 expression in major peripheral blood leukocyte subpopulations. Leukocytes were marked with CD4-FITC, CD25-PE-Cy7, CD127-AlexaFluor-647, CD26-PE or with isotype antibodies (IgG1 κ -FITC, IgG1 κ -PE-Cy7, IgG κ -Alexa 647 y IgG κ -PE). Data are shown as dot plots “forward scatter” (FSC) versus “side scatter” (SSC), where different leukocyte subpopulations are represented (lymphocytes/R1, monocytes/R2, neutrophils/R3 and eosinophils/R4), and histograms, where mean fluorescence intensity (x-axis) is represented versus number of cells (y-axis) of every subpopulation (R1-R4). A representative result is shown.



CHAPTER III

Expansion of different subpopulations of CD26-/low T cells in allergic and non-allergic asthmatics.

III



The work presented in this chapter is under revision for publication in a Q1 journal.



INTRODUCTION

Asthma is a heterogeneous disease with different phenotypes (e.g., allergic and non-allergic asthma) and endotypes that remain poorly understood.¹⁻⁴ The major endotype classification of asthma is based on the predominant T helper (TH)-type inflammation.⁵ On the one hand, TH₂^{high} asthma is the most common endotype, characterised by an eosinophilic and TH₂-driven inflammation and a central role for IgE.^{1,6} On the other hand, TH₂^{low} asthma is more heterogeneous, including neutrophilic and paucygranulocytic inflammation and involvement of TH₁ and TH₁₇ cells.^{1,6} TH₁₇ cells have been associated with asthma severity.^{7,8} Indeed, IL-17 has been implicated in steroid resistance, airway remodelling, and the induction of neutrophilic inflammation.^{9,10}

In 2012, Bengsch *et al.* highlighted the use of CD26 as a marker for human TH₁₇ cells (TH₁₇>>TH₁>TH₂>regulatory T cells/Treg),¹¹ while our group described this molecule as a negative selection marker for Treg cells.¹² CD26/Dipeptidyl peptidase 4 (DPP4) is a “moonlighting” protein: it is an enzyme that inactivates important soluble factors (e.g., cytokines like IL-3; chemokines like CCL11/Eotaxin or CCL5/RANTES; incretins) and also a protein with proteolytic-independent roles (e.g., co-stimulation).¹³⁻¹⁵ Furthermore, CD26^{high} TH lymphocytes are considered memory^{16,17} or activated cells,¹⁸ which explains the presence of CD26^{high}CD4⁺ T cells in allergic asthma.^{19,20} A soluble version of CD26 (sCD26) has been found in the bloodstream as a free or a vesicle-associated protein [<http://www.exocarta.org>].²¹ Our previous *in vitro* studies evidenced a positive correlation between soluble DPP4 activity (an indirect measurement of sCD26) and CD26 expression on CD4⁺ cells.²⁰ Immune cells also appear to be a source of sCD26 *in vivo*.¹⁵ However, contrary to our expectations, allergic asthmatics displayed higher membrane expression of CD26 on CD4⁺ T cells but decreased levels

of sCD26. This finding can be explained through the expansion of a “triple low” (Tlow; CD25⁻CD127⁻CD26^{-/low}) subpopulation of effector T cells (Teff).²⁰

IL-6 is an important cytokine in the differentiation of TH₁₇ cells that acts via IL-6R (IL-6R α /CD126 + gp130).²² In this sense, this cytokine down-modulates the TGF- β -driven expression of FoxP3 and up-regulates the levels of the transcription factor that controls the development of Th17 cells: ROR γ t.^{23,24} IL-6 signalling is also essential for the generation of functionally active memory CD4⁺ T cells.²⁵ Like CD26, CD126 is also found in plasma as a soluble molecule: sIL-6R α . This circulating protein binds to IL-6 and leads to the activation of CD126⁻gp130⁺ cells, a process known as *trans*-signalling.^{26,27} Indeed, CD4⁺ T cells down-modulate IL-6R upon inflammatory activation, but these cells retain the IL-6 response capacity through the *trans*-signalling pathway,²⁸ a mechanism of paramount importance for the maintenance of inflammatory diseases such as asthma.²⁹⁻³²

Most research in asthma has been focused on allergic phenotype and CD4⁺ T cells. However, CD4⁻ lymphocyte subsets (e.g., CD8⁺ $\alpha\beta$ -T cells or $\gamma\delta$ -T lymphocytes) might also be relevant for this disease and its phenotypes/endotypes. Thus, $\gamma\delta$ -T lymphocytes become activated by IL-6 *trans*-signalling,³³ and they are important inducers of allergic asthmatic responses.³⁴ Moreover, they are major initial producers of IL-17.³⁵ On the other hand, CD8⁺ T cells cooperate with CD4⁺ T cells to promote asthma and have been associated with poor lung function and airway obstruction.³⁶⁻³⁹ Interestingly, the expression of both CD26 and CD126 defines diverse stages of differentiation of CD8⁺ T cells,^{40,41} which might be modified in asthma.⁴¹ Given this differential expression of CD26 and CD126 between different lymphocyte subsets, we postulate that both molecules might display coordinated expression levels and help

distinguish different asthma phenotypes. Therefore, the aim of our study was to analyse the expression of CD26 and CD126 in CD4⁺ and CD4⁻ lymphocytes from healthy subjects and patients with allergic/non-allergic asthma or rhinitis.

Herein, we report a high correlation between the expression of CD126 and CD26 in lymphocytes. These molecules help differentiate between lymphocytes with naïve (CD26^{intermediate} or CD26^{int}), central-memory (CD26^{high}), or “terminally-differentiated” (T_{EMRA})/effector-memory (T_{EM}) (CD26^{-low}) phenotypes. Moreover, allergic and non-allergic asthmatics display low circulating sCD26 levels, which is consistent with the expansion of CD26^{-low} T_{EM}/T_{EMRA} lymphocytes: CD4⁺CD26^{-low} T cells (previously named T_{low} cells)²⁰ in AA and CD4⁻CD26⁻ γδ-T cells in NAA. Acceleration of the natural course of CD26 down-modulation on T lymphocytes by siRNA leads to higher *in vitro* proliferation rates, which suggests that CD26 molecules on T lymphocytes could be acting as a “brake mechanism” that prevents their proliferation and the acquisition of an effector phenotype. Finally, a decrease in the number of CD126 molecules on leukocytes correlates with higher asthma severity. Thus, our findings provide new advances in asthma immunophenotyping and on the role of CD26/CD126 in this disease.

MATERIAL AND METHODS

Subjects

Adult patients with asthma or allergic rhinitis were recruited from hospital consultations for Pneumology in Galicia (Spain) between 2014 and 2016. The diagnosis of different asthma phenotypes and allergy was confirmed according to Global Strategy for Asthma Management and Prevention (GINA 2006,

<http://www.seicap.es/documentos/archivos/GINA2006general.pdf>) criteria for at least one year prior to study initiation. A positive skin prick test and the presence of allergen-specific IgE were used to confirm sensitization in allergic patients. Lung function parameters (forced expiratory volume in the 1st second (FEV1), forced vital capacity (FVC), and FEV1/FVC ratio), as well as eritro-sedimentation rate (ESR), were also analysed. All asthmatics were in a stable phase of the disease (i.e., the absence of exacerbations for at least 4 weeks before sample collection). Healthy donors were subjects without allergy or systemic diseases, who were scheduled for minor surgeries (orthopaedic surgery or inguinal hernia). A second cohort of patients was also included with 12 patients with allergic asthma (M/F proportion: 6/6; age: 52.75 ± 14.12) and 12 patients with non-allergic asthma (M/F proportion: 6/6; age: 61.00 ± 10.71), recruited from hospital consultations for Pneumology in Galicia, Spain. The research project was approved by the Ethics Committee of Clinical Research of Galicia (2011/001), Spain, all subjects signed informed consent, and all research was performed in accordance with the relevant guidelines and regulations.

Biochemical determinations

Biochemical determinations and nucleated cell counting were performed by using an ADVIA[®]1650 analyser (SIEMENS Healthcare Diagnostics S.L., Berlin, Germany) and an ADVIA[®]2120 haematology counter (SIEMENS Healthcare Diagnostics S.L., Berlin, Germany), respectively. Serum TGF- β 1 levels were measured using ELISA plates (Human TGF-beta1 Platinum ELISA; ref. BMS249/4TEN; eBioscience) following commercial guidelines. Optical densities were assayed at 450 nm (Labsystem Multiscan MS), and protein concentration was calculated from standard curves.

Flow cytometry assays

Venous peripheral blood from each donor was collected in EDTA tubes (BD Vacutainer K2E). Then, FITC, PE, PE-Cy7, PerCP-Cy5.5 or AlexaFlour-647-labelled mouse IgG1 κ isotype antibodies (BD Bioscience) or specific antibodies were incubated with cells for 30 min in FACS buffer (PBS, pH 7.4, 2% FBS). We used BD FACS™ Lysing Solution (15 min; room temp.) to lyse red blood cells before sample collection. Finally, 200000 events were acquired on a BD FACSort™ flow cytometer and data were analysed using WinMDI 2.9 software (Joseph Trotter, La Jolla, CA. USA). A list of antibodies is shown in Supplementary Table S1. Isotype antibodies were used to determine the non-specific binding of the antibodies and therefore to set a threshold value to identify negative and positive populations. Single stained lymphocytes were used for fluorescence compensation.

Flow cytometry data are presented as either “percentage of positive cells” or “number of antibodies per cell” (ABC) instead of mean fluorescence intensity (MFI). We use the BD Quantibrite™ Beads PE kit (Fluorescence Quantitation Kit; BD Bioscience) to estimate ABC according to manufacturer instructions. In brief, we ran a BD Quantibrite PE tube with the same instrument settings as the assay. Therefore, MFI values in FL2 were converted into the number of PE molecules bound per cell. Finally, this number of PE molecules/cell was converted to ABC values by using known ratios of PE to antibodies. We took advantage of this transformation to minimise as much as possible the inter-day variation related to the changing working conditions of the flow cytometer.

***In vitro* proliferation assays and CD26 mRNA silencing**

PBMCs were placed in RPMI 1640 at a cell density of 10^7 cell/mL and incubated with 5 μ M CFSE for 8 min at RT in the dark. Then, FBS was added to stop the reaction and cells were thoroughly washed with RPMI 1640 before cell counting. Cell cultures were set up at 0.25×10^6 cells/mL in 96-well microplates (round-wells). Accell delivery media (ref. B-005000-500; Dharmacon) was used to culture these cells under non-serum conditions. The Accell delivery medium was supplemented or not with 1 μ g/ml PHA (\pm 2 ng/ml IL-12), in the presence of either DPP4-specific or non-targeting Accell siRNAs pools (Dharmacon). To achieve a partial gene silencing we used a commercial Accell SMART pool of 4 short interfering RNA (siRNA) designed to target the mRNA encoded by the human *DPP4* gene (ref. E-004181-00-0005; Dharmacon); these siRNAs were designed to minimize the off-target effects. Besides, we also used two non-target siRNAs: a) an Accell green non-targeting siRNA (ref. D-001950-01-05; Dharmacon), which is a fluorescent unspecific siRNA used for assessment of Accell siRNA passive delivery effectiveness; b) a negative control Accell non-targeting siRNA pool of four siRNAs (ref. D-001910-10-05; Dharmacon) to control the background response to siRNA. All these siRNAs were initially resuspended at 100 μ M by using a 1X siRNA buffer (ref. B-002000-UB-100; Dharmacon). Strikingly, the working concentration suggested by the manufacturer (1 μ M) induced high cell mortality. Therefore, we titrated down the concentration of siRNA by using the Accell green non-targeting siRNA. A final concentration of 0.02 μ M was selected to carry out the final experiments. This concentration was enough to label >98% of cells and allow high cell viability (> 95%).

Upon 6 days of *in vitro* culture, CFSE fluorescence and the number of cell divisions were measured by flow cytometry (Supplementary Figure S1), as well as the amount of CD26 protein with both specific anti-CD26 and isotype antibodies. Each condition was tested several times (n=3-5 technical replicates). Unlabelled cells served as negative controls in cell proliferation assays. The calculated responder frequency (Rf) is the percentage of responder T cells that divided at least once (Supplementary Figure S1).

Statistics

Descriptive data are presented as median (interquartile range; IQR1-3). To assess the changes between asthmatic groups, rhinitis, and healthy donors for non-normally distributed variables we used the Kruskal–Wallis test followed by Dunn’s multiple comparison test. Spearman’s test was used to measure the association between these variables. For CFSE proliferation studies, a two-way ANOVA followed by a Tukey’s multiple comparison tests were used. Finally, *t-test* was performed with data from figure 2c to assess changes in normally distributed variables between moderate-severe allergic asthmatics and healthy subjects. All analyses were conducted using GraphPad Prism 6.0 (GraphPad Software, Inc., San Jose, California, USA). The statistical significance was defined as $P < .05$.

RESULTS

Characteristics of study subjects

We performed a case-control study including adult patients with different asthma phenotypes (allergic asthmatics, AA; non-allergic asthmatics, NAA), rhinitis and healthy controls (HC). The

characteristics of the donors in this study are summarized in Table 1. Pulmonary function parameters (FEV1 and FEV1/FVC) were lower in both AA (FEV1, $P = .002$; FEV1/FVC, $P < .001$) and NAA (FEV1, $P < .001$; FEV1/FVC, $P < .001$) relative to patients with rhinitis (Table 1). Haematological count revealed an increment of eosinophil numbers in asthma patients (AA, $P < .001$; NAA, $P = .001$) compared to HC (Table 1). Furthermore, AA displayed higher blood eosinophil counts than patients with rhinitis ($P = .024$) (Table 1). Levels of other leukocyte populations remained unchanged (Table 1).

Table 1. Characteristics of the study population.

	AA	NAA	R	HC
N	100	92	44	32
Age (mean (range))	36 (18-68)	52 (22-72)	35 (18-55)	43 (22-61)
Sex (M/F)	46/54	22/70	25/19	15/17
Disease control:				
Yes	83	75	44	-
No	17	17	0	-
Baseline treatment:				
ICS-LABA	79	75	0	0
ICS	16	4	0	0
OCS	1	0	0	0
Antileukotrienes	41	31	11	0
Anticholinergic	15	28	0	0
Roflumilast	0	2	0	0
Prednisone	0	5	0	0
FEV1 (%)	97.0 (88.3-107.0) [#]	97.0 (73.2-112.0) [#]	108.0 (99.0-119.0)	-
FEV1/FVC (%)	76.0 (70.5-80.4) [#]	73.7 (66.2-80.0) [#]	83.4 (79.0-87.2)	-

Continued on next page

Table 1 (Continued)

Neutrophils (10^3 cells/μL)	3.70 (3.03-4.29)	3.56 (3.08-4.30)	3.56 (2.89-3.99)	3.02 (2.28-4.05)
Lymphocytes (10^3 cells/μL)	1.99 (1.67-2.29)	1.94 (1.58-2.34)	2.14 (1.78-2.58)	1.99 (1.53-2.49)
Monocytes (10^3 cells/μL)	0.40 (0.31-0.47)	0.37 (0.30-0.51)	0.39 (0.31-0.46)	0.39 (0.33-0.49)
Eosinophils (10^3 cells/μL)	0.29 (0.20-0.47) ^{&#}	0.28 (0.16-0.41) ^{&}	0.22 (0.14-0.29)	0.13 (0.09-0.22)
Basophils (10^3 cells/μL)	0.04 (0.03-0.05)	0.03 (0.02-0.05)	0.04 (0.02-0.05)	0.03 (0.02-0.05)
ESR (1h; mm)	7.5 (4.0-15.0) [*]	12.0 (7.0-20.8) ^{#&}	8.0 (2.0-14.7)	7.5 (2.0-10.0)
TGF-β1 (ng/mL)	14751 (11882-17299)	13712 (10118-18149)	13957 (8691-19056)	15654 (11552-18620)
IgE (IU/mL)	167.5 (72.0-301.0) ^{*#&}	28.0 (10.0-60.5) [#]	66.0 (24.0-115.0)	15.0 (5.7-55.7)
IgG (mg/dL)	1075 (943-1200)	1035 (898-1160)	1010 (913-1200)	-
IgG1 (mg/dL)	659 (563-745) [*]	573 (461-677)	617 (530-743)	-
IgG2 (mg/dL)	346 (337-429)	337 (263-429)	315 (259-411)	-
IgG3 (mg/dL)	33 (23-49)	37 (28-56)	34 (28-48)	-
IgG4 (mg/dL)	48 (27-85) [#]	40 (19-67)	34 (21-51)	-
IgA (mg/dL)	226 (167-295)	213 (159-263)	191 (133-274)	-
IgM (mg/dL)	98.5 (72-147)	113 (75-151)	111 (69-166)	-

AA, allergic asthmatics; HC, healthy controls; NAA, non-allergic asthmatics; R, rhinitis patients.

Data are presented as median value (IQR1-3), unless otherwise expressed.

Kruskal–Wallis test followed by Dunn’s multiple comparison test ($P < 0.05$). * AA vs NAA; # asthma vs R; & asthma vs HC.

As expected, AA subjects exhibited higher levels of IgE than other study groups (NAA, $P < .001$; R, $P = .019$; HC, $P < .001$; Table 1), and the same happened for rhinitis compared to NAA ($P = .01$) (Table 1). Moreover, our results evidenced a reduction of IgG1 in NAA relative to AA ($P < .001$), while IgG4 was higher in AA vs. R ($P = .047$) (Table 1). We also analysed the erythrocyte sedimentation rate

(ESR), which was elevated in NAA *vs.* remaining groups (AA, $P = .003$; R, $P = .008$; HC, $P = .003$) (Table 1).

CD26 and CD126 molecules display a highly correlated expression on lymphocytes, while the expansion of “triple low” (CD25⁻CD26⁻CD127⁻) CD4⁺ T cells accounts for the reduction of sCD26 in allergic asthma

T cells account for ~80% circulating lymphocytes and include CD4⁻ and CD4⁺ cells. CD4⁺ lymphocytes (TH) were subdivided into “conventional” Teff (CD25^{low}CD127^{high}), Treg (CD25^{high}CD127^{low}), and Tlow cells (CD25^{low}CD127^{low}) (Figure 1a). TH cells displayed the highest percentage of CD26⁺ cells within leukocytes (~85-90%), and this parameter evidenced a positive association with the percentage of CD126⁺ cells (Figure 1b). Amongst TH lymphocytes, “conventional” Teff (CD26^{+/high}) and especially Tlow (CD26^{-/low}) cells displayed the same high CD26-CD126 correlation, but Tregs appear to lose this association (Figure 1c). Furthermore, we found a reinforced expression of CD26 (measured in Antibody Bound per Cell/ABC; see Material and Methods section) on CD4⁺ T cells from AA and NAA patients (Figure 1d) compared to HC. Strikingly, CD126 showed an unaltered expression in CD4⁺ T cells from the different groups of donors despite the strong correlation between CD26 and CD126 commented above.

The higher number of CD26 molecules on CD4⁺ T cells from AA and NAA patients compared to HC (Figure 1d) was in line with the expected activated phenotype of these cells, but contrasted with the decreased levels of sCD26 in asthmatics (Figure 1e). However, as most of sCD26 come from T cells,⁴² the expansion of CD26^{-/low} T lymphocyte populations may explain the decreased levels of sCD26 in AA. Indeed, even though Teff and Treg proportions remained

unchanged, we detected an expanded subpopulation of CD25⁻CD127⁻ CD26^{-/low} (Tlow) effector T cells in AA patients compared to HC (Figure 1f). Moreover, contrary to CD4⁺ and “conventional” Teff cells (CD26^{int/high} subsets) and despite the CD26^{-/low} phenotype of these Tlow cells, the percentage of the last subset was negatively correlated with the percentage of CD26⁺ and CD126⁺ cells within the Tlow compartment (Supplementary Table S2). Interestingly, the percentage of CD127⁺ Treg cells was augmented in asthmatics compared to rhinitis and HC (Supplementary Figure S2).

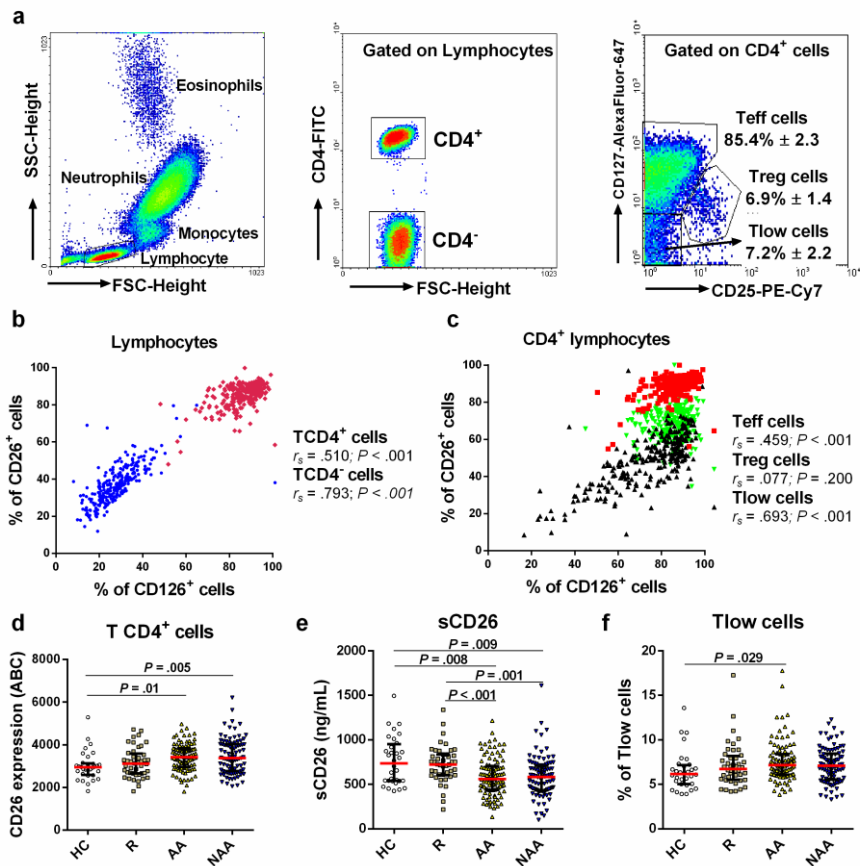


Figure 1 (Previous page). CD26 and CD126/IL-6Ra display a coordinated expression on effector CD4⁺ T cells, but only CD26/sCD26 levels are altered in asthma. **a)** Gating strategy in flow cytometry assays to identify relevant CD4⁺ T cell subsets. Lymphocytes were gated based on the forward (FSC-Height) and side-scatter (SSC-Height) parameters (left dot plot). Then, TH lymphocytes were selected according to their high CD4 expression (middle dot plot). Finally, levels of CD25 and CD127 were used to identify three different TH subsets (right dot plot): “conventional” Teff cells (Teff), regulatory T cells (Treg), and “triple low” Teff cells (Tlow). **b-c)** Correlation between the expression (% of positive cells) of CD26 and CD126 on CD4⁺ (red) or CD4⁻ (blue) lymphocytes (**b**) or within different CD4⁺ subsets (**c**): “conventional” Teff (red), Treg (green), and Tlow cells (black) (data from all study subjects; N = 268). **d)** Expression of CD26 (median ± IQR1-3) presented as number of antibody molecules bound per cell (ABC; see Material and Methods section) in healthy controls (HC; N = 32), rhinitis (R; N = 44), allergic asthma (AA; N = 100), and non-allergic asthma (NAA; N = 92). **e)** Serum levels of sCD26 (ng/mL) measured by ELISA. **f)** Percentage of Tlow cells within the CD4⁺ T cell gate. **d-f)** Statistically significant differences between groups are indicated (Kruskal-Wallis test: $P < .05$).

The CD4⁺CD26^{-/low} T compartment from allergic asthmatics includes memory cells in an advanced stage of differentiation.

CD26 and CD127 are useful markers for identifying effector/memory T cells.^{11,16,17} Based on these molecules, CD4⁺ lymphocytes were segregated in CD26^{-/low}, CD26^{int}, and CD26^{high} cells (Figure 2a). As Figure 2b illustrates, CD26^{int} and CD26^{high} populations showed elevated expression of co-stimulatory (CD27, CD28) and lymph node homing (CCR7) molecules, indicating an early differentiation stage. In addition, the CD26^{high} subpopulation was mainly composed of CD45RA⁻ cells (i.e. central-memory T cells/T_{CM}; CD45RA⁻CCR7⁺CD27⁺CD28⁺), whereas most of naïve T lymphocytes (CD45RA⁺CCR7⁺CD27⁺CD28⁺) were included within the CD26^{int} subset (Figure 2b). In contrast, CD26^{-/low} lymphocytes showed intermediate levels of CD45RA and a decreased expression of CD27, CD28, CCR7, and CD127 molecules (Figure 2b), which agrees with an advanced differentiation stage (likely T_{EM} or T_{EMRA}, CD45RA⁺CCR7⁻CD27⁻CD28⁻). Moreover, down-modulation of

CD27, CD28, and CCR7 was mainly observed in CD26^{-/low} cells from patients with moderate-severe allergic asthma (Figure 2c), the group of donors where Tlow cells (CD4⁺CD25⁻CD127⁻CD26^{-/low}) were found expanded (Figure 1f). Apart from Tlow cells, the CD26^{-/low} compartment within the TH subset is also occupied by Treg lymphocytes (CD25^{high}CD127^{low}; Supplementary Figure S3), as Bailey *et al.* have previously reported.¹⁷ However, 57.5 ± 7.3% of Treg lymphocytes are also found within the CD26^{int} subset (Supplementary Figure S3). In summary, our results evidenced that: a) most of naïve CD4⁺ T lymphocytes display intermediate expression levels of CD26; b) The CD26^{high} subpopulation is mainly composed of T_{CM} cells; and c) Tlow cells expanded in AA are likely effector T lymphocytes that have lost CD26 expression to become T_{EM} or T_{EMRA} cells (CD27⁻CD28⁻CCR7⁻CD45RA^{+/-}).

Non-allergic asthmatics display increased proportions of CD26⁻CD127⁻ and CD126⁻CD127⁻ subpopulations amongst CD4⁺ lymphocytes compared to allergic patients.

Next, we also decided to analyse the population of CD4⁺ lymphocytes (Figure 3a; left and middle dot plots). This cell compartment is more heterogeneous and includes $\gamma\delta$ -T, B, NK, and NKT lymphocytes. Analogously to what happened with Tlow cells, the percentage of CD4⁺ lymphocytes was negatively correlated with the percentage of CD26⁺/CD126⁺ cells in this subset (Supplementary Table S2). Moreover, along with the strong correlation between the expression levels of CD26 and CD126 on CD4⁺ lymphocytes (Figure 1b), we also detected a decreased proportion of CD4⁺CD26⁺ and CD4⁺CD126⁺ cells in NAA compared to AA and R patients (Figure 3b). Additionally, both CD4⁺CD26⁺ and CD4⁺CD126⁺ cells had a negative correlation with age ($r_s = -.482$, $P < .001$; $r_s = -.508$, $P < .001$, respectively).

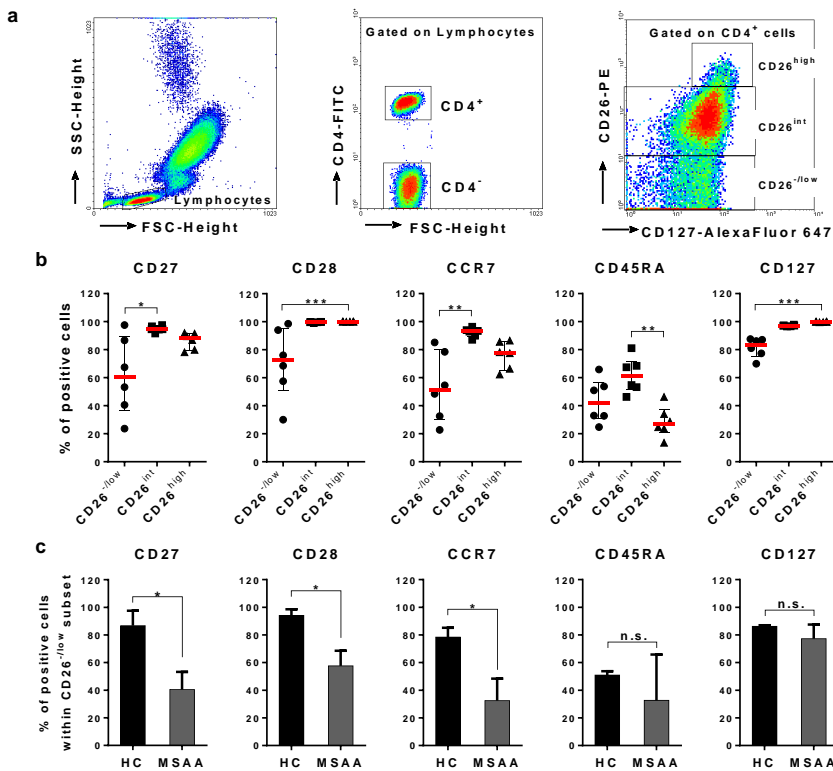


Figure 2. CD26^{-low} CD4⁺ T cells contain effector lymphocytes with an advanced differentiation stage. **a)** CD4⁺ T cells were identified by using the same strategy as in Figure 1a. Then, CD127 and CD26 markers were used to delimitate three CD4⁺ lymphocyte subsets (right dot plot): CD26^{-low} (including CD127⁻ and CD127⁺ cells), CD26^{int} and CD26^{high}. **b)** Phenotyping of CD26^{-low}, CD26^{int}, and CD26^{high} subsets according to the surface expression of CD27, CD28, CCR7, CD45RA, and CD127. Data were obtained from 6 donors (3 healthy subjects and 3 moderate-severe allergic asthmatics) and expressed as % of positive cells for each marker (median \pm IQR1-3). Kruskal-Wallis test followed by Dunn's multiple comparison post-hoc analysis was used to assess significant changes between groups. * $P < .05$, ** $P < .01$, *** $P < .001$. **c)** Expression of each marker (Percentage of positive cells; median \pm IQR1-3) in CD26^{-low} lymphocytes between healthy subjects (HC; N = 3) and moderate-severe allergic asthmatics (MSAA; N = 3). *t*-test was used to assess significant changes between HC and MSAA. * $P < .05$.

To further characterize CD4⁻ lymphocytes, these cells were subdivided in five subpopulations according to CD26 and CD127 levels: CD26^{int/high}CD127⁻, CD26⁻CD127⁻, CD26⁻CD127⁺, CD26^{int}CD127⁺, and CD26^{high}CD127⁺ cells (Figure 3a). As Figure 3c shows, we only detected an increment of double negative cells (CD26⁻CD127⁻) in NAA compared to AA, which was accompanied by a reduction of both CD26^{int}CD127⁺ and CD26^{high}CD127⁺ lymphocytes. These two last CD4⁻ subsets were rather homogeneous in terms of cell composition, exhibiting a high proportion (>80-90%) of cytotoxic T cells (Tc; CD3⁺CD8⁺) (Figure 3d). In contrast, the composition of CD4⁻CD26⁻CD127⁻ lymphocytes was more complex and included Tc, B (CD19⁺) and CD56⁺ cells (NK, NKT, or $\gamma\delta$ -T lymphocytes) (Figure 3d).

Regarding the CD45RA and CCR7 markers, both CD26⁺ subpopulations (CD26^{int}CD127⁺ and CD26^{high}CD127⁺) displayed similar percentages of positive cells (Figure 3e). Nevertheless, we noticed a decrease of CD45RA levels (mean fluorescence intensity/MFI) in CD26^{high} cells (41.4 ± 4.2) compared to the CD26^{int} subset (342.1 ± 198.2). This finding supports differentiation of naïve cells (CD26^{int}) towards a memory-like (CD26^{high}) phenotype, compatible with the abundance of co-stimulatory molecules (CD27 and CD28) in both subsets (Figure 3e). In contrast, only a small percentage of CD4⁻CD26⁻CD127⁻ cells were CD27⁺, CD28⁺, or CCR7⁺. Strikingly, they showed a CD45RA^{high} phenotype (Figure 3e). Altogether, these characteristics define a T_{EMRA}-like population within CD4⁻CD26⁻CD127⁻ lymphocytes. Similar results were obtained when CD4⁻ lymphocytes were segregated based on CD126 vs CD127 levels (Supplementary Figure S4).

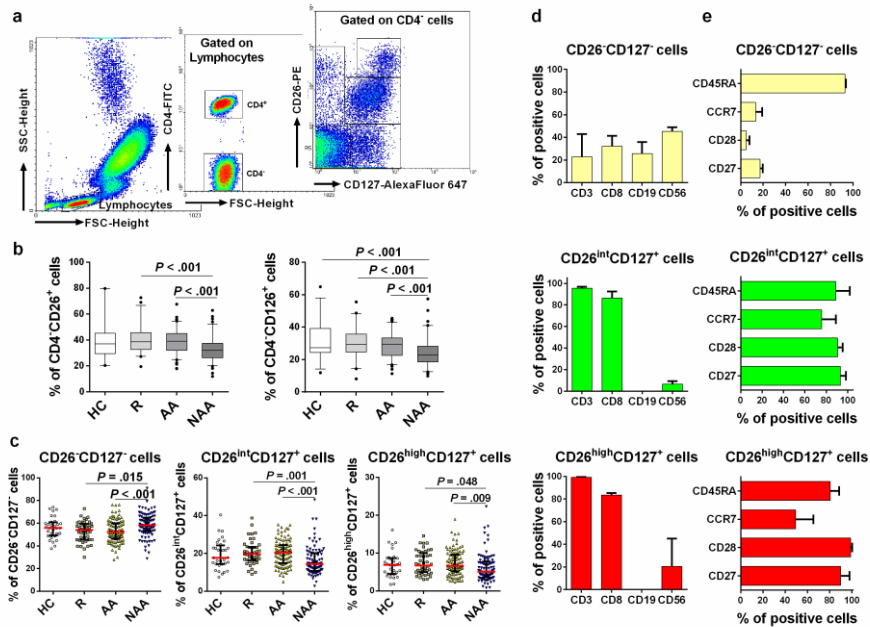


Figure 3. CD4⁺ lymphocytes with a highly differentiated memory phenotype are expanded in non-allergic asthma. **a)** CD4⁺ lymphocytes were identified by flow cytometry (left and middle dot plots). Then CD127 and CD26 were used to identify five subsets within the CD4⁺ lymphocyte compartment (right dot plot). **b)** Percentage of CD4⁺CD26⁺ or CD4⁺CD126⁺ cells from healthy controls (HC; N = 32), rhinitis (R; N = 44), allergic asthma (AA; N = 100) and non-allergic asthma (NAA; N = 92). **c)** Percentage (median \pm IQR1-3) of CD26⁺CD127⁺, CD26^{int}CD127⁺, and CD26^{high}CD127⁺ cells amongst CD4⁺ lymphocytes in HC, R, AA, and NAA. **d)** Composition of CD4⁺ lymphocyte subsets based on CD3 (T), CD8 (Tc), CD19 (B), and CD56 (NK, NKT) antigens (Data from 3 representative donors). **e)** Phenotypic analysis of CD4⁺ subsets based on CD45RA, CCR7, CD127, CD28, and CD27 markers (data from 3 representative donors). Statistically significant differences between groups are indicated (Kruskal-Wallis test: $P < .05$). In order to make the figure more understandable, sections **c**, **d**, and **e** show only those CD4⁺ subsets gated in **a** (right dot plot) where differences between groups were observed (CD26⁺CD127⁺, CD26^{int}CD127⁺, and CD26^{high}CD127⁺ cells).

The CD26^{-low} subpopulation of $\gamma\delta$ -T lymphocytes is augmented in non-allergic compared to allergic asthmatics.

To ascertain the specific lymphocyte subset within the CD4⁺ compartment showing altered proportions in NAA compared to AA and to limit possible confounding effects (e.g., age, gender), we analysed blood samples from a second cohort study limited to AA and NAA donors (n = 12/each) with similar age and M/F proportions.

The new analysis focused on CD8⁺ T (CD3⁺CD8⁺), NK (CD3⁺CD56⁺), NKT (CD3⁺CD56⁺), B (CD19⁺), and $\gamma\delta$ -T cells (TCR $\gamma\delta$ ⁺CD3⁺) (Supplementary Figure S5). There was no evidence for expansion of these circulating populations in NAA. However, this new study revealed an increased proportion of CD26^{-low} $\gamma\delta$ -T lymphocytes (and an opposite pattern for CD26^{high} $\gamma\delta$ -T cells) in NAA compared to AA (Figure 4). Once again, the percentage of $\gamma\delta$ -T cells correlated inversely with the percentage of CD26⁺ cells within this subset ($r_s = -.460$, $P = .024$). Interestingly, there was also a negative correlation between the percentages of $\gamma\delta$ -T cells and B lymphocytes ($r_s = -.602$, $P = .002$).

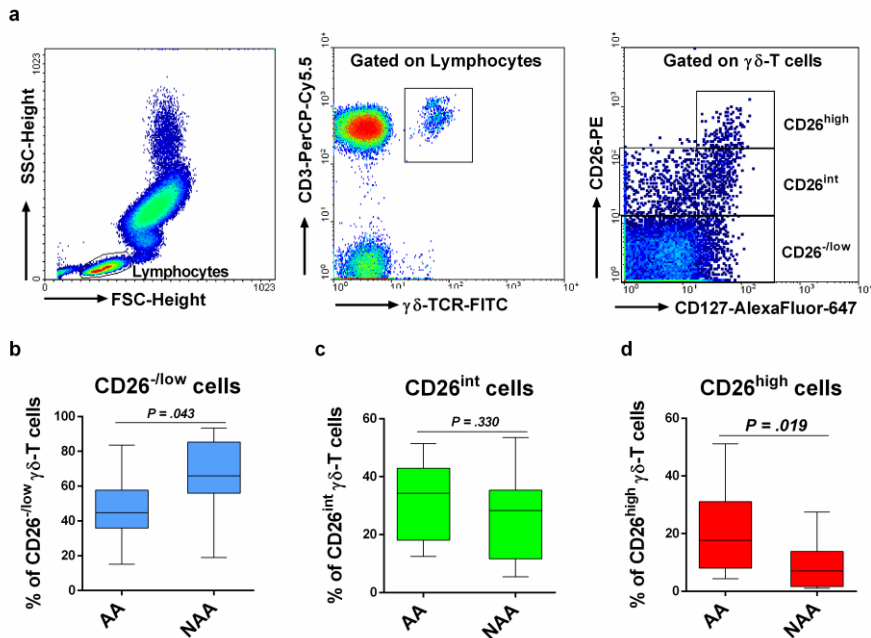


Figure 4. Non-allergic asthmatics display augmented proportions of CD26^{low} $\gamma\delta$ -T lymphocytes compared with allergic asthmatics. **a**) Gating strategy for CD3⁺ $\gamma\delta$ -TCR⁺ lymphocytes ($\gamma\delta$ -T cells). After gating, expression of CD127 and CD26 was used to identify three subsets (CD26^{low}CD127^{+/+}, CD26^{int}CD127⁺, and CD26^{high}CD127⁺) in $\gamma\delta$ -T cells (right dot plot). **b-d**) Percentage (median \pm IQR1-3) of CD26^{low}CD127^{+/+} (**b**), CD26^{int}CD127⁺ (**c**), and CD26^{high}CD127⁺ cells (**d**) amongst $\gamma\delta$ -T lymphocytes in allergic (AA; N = 12) and non-allergic (NAA; N = 12) asthmatics. Statistically significant differences between these two groups of donors are indicated (t-test: $P < .05$).

CD26 could act as a negative regulator of T-cell proliferation

CD26 down-modulation on T lymphocytes from both AA (CD4⁺ T cells) and NAA (CD4⁺ $\gamma\delta$ -T cells) patients could be: a) a consequence of different mechanisms that simply reduce the amount of this protein

on the cell surface, like, for example, the dilution of CD26 molecules (half-life > 48h) throughout the successive cytokinesis rounds; or b) a necessary condition to initiate the proliferation/differentiation programme of naïve or memory T cells. To distinguish between both possibilities, we tested the effect of RNA interference (RNAi) on the *DPP4* gene during the proliferative response of T lymphocytes to mitogenic triggers.

Peripheral blood mononuclear cells (PBMCs) were CFSE-labelled and cultured *in vitro* with either non-target or CD26/DPP4-specific Accell siRNAs. As CD26 up-regulation during T cell activation was mainly derived from the translocation of this protein from intracellular stores toward the cell surface, T-cell division was stimulated with phytohemagglutinin P (PHA) in the presence or absence of IL-12, a cytokine that promotes CD26 mRNA translation. Furthermore, it was required to extend the *in vitro* culture incubation for 6 days to observe the inhibitory effect of the CD26-specific siRNA on protein levels. As expected, CD26-specific siRNAs down-modulated the expression of CD26, but only in IL-12-stimulated PBMCs (Figure 5a). After verification of compliance with CD26 down-modulation by RNAi, we estimated the percentage of cells that divided at least once. As Figure 5b shows, those T cells where *DPP4* gene silencing was more intense (i.e., IL-12-costimulated) were the ones showing an increase in the proliferation rate.

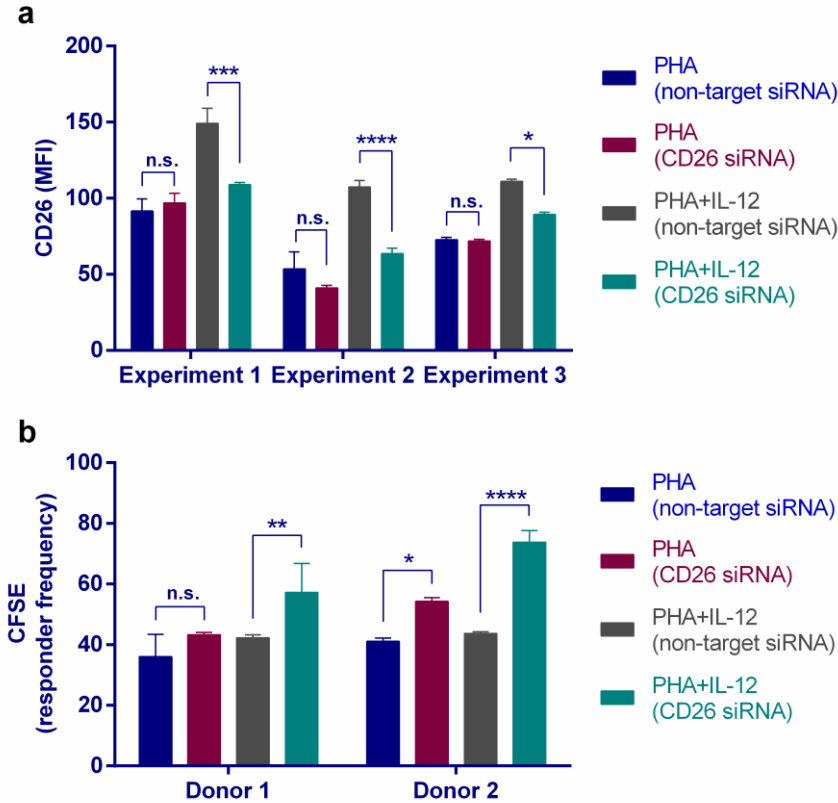


Figure 5. siRNA mediated depletion of CD26 mRNA leads to enhanced T-cell proliferation. PBMCs from healthy subjects were isolated and placed in culture for 6 days in 96 round-well plates. To promote T-cell division, Accell culture medium was supplemented with PHA \pm IL-12. Besides, a CD26-specific or a non-targeting Accell siRNAs pool was also used. **a)** Expression of CD26 (MFI; mean fluorescence intensity) on PBMCs was assessed by flow cytometry. Three representative assays are shown. 2-way ANOVA with Tukey's multiple comparison test: * $P < .05$, *** $P < .001$, **** $P < .0001$; n.s., non-significant. **b)** PBMCs from 2 representative donors were labelled with CFSE and T-cell proliferation induced by PHA \pm IL-12 was assessed by CFSE-dilution assays. Responder frequency is the percentage of T lymphocytes that divided at least once. 2-way ANOVA with Tukey's multiple comparison test: * $P < .05$, ** $P < .01$, **** $P < .0001$; n.s., non-significant.

CD126/IL-6Ra down-modulation in neutrophils, monocytes, and lymphocytes is associated with disease severity and asthma control.

Asthma severity could be responsible for changes in pulmonary and inflammatory parameters. To assess this, we segregated asthma patients into moderate-severe ($n = 90$) and intermittent-mild ($n = 102$) asthmatics; asthma control degree was also considered (Table 1). As expected, FEV1 and FEV1/FVC were decreased in moderate-severe and uncontrolled asthmatics (Supplementary Table S3), regardless of the phenotype. Eosinophils count was also augmented in moderate-severe and badly-controlled AA (Supplementary Table S3). In contrast, badly-controlled NAA was only characterized by an increased neutrophils count ($P = .032$; data not shown). Interestingly, there was a decrease in the expression of CD126 in many leukocyte subsets (monocytes, neutrophils, CD4⁻ and CD4⁺ lymphocytes) as the severity was higher (Figure 6). This finding extends to Teff, Treg, and Tlow lymphocytes. Similar decreased levels were obtained for CD126 on leukocytes from badly-controlled asthmatics. Finally, we did not observe changes in CD26 levels on most leukocyte subpopulations with asthma severity, although the percentage of CD26⁺ Tregs was higher in moderate-severe NAA patients (Supplementary Figure S6). Finally, the percentage of CD4⁺ lymphocytes was higher in badly-controlled AA ($P = .006$; data not shown).

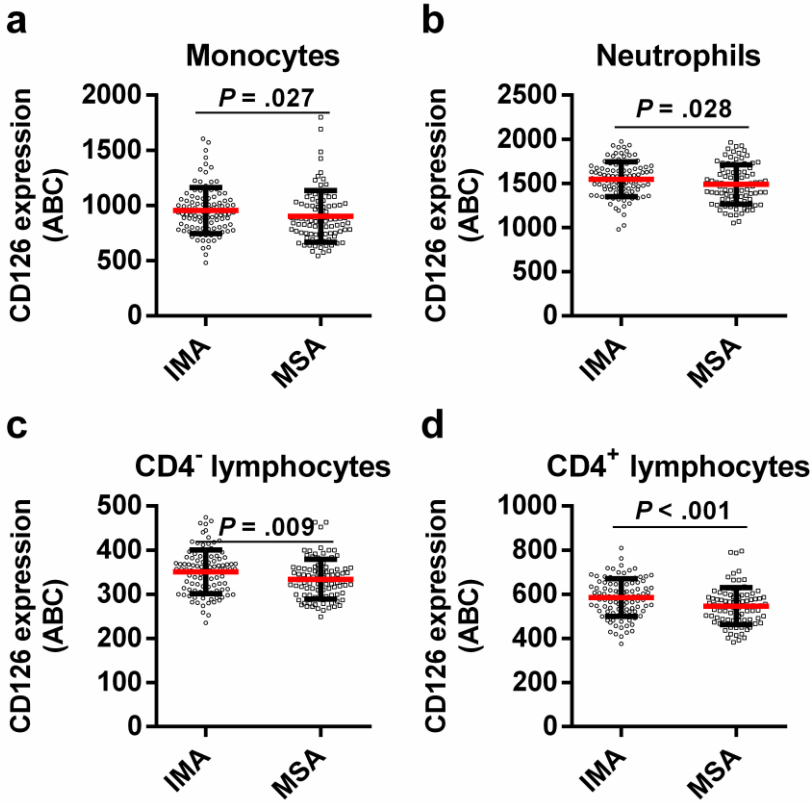


Figure 6. Generalised down-modulation of CD126 in leukocytes from patients with moderate-severe asthma. Monocytes (a), neutrophils (b), and lymphocytes (c-d) were gated on a flow cytometry FSC/SSC plot. Afterwards, lymphocytes were further subdivided into CD4⁻ (c) and CD4⁺ (d) cells. The number of CD126 antibodies bound per cell (ABC; median \pm IQR1-3; see Materials and Methods section) was measured on every population (a-d) in intermittent-mild (IMA; N = 102) and moderate-severe (MSA; N = 90) asthma patients. Statistically significant differences between these two groups of donors are indicated (t-test: $P < .05$).

DISCUSSION

This study shows an intense correlation between the expressions of CD26/DPP4 and CD126/IL-6R α , two molecules that identify naïve (CD26^{int} and/or CD126⁺), memory (CD26^{high} and/or CD126⁺), and highly differentiated effector subsets (T_{EM} and T_{EMRA} cells; CD26^{low} and/or CD126⁻). Precisely, the last subset is expanded in both groups of asthma patients: AA (CD4⁺ Tlow cells) and NAA ($\gamma\delta$ -T cells). Probably as a result of this expansion, there is a reduction of sCD26 concentration in plasma that is shared by AA and NAA patients. Moreover, CD26 could be relevant to slow the rate of cell division of naïve or central-memory T lymphocytes and, conversely, its down-modulation necessary for rapid proliferation and differentiation into effector cells. Finally, down-regulation of CD126 on leukocytes may be related to asthma severity.

CD26 is an activation marker¹⁸ that identifies TH₁ and especially TH₁₇ cells.¹¹ Our results show an increase of “activated” CD4⁺ cells (CD26⁺) in asthma, in agreement with published work.¹⁹ Despite the IL-13-dependent up-regulation of CD26/DPP4 on human bronchial epithelial cells⁴³ or the potential role of TH₁₇ cells in NAA,^{8,44} both AA and NAA patients express similar levels of CD26 on CD4⁺ T cells. A possible explanation for this observation is that following antigen presentation to naïve CD4⁺ T cells in lymph nodes, these lymphocytes proliferate, differentiate, and move back to the peripheral circulation, where they still have an early differentiation state (CD26^{high}; TH₁₇>>TH₁>TH₂) and an extended half-life compared to innate leukocytes (CD26^{low}; eosinophils, neutrophils) (Supplementary Table S4). Therefore, these circulating TH cells will still require the down-modulation of CD26 to become T_{EM}/T_{EMRA} cells and migrate into sites of inflammation; a similar event would occur

with IL-6R α /CD126 on T lymphocytes to favour the proinflammatory *trans*-signalling pathway.

Three major TH subsets coexist in circulation: Treg (CD25^{high}CD26^{low}CD127^{low}), “conventional” Teff (CD25^{low}CD26^{high}CD127^{high}), and Tlow cells (CD25^{-/low}CD26^{-/low}CD127^{-/low}). The latter is a highly differentiated counterpart of “conventional” Teff cells. CD4⁺ Tlow cells are expanded in AA²⁰ and their abundance is negatively correlated with CD26/CD126 levels, as it happens for other subpopulations (e.g., CD26^{-/low} $\gamma\delta$ -T lymphocytes). Despite the small expansion of the Tlow cell compartment, this might be relevant for AA pathogenesis considering two facts: a) total lymphocytes, instead of antigen-specific cells, have been measured; and b) patients were in stable phase (i.e., the absence of exacerbations for 4 weeks before sample collection). On the other hand, even though there is a negative association between the percentage of CD26⁺/CD126⁺ cells and the percentage of Tlow cells, this does not necessarily imply CD26-dependent causation. Therefore, we performed T cell proliferation assays after siRNA-mediated depletion of CD26 mRNA. These results show a negative regulatory role for CD26 in T cell proliferation.¹⁵ Thus, our data agree with the study of Yan *et al.* working with ovalbumin-induced CD26^{-/-} C56BL/6 animals⁴⁵, or Stephan *et al.* showing that oral administration of DPP4-inhibitors aggravates the airway inflammation in a rat model of asthma.⁴⁶

Reduced expression of CD27, CD28, and CCR7 is the hallmark of highly-differentiated effector cells (T_{EM} or T_{EMRA}). Tlow cells fulfil these criteria; therefore, these lymphocytes might be part of a pool of “conventional” CD4⁺ Teff cells that remains upon antigen clearance and develop into senescent lymphocytes with short telomeres, low proliferative capacity, and presence of cytotoxic

molecules.⁴⁷ Thus, in line with other works,^{17,28} expression of CD26/DPP4 and CD126/IL-6R α would identify cells with a naïve (CD45RA⁺, CD62L⁺, CCR7⁺) or a central-memory (CD45RO⁺, CD62L⁺, CCR7⁺) phenotype, while reduced levels would distinguish CD4⁺ T subsets expanded in AA with a T_{EM} or T_{EMRA} phenotype. Similarly, we found augmented proportions of peripheral blood CD4⁺ $\gamma\delta$ -T cells with a CD26⁻CD126⁻CD127⁻ phenotype (likely V δ 2/V γ 9⁺)⁴⁸ in NAA compared to AA. Several studies have described the presence of different circulating V δ 2/V γ 9⁺ T cells (naïve/CD45RA⁺CD27⁺, T_{CM}/CD45RA⁻CD27⁺, T_{EM}/CD45RA⁻CD27⁻, and T_{EMRA}/CD45RA⁺CD27⁻),^{49,50} which is compatible with the existence of CD26⁻ (T_{EM}/T_{EMRA}), CD26^{int} (naïve), and CD26^{high} (T_{CM}) $\gamma\delta$ -T subsets. Thus, an enlarged population of CD26⁻ $\gamma\delta$ -T lymphocytes (i.e., T_{EM}/T_{EMRA}) with a preferential production of TH₁₇ or TH₁ cytokines⁵¹ in NAA patients could explain the enhanced airway inflammation and the inverse relationship between $\gamma\delta$ -T cell and B-cell proportions. However, it has been previously reported that most of circulating $\gamma\delta$ -T cells are CD126⁻gp130⁻ (i.e., they are not IL-6-responders),⁵² which raises the question about how they can become IL-17-producers.

Another caveat is if those phenotypic changes on T lymphocytes could be mirrored in serum samples. Different circulating molecules were measured (IL-4, TGF, TNF, sCD25, sCD26), but most of them remained unaltered. We did not quantify “soluble” IL-6R/CD126 (sIL-6R/sCD126), but several authors reported higher levels in stable asthma and especially upon flare-ups due to mechanisms involving sheddases (e.g., ADAM10/17),⁵³⁻⁵⁵ spliceosomes,^{53,56} or vesicles.⁵⁴ In contrast, changes in sCD26 levels in asthma remain almost unexplored. Lun *et al.* reported an elevation of sCD26 in AA patients linked to the activated phenotype (CD26^{high}) of CD4⁺ T lymphocytes.¹⁹ However, reduced sCD26 levels have been

recently described in severe asthmatics⁵⁷ or a low eosinophilic TH₂^{low} severe asthma endotype.⁵⁸ Our results are in agreement with these last works and support a rather generalized (AA and NAA) sCD26 down-modulation. The underlying mechanism is likely the expansion of CD26⁻ T subsets²⁰ with a T_{EM} or T_{EMRA} phenotype: CD4⁺ T cells in AA and CD4⁺ γδ-T cells in NAA. Reduced levels of CD26 on lymphocytes and the extracellular compartment could be concomitant with the loss of caveolin-1 (a CD26 ligand) in bronchial epithelial cells and monocytes from asthmatics.¹⁵ Moreover, the decrease of CD26 levels may be important for the bioavailability of soluble factors (e.g., chemokines, adenosine) and to promote cell functions like proliferation, chemotaxis, and migration toward inflamed tissues.¹⁵

Treg cell function has been described as impaired in asthma.⁵⁹ Although we did not find deregulation of Treg numbers, they showed increased CD26 expression in asthmatic patients. This is relevant because CD39 is an ecto-enzyme expressed by CD26⁻ Treg lymphocytes^{12,60} and involved in adenosine (Ado) production.⁶¹ Ado is an immune-regulatory molecule whose synthesis is counteracted by adenosine deaminase (ADA), an ecto-enzyme anchored to CD26.^{62,63} Therefore, a CD26^{high} phenotype in Tregs could decrease local Ado concentration and exacerbate disease severity.⁶⁴ Indeed, the percentage of CD26⁺ Treg cells in NAA was higher in moderate-severe patients than intermittent-mild subjects. The percentage of CD127⁺ Treg cells, a phenotype correlated with a diminished suppressive capacity,⁶⁵ was also augmented in asthmatics compared to rhinitis and HC. However, future studies including the assessment of Treg function in NAA and AA will be necessary.

Asthma severity is also influencing CD126 levels on CD4⁺ T cells, neutrophils and monocytes. IL-6 acts via either IL-6R (classic-

signalling) or sIL-6R/sCD126 (*trans*-signalling).²² Contrary to the anti-inflammatory role of the first pathway,²⁸ the *trans*-signalling route allows CD126⁺CD130⁺ cells to respond to IL-6²⁷ and is important in asthma through the maintenance of TH₁₇ cells or the inhibition of T-cell apoptosis.⁶⁶ Naïve CD4⁺ T cells down-modulate IL-6R upon TCR-mediated activation, probably due to protein shedding.²⁸ This release mechanism has been observed in asthma, and sIL-6R levels have been directly associated with IgE levels, but negatively with lung function.⁶⁷ Therefore, reduction of CD126 expression in monocytes, neutrophils, and CD4⁺ cells from moderate-severe patients highlights the role of IL-6 *trans*-signalling in asthma severity.

In conclusion, our data provide evidence that both asthma phenotypes share common immune-pathologic mechanisms, with expansion of CD26^{low} subsets in AA (CD4⁺ T_{low} or “highly-differentiated” Teff cells) and NAA (CD4⁺ T cells; $\gamma\delta$ -T lymphocytes) and down-modulation of additional surface molecules (IL-6R α /CD126, CD27, CD28, IL-7R α /CD127, CCR7) to produce differentiated effector subsets and extracellular sCD26 reduction. This CD26/sCD26 down-modulation and the potential role in T-cell proliferation should be considered in the light of clinical usage of DPP4 inhibitors and anti-CD26 antibodies.

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SUPPLEMENTARY TABLES

Supplementary Table S1. List of flow cytometry antibodies.				
Target	Clone	Fluorophore	Provider	Cat. no
CD3	UCHT1	FITC	BD Pharmigen™	555332
CD4	RPA-T4	FITC	BD Pharmigen™	555346
CD25	M-A251	PE-Cy7	BD Pharmigen™	5135905
CD127	HIL-7R-M21	AlexaFluor-647	BD Pharmigen™	558598
CD3	UCHT1	PerCP-Cy5.5	BD Pharmigen™	560835
CD8	RPA-T8	PerCP-Cy5.5	BD Pharmigen™	560662
CD19	HIB19	PerCP-Cy5.5	BD Pharmigen™	561295
CD27	M-T271	PerCP-Cy5.5	BD Pharmigen™	560612
CD28	CD28.2	PerCP-Cy5.5	BD Pharmigen™	560685
CD45RA	HI100	PerCP-Cy5.5	BD Pharmigen™	563429
CD56	B159	PerCP-Cy5.5	BD Pharmigen™	560842
CD197 (CCR7)	150503	PerCP-Cy5.5	BD Pharmigen™	561144
TCR-γ/δ	B1	FITC	Biolegend	331207
CD26	TP1/19	PE	Immunostep	PE26 100T
CD126 (IL-6Rα)	REA291	PE	MACS Miltenyi Biotec	130-104-101

Supplementary Table S2. Correlation of CD26 and CD126 expression with the % of different leukocyte subsets.

	Correlation between the % of cells and the % of CD26+ cells in each subpopulation		Correlation between the % of cells and the % of CD126+ cells in each subpopulation	
	r_s	P	r_s	P
Eosinophils	-.181	.003	.205	< .001
Monocytes	-.206	< .001	-.085	.168
Neutrophils	.041	.500	.035	.564
Lymphocytes	-.103	.094	-.057	.354
CD4⁻ cells	-.312	< .001	-.353	< .001
CD4⁺ cells	.367	< .001	.211	< .001
Teff cells	.469	< .001	.247	< .001
Treg cells	.023	.702	-.070	.254
Tlow cells	-.342	< .001	-.360	< .001

Supplementary Table S3. Severity and control degree of the study patients.

	IMA	MSA	CA	UA
N	102	90	158	34
Age (mean (range))	44 (20-72)	41 (18-68)	45 (18-72)	45 (20-68)
Sex (M/F)	33/69	35/55	59/99	9/25
FEV1 (%)	105.0 (95.0-113.3)***	86.5 (67.0-100.0)	99.0 (87.0-111.0)*	82.0 (60.7-100.0)
FEV1/FVC (%)	77.5 (72.5-81.2)***	70.3 (59.5-78.5)	76.4 (69.0-80.5)*	70.0 (56.4-76.8)
Neutrophils (10³ cells/μL)	3.60 (3.09-4.23)	3.62 (2.88-4.40)	3.58 (3.07-4.23)	4.17 (2.78-5.00)
Lymphocytes (10³ cells/μL)	1.97 (1.65-2.25)	1.97 (1.64-2.54)	1.95 (1.60-2.26)	2.02 (1.86-2.74)
Monocytes (10³ cells/μL)	0.39 (0.30-0.48)	0.39 (0.32-0.49)	0.38 (0.30-0.49)	0.41 (0.32-0.48)
Eosinophils (10³ cells/μL)	0.26 (0.17-0.39)*	0.33 (0.21-0.48)	0.27 (0.18-0.40)*	0.38 (0.21-0.63)
Basophils (10³ cells/μL)	0.04 (0.02-0.05)	0.04 (0.03-0.05)	0.04 (0.02-0.05)	0.04 (0.03-0.06)
IgE (IU/mL)	45.5 (15.5-205.0)	84.0 (28.2-209.5)	79.0 (20.5-200.0)	62.0 (24.7-274.0)
CA, controlled asthmatics; IMA, intermittent-mild asthmatics; MSA, moderate-severe asthmatics; UA, uncontrolled asthmatics. Data are presented as median value (IQR1-3), unless otherwise expressed. Mann-Whitney U test was used to compare IMA vs. MSA and CA vs. UA. * $P < 0.05$; *** $P < 0.001$				

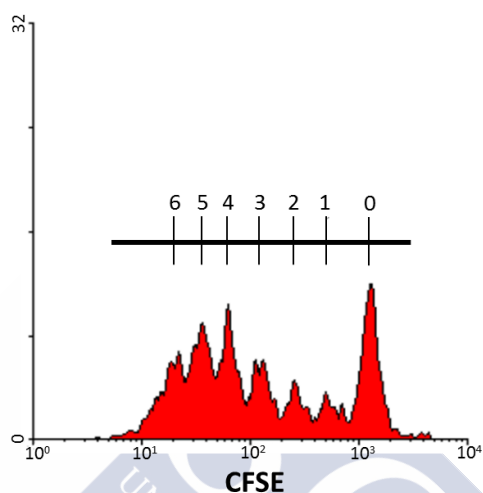
Supplementary Table S4. Average expression of CD26 and CD126 molecules in different leukocyte subsets and their half-life in peripheral circulation.

	Eosinophils	Monocytes	Neutrophils	Lymphocytes
Absolute count (x10³ cells/mL)	0.04-0.4	0.2-0.8	2.5-7.5	1.5-3.5
% of CD126⁺ cells*	63.4 (44.5-77.5)	78.5 (52.2-91.0)	99.5 (98.8-99.8)	55.3 (48.6-61.3)
% of CD26⁺ cells*	2.1 (1.4-3.2)	7.4 (3.5-17.6)	11.9 (5.0-27.7)	59.2 (54.4-66.4)
Half-life in peripheral blood[#]	8-18 h	22-24 h	6 h - 5 days	days-years

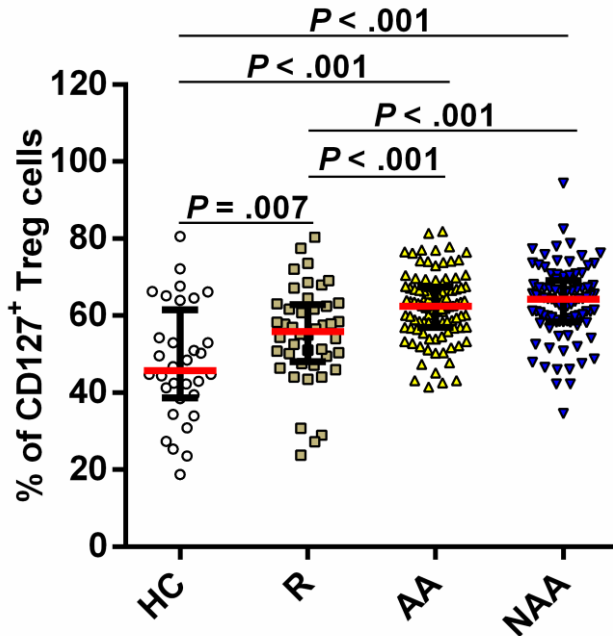
*Median value (IQR1-3).

[#]Data come from Pillay J, et al. Blood. 2010;116:625-7; Park YM, et al. Allergy Asthma Immunol Res. 2010;2:87-101; Patel AA, et al. J Exp Med. 2017;214:1913-1923; Robertson JM, et al. Immunol Rev. 2006;211:49-57.

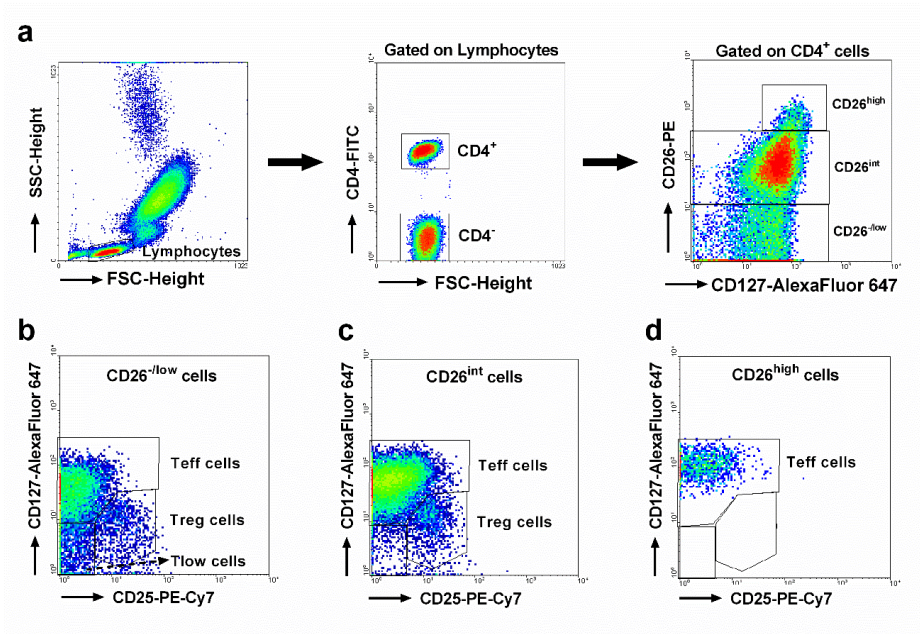
SUPPLEMENTARY FIGURES



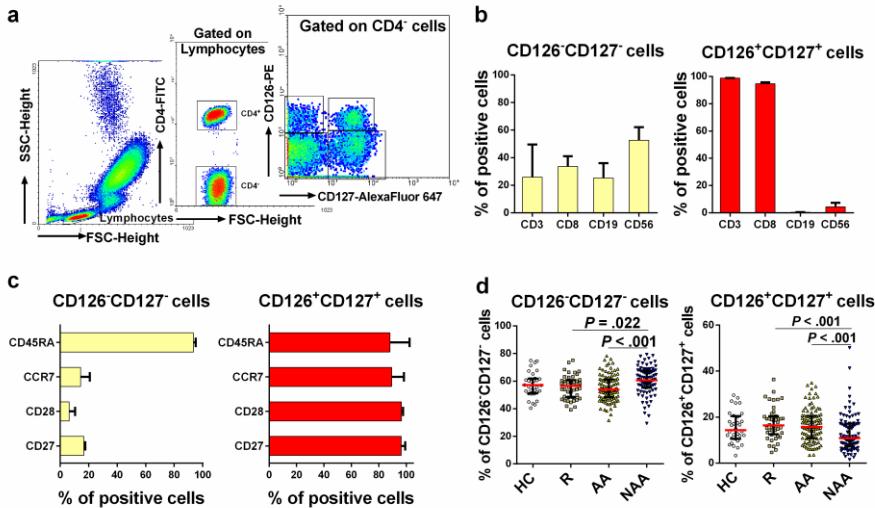
Supplementary Figure S1. Analysis of the number of cell divisions by flow cytometry. PBMCs were stained with 5 μM CFSE and cultured at 0.25×10^6 cells/mL in complete medium (RPMI 1640, 10% FBS, 100 $\mu\text{g/mL}$ streptomycin, 100 U/mL penicillin) supplemented with 1 $\mu\text{g/mL}$ PHA as a T-cell specific polyclonal stimulus. Upon 6 days of *in vitro* culture, CFSE fluorescence decay was measured by flow cytometry and allowed the calculation of the number of cell divisions. A representative histogram plot is shown, where numbers 1-6 represent the corresponding round of division, and 0 those lymphocytes that remained at a resting state. Responder frequency (Rf) was calculated as the percentage of cells that divided at least once (i.e., percentage of cells that divide from 1 to 6 times).



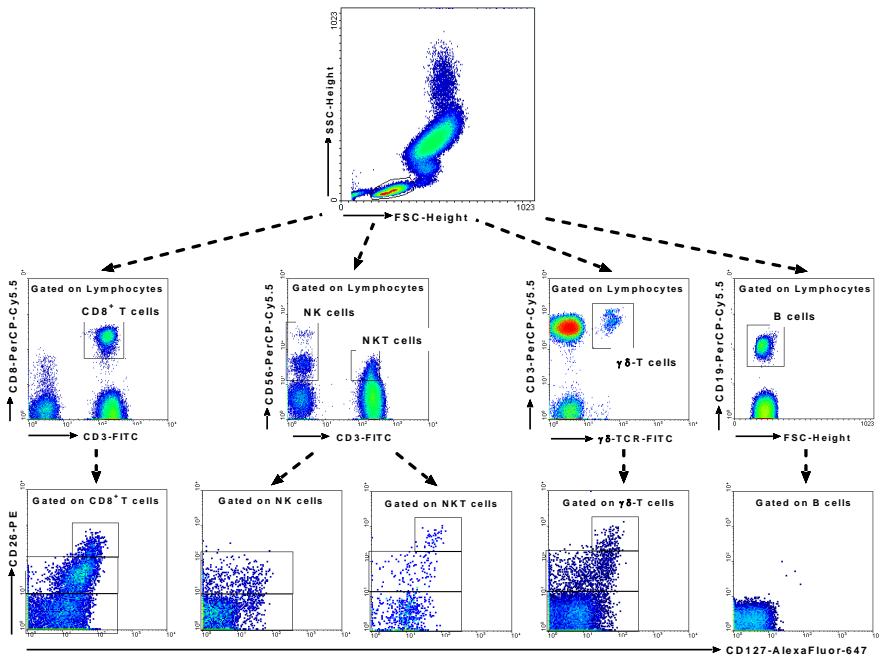
Supplementary Figure S2. Rhinitic and asthmatic patients have augmented levels of CD127⁺ regulatory T cells. Lymphocytes from healthy controls (HC; N = 32) and patients with rhinitis (R; N = 44), allergic asthma (AA; N = 100), and non-allergic asthma (NAA; N = 92) were gated on FSC/SSC plots and further subdivided into CD4⁺ and CD4⁺ cells. Then, regulatory T cells (Treg) were identified amongst CD4⁺ T lymphocytes according to CD25 and CD127 markers. Afterwards, the percentage of CD127⁺ cells within the Treg compartment was measured. Statistically significant difference between the different groups of donors is indicated ($P < .05$).



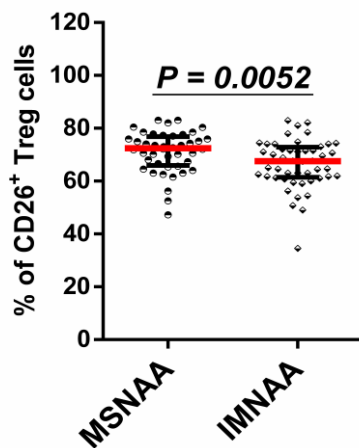
Supplementary Figure S3. Treg cells are distributed within CD26^{low} and CD26^{int} subsets of CD4⁺ T cells. **a**) Lymphocytes from healthy controls (HC) and patients with rhinitis (R), allergic asthma (AA), and non-allergic asthma (NAA) were gated on FSC/SSC plots and further subdivided into CD4⁻ and CD4⁺ cells. Then, 3 subsets of CD4⁺ lymphocytes were identified based on CD26 and CD127 markers: CD26^{low}, CD26^{int} and CD26^{high}. As figures **b-d** point out, Treg cells (CD25^{high}CD127^{low}) are present in CD26^{low} (**b**) and CD26^{int} (**c**) subsets, but not in CD26^{high} subpopulation (**d**).



Supplementary Figure S4. CD4⁺ lymphocytes with a CD126⁺CD127⁻ phenotype are increased in non-allergic asthmatics (NAA) compared to allergic asthmatics (AA). **a**) CD4⁺ lymphocytes were identified through the gating strategy depicted (left and middle dot-plots), and expression of CD127 and CD126 was used to identify four subsets (right dot plot). **b**) Lymphocyte subset composition of CD4⁺CD126⁺CD127⁻ and CD4⁺CD126⁺CD127⁺ cells based on CD3 (T), CD8 (Tc), CD19 (B), and CD56 (NK, NKT) antigens. **c**) The phenotype of CD4⁺CD126⁺CD127⁻ and CD4⁺CD126⁺CD127⁺ cells based on CD45RA, CCR7, CD127, CD28, and CD27 markers. We evidenced that the former CD26^{int}CD127⁺ and CD26^{high}CD127⁺ subsets are combined in one single CD4⁺ population (CD126⁺CD127⁺ cells), with a homogeneous naïve-memory CD3⁺CD8⁺ phenotype. In contrast, the CD126⁺CD127⁻ subset comprised T_{EMRA}-like cells (CD45RA^{high}CCR7^{low}CD27^{low}CD28^{low}), but a less-defined CD4⁺ lymphoid origin (B, NK, NKT, or $\gamma\delta$ -T cells). In **b**) and **c**), data were obtained from 3 representative donors. **d**) Percentage (median \pm IQR) of CD126⁺CD127⁻ and CD126⁺CD127⁺ cells in CD4⁺ lymphocytes from healthy controls (HC; N = 32) and patients with rhinitis (R; N = 44), allergic asthma (AA; N = 100), and non-allergic asthma (NAA; N = 92). Like CD4⁺CD26⁺CD127⁺ lymphocytes, CD4⁺CD126⁺CD127⁺ cells were reduced in NAA compared to AA, which was associated to the expansion of the double negative (CD126⁺CD127⁻) compartment in NAA. Only results from those subpopulations with significant differences between donor groups are shown. Statistically significant differences between groups of donors are indicated (Kruskal-Wallis test: $P < .05$).



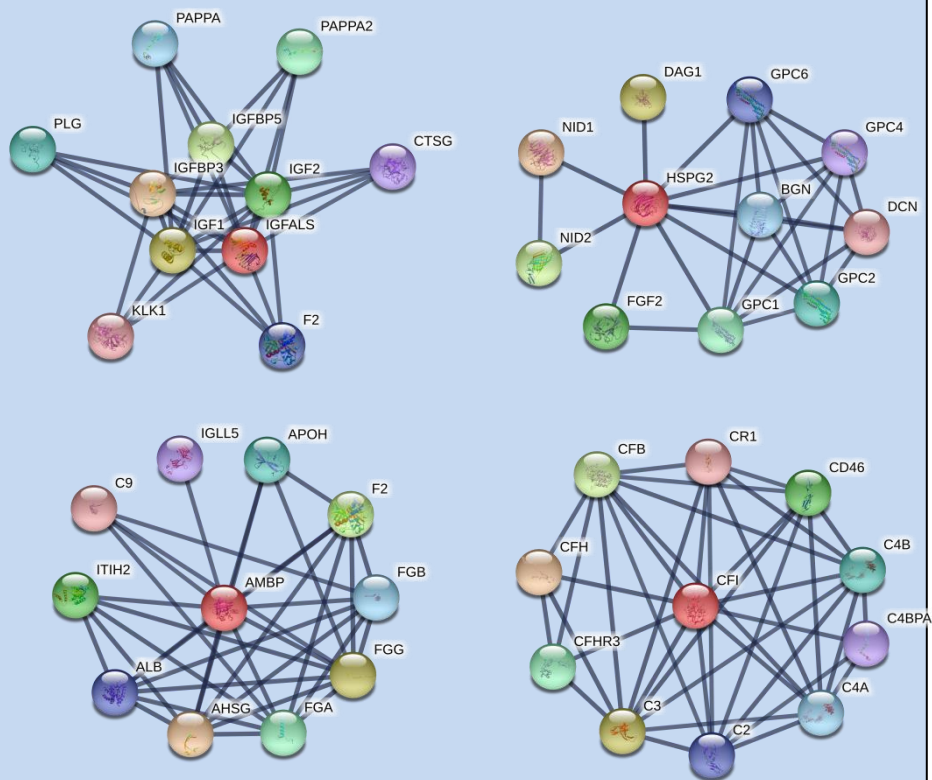
Supplementary Figure S5. Gating strategy for CD8⁺ Tc, NK, NKT, γδ-T, and B lymphocytes. After gating, expression of CD127 and CD26 was used to identify different subsets (lower row of dot-plots). CD3⁺ lymphocytes (Tc, NK, γδ-T) are characterized by the presence of three subsets (CD26^{-low}, CD26^{int}, and CD26^{high}), while CD3⁻ lymphocytes display a double (CD26^{-low}, CD26^{int}; NK cells) or a single (CD26⁻: B cells) phenotype.



Supplementary Figure S6. Moderate-severe non-allergic asthmatics display higher percentage of CD26⁺ regulatory T cells than intermittent-mild non-allergic asthmatics. Lymphocytes from either moderate-severe non-allergic (MSNAA; N = 90) or intermittent-mild non-allergic (IMNAA; N = 102) asthmatics were gated on FSC/SSC plots and further subdivided into CD4⁻ and CD4⁺ cells. Then, regulatory T cells (Treg) were identified amongst CD4⁺ T lymphocytes according to CD25 and CD127 markers. Afterwards, the percentage of CD26⁺ cells within the Treg compartment was measured. Statistically significant difference between the different groups of donors is indicated (*P*-value).

CHAPTER IV

Mining the serum proteome of rhinitis and different asthma phenotypes/severities by CPLMs and iTRAQ-coupled LC-MS/MS





INTRODUCTION

Asthma is a complex chronic disorder of the airways characterised by airways remodelling and hyper-responsiveness, recurrent airflow obstruction, mucosal cell hyperplasia, and increased vascular permeability, which results in shortness of breath [1-3]. There are two major clinical asthma phenotypes, named allergic/atopic asthma (AA) and non-allergic/non-atopic asthma (NAA) [4, 5], which involve several underlying pathobiological mechanisms (especially NAA): the asthma endotypes [2, 6].

The most prevalent asthma phenotype is AA, which typically develops from childhood. It is less severe than NAA [5] and mainly driven by type 2 helper T-cells (TH2) and eosinophilic airway inflammation, with enhancement of TH2 markers (IL-5, IL-13, exhaled nitric oxide fraction/FENO, blood/sputum eosinophilia, and serum periostin) [7-9]. The airway epithelium has also a key role in AA pathogenesis through IL-25, IL-33, and TSLP, a set of cytokines released in response to allergens and with a stimulatory role on DCs, basophils, and innate type 2 lymphocytes (ILC2) [10]. Subsequently, ILC2 cells boost the differentiation of TH2 and IgE-producing B-cells through the release of IL-4 and IL-13 [10]. Allergen-specific IgE has also a central role in AA leading to the activation of mast cells, which results in the release of inflammatory mediators such as histamine and leukotrienes [11]. AA patients usually present allergic rhinitis, eczema, or food and drug allergy as comorbidities [2]. Although the prevalence of rhinitis in NAA patients is similar to global rhinitis prevalence (~20-30%), the ~ 90% of AA patients suffer from allergic rhinitis. Therefore, these two pathologies could share similar immunopathogenic mechanisms and biomarkers [12, 13]. Indeed, a transition from an allergic inflammation of upper-respiratory airways in rhinitis to allergic inflammation of lower-respiratory airways in AA has been postulated [13, 14].

About 10-50% of adult patients with asthma display a difficult to diagnose NAA phenotype. This asthma variant is associated with a negative result of the skin prick test (SPT) or *in vitro* techniques like RAST or ELISA [4]. NAA subjects exhibit a more severe-persistent disease, with lower levels of FEV1 and FVC, the absence of a TH2-signature, and poorer prognosis or response to treatments like glucocorticosteroids, anti-immunoglobulin E (IgE), and anti-interleukin 5 (IL-5) [4]. This asthma phenotype is usually associated with cough, chronic rhinosinusitis (CRS), nasal polyposis (NP), and hypersensitivity to aspirin/NSAIDs [4]. Besides, NAA shows a higher prevalence amongst females, and a later age of onset (i.e., it is more typical during adulthood) [4]. NAA is a more heterogeneous phenotype, including diverse endotypes (e.g., aspirin-exacerbated respiratory disease/AERD, obesity-associated asthma, occupational asthma) and where TH17 cells, their derived cytokines (e.g., IL-17), and neutrophils appear to be key mediators [6, 15-17]. However, the underlying immunopathological mechanisms in TH2^{low} asthma are not well understood. Therefore, it seems necessary to perform new studies aimed to search for new biomarkers which could a) reveal novel mechanisms of asthma pathogenesis and pathophysiology, b) make a clearer distinction between asthma phenotypes, and c) turn into novel targets for asthma treatment.

The field of Proteomics has paved the way for the discovery of potential diagnostic/prognostic biomarkers in chronic and heterogeneous diseases like asthma. Samples generally used include exhaled breath condensate, different fluids (e.g., BALF, induced sputum, serum, plasma, urine), *in vitro* lung cell cultures, or tissue biopsies/autopsies [18-20]. Blood-derived specimens (e.g., plasma, serum) are widely used in clinical studies and contain an extremely complex mixture of proteins (>10,000 unique proteins; <http://www.plasmaproteomedatabase.org>), which makes these

biofluids ideal for proteomic biomarker discovery studies [21]. Thus, the application of proteomic techniques to this kind of samples may allow a researcher to identify reliable and disease-relevant biomarkers or underlying pathological mechanisms associated with an asthmatic subgroup of subjects. However, several challenges must be faced in the initial steps of proteomic discovery studies, such as the poor signal-to-noise ratio obtained when sampling is carried out distal from the diseased tissue (e.g., bronchi), the protein complexity itself of serum/plasma samples, and the extremely dynamic range of protein abundances in biofluids (12 orders of magnitude) [21-23]. Several techniques have been developed in order to reduce sample complexity and allow the detection of low-abundant proteins (the so-called “deep-proteome”; nano-femtomolar range) [24], such as ultrafiltration [25, 26], the precipitation of high-molecular proteins by acetonitrile [27], the depletion of high-abundant proteins [28], or the use of combinatorial peptide ligand libraries (CPLLs) [29]. These strategies could remove as much as 99% of the initial proteins in biofluid samples. In any case, following enzymatic digestion of remaining proteins, peptides can be separated by high-throughput liquid chromatography (LC) and submitted to tandem mass spectrometry (MS/MS) to generate a highly confident list of proteins through an effective “shotgun”, “bottom-up” proteomic approach [30, 31]. However, in these protocols, intense pre-fractionation of peptides by means of different chromatographic approaches [32, 33] and analysis of technical/biological replicates are necessary to reach the deep-proteome, measure protein abundances, generate an almost complete protein enumeration in samples, and consider the biological variance [34]. This means that the initial establishment of a correct protocol of analysis is a key issue in clinical proteomic studies.

Some plasma/serum proteomic studies have been applied to asthma [19, 20, 35], but only one using an LC-MS/MS approach [36].

Moreover, to our knowledge, none of them was designed to explore the differences of the serum proteome from rhinitis and allergic/non-allergic asthmatic subjects. For this reason, the aim of the present study was double: a) to develop a "shotgun / bottom-up" methodology for the quantitative analysis of the proteome of medium-low abundance in serum samples from patients with rhinitis and diverse asthmatic phenotypes; b) to perform an initial discovery/non-targeted proteomic analysis to detect candidate biomarkers associated with the presence of rhinitis or different asthma phenotypes (allergic/non-allergic) or severities (moderate-severe and intermittent-mild), which could also provide new insights into asthma pathogenesis and pathophysiology, as well as improve future therapeutic interventions.

MATERIALS AND METHODS

Please see Supplementary Information for further details.

Donors

A set of 267 adult subjects were recruited at the CHUS Pneumology Service and divided into 6 study groups: moderate-severe allergic asthma (MSAA; N = 49), intermittent-mild allergic asthma (IMAA; N = 53), rhinitis (R; N = 43), moderate-severe non-allergic asthma (MSNAA; N = 43), intermittent-mild non-allergic asthma (IMNAA; N = 47), and healthy controls (HC; N = 32). Asthmatic patients had a confirmed diagnosis of asthma for at least one year (Global Strategy for Asthma Management and Prevention criteria, GINA 2006) and were in a stable phase (>4 weeks). A positive bronchodilator test (>12% of FEV1 change after salbutamol) or methacholine challenge was used to confirm asthma diagnosis. Moreover, skin prick test and

allergen-specific IgE were used to verify the allergic phenotype. Haematology, biochemistry, spirometry, and skin sensitization studies were carried out by the clinical staff of the hospital. The research protocol was approved by the Ethics Committee of Clinical Research of Galicia (2011/001), and all the participants signed informed consent.

Serum samples preparation.

Two pools of serum samples from each group of patients (pool A and pool B) and a reference sample (pooled sample; PS) composed of all study samples were prepared. Then, delipidation was carried out by means of LRATM (Lipid Removal Agent) resin (13358-U; Sigma, Spain). For this aim, a titration of the amount of resin needed was made (20-100 mg/mL) and a concentration of 80 mg/mL of LRATM resin selected based on the reduction of apoAI in 1-DE gels (~ 25-30 kDa band) (Supplementary figure 1). Afterwards, serum samples were enriched in low abundance proteins by using CPLs (ProteoMinerTM Protein Enrichment, Large-Capacity Kit, Bio-Rad, 163-3007). Finally, protein samples eluted from ProteoMiner columns were cleaned by means of the 2-D Cleanup Kit (GE Healthcare, 80-6484-51), and then diluted into 30 μ L of 0.5M TEAB 6M urea for ulterior analysis.

Fifty μ g of protein from each sample were placed in 20 μ L of 0.5M TEAB 6M urea, reduced (TCEP), and alkylated (MMTS). Subsequently, trypsinization was performed at 37 ° C overnight. Then, all samples were lyophilized and dissolved in 30 μ L of 0.5M TEAB. Finally, peptides were labelled by means of iTRAQ® Reagent-8PLEX Multiplex Kit (Sigma-Aldrich) and pooled. Reporter ions were associated with samples as follows: MSAA, 113; IMAA, 114; R, 115; MSNAA, 116; IMNAA, 117; HC, 119; PS, 121. PS sample is a pool of all the serum samples used to normalize the data between the

different MS analytical series. This procedure was performed twice, one for each biological replicate (pool A and pool B).

nanoLC/MS-MS identification and quantification of peptides

Peptide samples were reconstituted in solution A (0.1% formic acid (FA) in water) and desalted by Zip-Tips C18 (Millipore). Then, samples were analysed by LC-MS/MS by using a Proxeon EASY-nLC 1000 UHPLC (Thermo Fisher Scientific) coupled online to an LTQ-Orbitrap ELITE (Thermo Fisher Scientific). HCD-fragmentation was performed. Three technical replicates were performed from each biological replicate.

MS data analysis

Proteome Discoverer (version 2.1.1.21) was used for protein identification and iTRAQ quantification. HCD spectra were analysed using Sequest HT with Percolator validation. The intensities acquired in MS/MS were globally normalized on protein median. Then, all reporter intensities were normalized by the reporter intensity of the pooled sample (PS, 121). A 1.3 fold change (down-regulation, < 0.77 ; up-regulation, ≥ 1.3) in iTRAQ ratios, as well as a p-value < 0.05 , was used to identify differentially abundant proteins between the different groups of study.

Validation of proteins by ELISA

Some differentially abundant proteins were selected for future validation by ELISA in 13 samples for each group. So far, only IGFALS was validated. For this aim, the Immunotag™ Human IGFALS (Insulin-Like Growth Factor Binding Protein, Acid Labile Subunit) ELISA (IT4078) from G-Biosciences was used. Optical densities were recorded at 450 nm and afterwards, protein

concentration was calculated from the standard curve. All protocols were performed according to the manufacturer's guidelines.

Statistical and bioinformatic analysis of the data

Statistical comparisons between the groups were performed by Kruskal–Wallis one-way analysis of variance followed by Dunn's tests (GraphPad Prism 6, CA). The receiver operating characteristic (ROC) analysis was also performed with GraphPad Prism. Gene Ontology (GO) annotation, GO terms overrepresentation test, and Reactome pathway overrepresentation analyses against the plasma proteome database (Version 2015-02-02) were performed in the PANTHER Classification System (version 13.1). Protein-protein interaction analyses were also performed by means of the Search Tool for the Retrieval of Interacting Genes (STRING).

RESULTS

Clinical characteristics of the study patients

This work includes 267 adult patients with different asthma phenotypes (AA and NAA) and severities (IM and MS), a group with allergic rhinitis (R) and another group of healthy controls (HC). The characteristics of the different study groups are outlined in Table 1. As expected, patients with MS asthma had a decrease in forced expiratory volume in one second (FEV1%) and FEV1/forced vital capacity (FVC) compared with patients with IM asthma, although the reduction of FEV1% is only significant in the case of the NAA (Table 1). In addition, both FEV1% and FEV1/FVC ratio were also decreased in MS asthmatic patients (both AA and NAA) with respect to R (Table 1). The allergic asthma phenotype (MSAA, IMAA) was also characterized by higher levels of IgE than the remaining groups,

except for R patients (Table 1). On the other hand, BMI and ESR measures were slightly higher in NAA patients compared to R (Table 1). Leukocyte count was also performed, showing an increase in the number of circulating eosinophils in allergic asthma and MSNAA patients compared to HC, but also beside R in the case of MSAA (Table 1). Other biochemical or haematological parameters remained unchanged between groups (Table 1).

Table 1. Clinical and demographic characteristics of the study subjects.

	MSAA	IMAA	MSNAA	IMNAA	R	HC
N	49	53	43	47	43	32
Age (mean (range))	39 (18-68)	36 (20-66)	54 (24-68)	52 (29-72)	35 (18-55)	43 (22-61)
Sex (M/F)	23/26	25/28	13/30	8/39	24/19	15/17
Disease control:						
Yes	30	53	30	45	43	-
No	19	0	13	2	0	-
Baseline treatment:						
ICS-LABA	46	34	39	35	0	-
ICS	1	15	1	3	0	-
OCS	1	0	0	0	0	-
Antileukotrienes	25	18	21	9	10	-
Anticholinergic	13	3	25	2	0	-
Roflumilast	0	0	1	0	0	-
Prednisone	0	0	5	0	0	-
BMI (Kg/m²)	26.9 (24.1-30.1)	25.3 (23.2-30.3)	29.2 (26.8-33.5) [#]	27.4 (25.4-30.5) [#]	25.3 (22.3-27.4)	-
FEV1 (%)	93.0 (81.5-103.0) [#]	101.0 (93.5-109.0)	75.0 (65.0-94.0) ^{#&}	110.0 (97.0-116.0)	107.0 (99.0-119.0)	-
FEV1/FVC (%)	73.0 (64.1-79.2) ^{#&}	79.1 (72.5-81.8) [#]	67.2 (59.5-76.6) ^{#&}	76.6 (72.6-80.5) [#]	83.5 (79.0-87.4)	-

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Table 1 (Continued)

Neutrophils (10^3 cells/μL)	3.77 (2.75-4.50)	3.71 (3.17-4.27)	3.58 (3.03-4.49)	3.51 (2.72-4.13)	3.58 (2.93-4.00)	3.02 (2.28-4.05)
Lymphocytes (10^3 cells/μL)	1.98 (1.65-2.66)	1.99 (1.67-2.24)	1.94 (1.56-2.54)	1.95 (1.58-2.25)	2.16 (1.89-2.60)	1.99 (1.53-2.49)
Monocytes (10^3 cells/μL)	0.39 (0.31-0.48)	0.41 (0.31-0.48)	0.42 (0.32-0.52)	0.35 (0.30-0.47)	0.39 (0.31-0.47)	0.39 (0.33-0.49)
Eosinophils (10^3 cells/μL)	0.36 (0.22-0.53)* [#]	0.26 (0.18-0.42)*	0.30 (0.18-0.45)*	0.24 (0.14-0.37)	0.22 (0.14-0.29)	0.13 (0.09-0.22)
Basophils (10^3 cells/μL)	0.04 (0.03-0.05)	0.04 (0.03-0.05)	0.03 (0.02-0.05)	0.03 (0.02-0.04)	0.03 (0.02-0.05)	0.03 (0.02-0.05)
ESR (1h; mm)	8.0 (4.5-15.0)	7.0 (2.0-14.5)	13.0 (7.0-23.0) [#]	12.0 (8.0-20.0) [#]	8.0 (2.0-15.0)	7.5 (2.0-10.0)
IgE (IU/mL)	138 (65-374)* ^{\$}	163 (64-252)* ^{\$}	43 (15-96)	22 (8-35)	68 (24-124)	15 (6-55)
IgG (mg/dL)	1060 (940-1150)	1080 (932-1210)	1055 (927-1255)	1020 (871-1160)	1025 (915-1208)	1015 (882-1118)
IgA (mg/dL)	213 (161-270)	243 (176-300)	220 (160-270)	206 (149-260)	187 (133-274)	291 (128-333)
IgM (mg/dL)	98 (70-150)	100 (69-143)	115 (76-160)	107 (73-149)	111 (69-163)	95 (86-114)

AA, allergic asthmatics; HC, healthy controls; NAA, non-allergic asthmatics; R, rhinitis patients.

Data are presented as median value (IQR1-3), unless otherwise expressed.

Statistical significance is show: *Disease vs HC; [#]Asthma vs R; ^{\$}AA vs NAA; [&]Moderate-severe vs Intermittent-mild asthma. Kruskal-Wallis test followed by Dunn's multiple comparison test. $p < 0.05$

Qualitative data analysis and classification of detected proteins according to GO terms

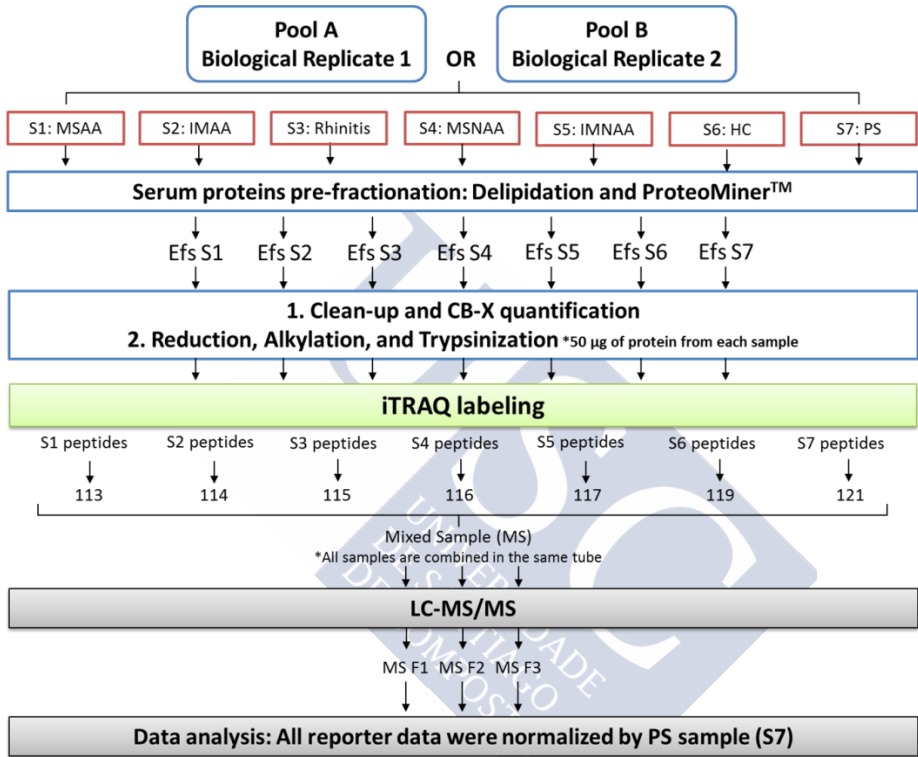


Figure 1. Experimental workflow. Samples from the different groups (S1-S6), and the pooled sample (PS, S7) were firstly delipidated. Then, low abundance proteins were enriched by means of ProteoMiner. The eluted fractions (EFs S1-S7) were then cleaned and quantified, and 50 µg of protein were reduced, alkylated and trypsinized. Tryptic peptides were labelled with different isobaric tags (113-118, 119, and 121) and afterwards, all samples were pooled in one mixed sample (MS). The MS was analysed three times by LC-MS/MS. Finally, all reporter intensities were normalized by the PS.

Six groups of subjects (MSAA, IMAA, R, MSNAA, IMNAA, and HC) were involved in this study. Serum samples from each of these groups were randomly split into 2 pooled subgroups (Pool A and B; i.e., 2 “biological replicates” per group; see Supplementary tables 1 and 2 for characteristics), which were analysed three times (3 technical replicates). Candidate biomarkers for different phenotypes and severities were identified in serum by using a high-throughput MS approach, which combined lipid removal with LRA resin, enrichment of low abundance proteins by CPLL (ProteoMiner™), and iTRAQ-LC-MS/MS analysis. The experimental design is shown in Figure 1.

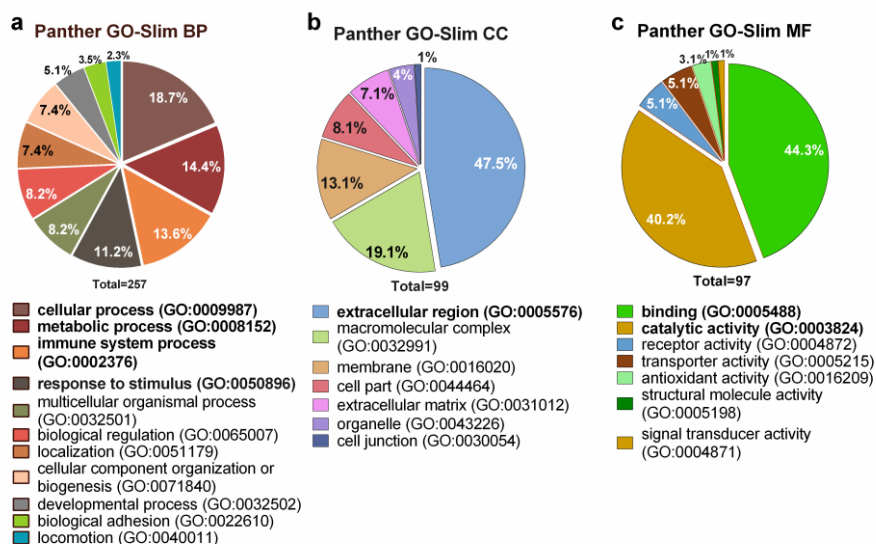


Figure 2. GO annotations of all proteins identified in this study. Two hundred and seventy-seven proteins were identified in this study and functionally classified by biological process (GO-Slim BP), cellular component (GO-Slim CC), and molecular function (GO-Slim MF). The most abundant GO terms are highlighted in bold. Percentages are displayed in graphs.

We identified a total of 9157 peptide-spectrum matches (PSMs), 1403 peptides, and 217 proteins in the serum of all study groups. Functional analysis of identified proteins was carried out by GO annotation in PANTHER (Figure 2). Moreover, GO terms overrepresentation test comparing the proteins detected in our study with reference to the plasma proteome database was also performed (Table 2).

Classification by biological process (GO-Slim BP) indicates that 4 categories, including cellular and metabolic processes, immune system process, and response to stimulus, represent more than 60% of the proteome detected (Figure 2a). Indeed, there was an enrichment of processes related to the immune system such as complement activation, B cell-mediated immunity, defence response to bacterium, response to biotic stimulus, or phagocytosis, all of them playing a key role in the pathogenesis of asthma (Table 2). As expected from a serum sample, classification by cellular component indicates that many proteins still belong to the extracellular space (47.5%) or macromolecular complexes (19.1%) (Figure 2b). The enrichment analysis also agrees with these results (Table 2). Finally, classification by molecular function (GO-Slim MF) indicates that >80% of proteins detected display binding activities (44.3%) or catalytic activity (40.2%) (Figure 2c), and the GO terms overrepresentation test yields the same results (Table 2).

Tabla 2. GO terms overrepresentation against human plasma proteome.

	Observed	Expected	Fold Enrichment	FDR
<i>PANTHER GO-Slim: Biological Process</i>				
cholesterol metabolic process (GO:0008203)	5	.31	15.93	6.01E-04
complement activation (GO:0006956)	9	.65	13.80	3.15E-06
B cell mediated immunity (GO:0019724)	9	.65	13.80	2.52E-06
cell recognition (GO:0008037)	10	.74	13.58	8.51E-07
defence response to bacterium (GO:0042742)	9	.80	11.29	8.45E-06
immune response (GO:0006955)	31	3.10	9.99	6.52E-19
response to biotic stimulus (GO:0009607)	9	1.01	8.87	4.20E-05
cell-matrix adhesion (GO:0007160)	4	.47	8.49	1.84E-02
phagocytosis (GO:0006909)	10	1.46	6.84	7.90E-05
steroid metabolic process (GO:0008202)	6	.93	6.45	5.87E-03
immune system process (GO:0002376)	35	5.59	6.26	7.17E-16
cell-cell adhesion (GO:0016337)	7	1.29	5.42	5.67E-03
proteolysis (GO:0006508)	13	3.69	3.52	1.82E-03
endocytosis (GO:0006897)	11	3.15	3.49	5.84E-03
response to stress (GO:0006950)	14	5.35	2.62	1.28E-02
protein metabolic process (GO:0019538)	28	12.62	2.22	1.46E-03
cellular process (GO:0009987)	48	66.83	.72	1.77E-02
phosphate-containing compound metabolic process (GO:0006796)	4	13.93	.29	2.51E-02
biosynthetic process (GO:0009058)	3	14.28	.21	6.80E-03
nitrogen compound metabolic process (GO:0006807)	3	20.90	.14	2.71E-05
nucleobase-containing compound metabolic process (GO:0006139)	3	22.98	.13	4.03E-06
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Table 2 (Continued)

***PANTHER GO-Slim:
Cellular Component***

immunoglobulin complex (GO:0019814)	10	.69	14.53	6.30E-08
extracellular space (GO:0005615)	41	4.66	8.80	8.55E-25
extracellular region (GO:0005576)	47	5.95	7.90	1.26E-26
extracellular matrix (GO:0031012)	7	1.32	5.32	3.33E-03

***PANTHER GO-Slim:
Molecular Function***

serine-type endopeptidase inhibitor activity (GO:0004867)	8	.51	15.77	4.46E-06
peptidase inhibitor activity (GO:0030414)	10	.70	14.28	4.29E-07
serine-type peptidase activity (GO:0008236)	11	.81	13.60	1.74E-07
antigen binding (GO:0003823)	9	.75	12.02	4.73E-06
lipid transporter activity (GO:0005319)	4	.37	10.69	1.43E-02
peptidase activity (GO:0008233)	25	3.31	7.56	2.17E-12
receptor binding (GO:0005102)	27	7.51	3.59	4.72E-07
hydrolase activity (GO:0016787)	31	15.55	1.99	4.39E-03

Quantitative data analysis of proteins identified by shotgun proteomics

iTRAQ reporter intensity values for each group were normalized by the iTRAQ reporter intensity of the pooled sample (PS). Then, volcano plot analyses were used for the detection of differentially abundant proteins across the different groups (Fold change higher than 1.3, $p < 0.05$) (Figure 3). Only proteins identified in at least two out of three technical replicates for each “biological pool” were used for quantification analysis. The list of UniProt accession numbers, protein names, gene names, fold change (ratio) and p-value of the

differentially abundant proteins is shown in Table 3. Only 26 out of 217 proteins identified showed significant changes between the different groups of donors (Table 3).

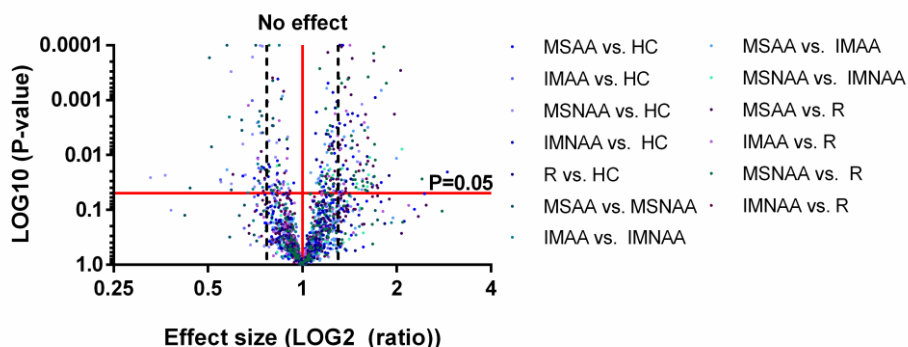


Figure 3. Volcano plot representation for all study groups. Log₂ fold change (ratio) against log₁₀ adjusted p-value showing all the differentially expressed proteins between the different groups of study (legend). The threshold value was set at 1.3 fold change (up-regulated: > 1.3; down-regulated: < 0.67) and a p-value < 0.05 was considered significant. The Volcano plot was performed by using GraphPad Prism software version 6.00 for windows.

Table 3. Proteins showing a differential abundance across the different groups of study.

Accession	Description	Gene	Fold-change	p-value
Protein changes in MSAA vs. HC				
P98160	Basement membrane-specific heparan sulfate proteoglycan core protein (HSPG)	HSPG2	1,6987	0.0064
P35858-2	Isoform 2 of Insulin-like growth factor-binding protein complex acid labile subunit (ALS)	IGFALS ALS	1,6203	0.0004
D3DNU8 (P01042)	Kininogen-1, isoform CRA_a	KNG1	1,5808	0.0036
P02763	Alpha-1-acid glycoprotein 1 (AGP 1) (Orosomucoid-1) (OMD 1)	ORM1 AGP1	1,4812	0.0387
P0COL5	Complement C4B1a (Fragment)	C4B	1,8544	0.0005
Continued on next page				

Table 3 (Continued)				
O43866	CD5 antigen-like	CD5L	2,4099	0.0273
P02760	Protein AMBP	AMBP	1,3965	0.0004
A8K2N0 (P09871)	cDNA FLJ77835, highly similar to Homo sapiens complement component 1, s subcomponent, transcript variant 2, mRNA	C1S	1,7090	0.0123
A0A0S2Z4L3 (P07225)	Protein S isoform 2 (Fragment)	PROS1	1,6885	0.0001
P00736	Complement C1r subcomponent	C1R	1,8196	0.0001
P0DJ18	Serum amyloid A-1 protein (SAA)	SAA1	1,5553	0.0302
B7Z8Q2 (P02765)	cDNA FLJ55606, highly similar to Alpha-2-HS-glycoprotein (Fetuin-A)	AHSG FETUA	1,3178	0.0181
O43852-3	Isoform 3 of Calumenin (Crocabin)	CALU	1,3097	0.0003
Protein changes in IMAA vs. HC				
D3DNU8 (P01042)	Kininogen-1, isoform CRA_a	KNG1	1.4282	0.0272
O43866	CD5 antigen-like	CD5L	1.8921	0.0237
A8K2N0 (P09871)	cDNA FLJ77835, highly similar to Homo sapiens complement component 1, s subcomponent, transcript variant 2, mRNA	C1S	1.3782	0.0305
A0A0S2Z4L3 (P07225)	Protein S isoform 2 (Fragment)	PROS1	1.6095	0.0107
P00736	Complement C1r subcomponent	C1R	1.5430	0.0028
Q15485	Ficolin-2 (Hucolin)	FCN2	1.3191	0.0230
Protein changes in MSNAA vs. HC				
A8K2N0 (P09871)	cDNA FLJ77835, highly similar to Homo sapiens complement component 1, s subcomponent, transcript variant 2, mRNA.	C1S	1,3203	0.0079
A0A0S2Z4L3 (P07225)	Protein S isoform 2 (Fragment)	PROS1	1,383	0.0016
A0A024R962 (P08603)	HCG40889, isoform CRA_b (Complement factor H)	CFH HF HF1 HF2	1,3599	0.0335
P00736	Complement C1r subcomponent	C1R	1.5747	0.0025
Q15485	Ficolin-2 (Hucolin)	FCN2	1,4176	<0.0001
Protein changes in IMNAA vs. HC				
P48740	Mannan-binding lectin serine protease 1 (MASP-1)	MASP1	1.3017	0.0274
Continued on next page				

Table 3 (Continued)

A8K2N0 (P09871)	cDNA FLJ77835, highly similar to Homo sapiens complement component 1, s subcomponent, transcript variant 2, mRNA	C1S	1.5152	0.0194
A0A0S2Z4L3 (P07225)	Protein S isoform 2 (Fragment)	PROS1	1.6192	0.0048
A0A024R962 (P08603)	HCG40889, isoform CRA_b (Complement factor H)	CFH	1.5303	0.0148
P00736	Complement C1r subcomponent	C1R	1.6178	0.0129
Protein changes in R vs. HC				
P98160	Basement membrane-specific heparan sulfate proteoglycan core protein (HSPG)	HSPG2	1.7093	0.0009
P13591	Neural cell adhesion molecule 1 (NCAM1)	NCAM1	1.4456	0.0014
O43866	CD5 antigen-like	CD5L	2.9017	0.0204
P02760	Protein AMBP	AMBP	1.3665	0.0028
P02675	Fibrinogen beta chain	FGB	1.3742	0.0068
A0A0S2Z4L3 (P07225)	Protein S isoform 2 (Fragment)	PROS1	1.4403	0.0074
P00736	Complement C1r subcomponent	C1R	1.5021	0.0019
P15169	Carboxypeptidase N catalytic chain (CPN)	CPN1	1.3149	0.0027
Protein changes in MSAA vs. R				
P35858-2	Isoform 2 of Insulin-like growth factor-binding protein complex acid labile subunit (ALS)	IGFALS	1.36008	0.0046
POC0L5	Complement C4B1a (Fragment)	C4B	1.6401	0.0111
A8K2N0 (P09871)	cDNA FLJ77835, highly similar to Homo sapiens complement component 1, s subcomponent, transcript variant 2, mRNA	C1S	1.4927	0.0332
Q96PD5-2	Isoform 2 of N-acetylmuramoyl-L-alanine amidase	PGLYRP2	1.3428	0.0175
Protein changes in IMAA vs. R				
P98160	Basement membrane-specific heparan sulfate proteoglycan core protein (HSPG)	HSPG2	0.7583	0.0280
P13591	Neural cell adhesion molecule 1 (NCAM1)	NCAM1 NCAM	0.6922	0.0010
P02763	Alpha-1-acid glycoprotein 1 (AGP 1) (Orosomucoid-1) (OMD 1)	ORM1 AGP1	0.5475	0.0281
Q6LAM1 (P05156)	Complement factor I Heavy chain	CFI IF	1.6860	0.0251
P02675	Fibrinogen beta chain	FGB	0.7256	0.0173
Continued on next page				

Table 3 (Continued)				
Protein changes in MSNAA vs. R				
P98160	Basement membrane-specific heparan sulfate proteoglycan core protein (HSPG)	HSPG2	0.5052	0.0002
P13591	Neural cell adhesion molecule 1 (NCAM1)	NCAM1 NCAM	0.5745	<0.0001
P05160	Coagulation factor XIII B chain	F13B	0.5934	0.0431
Q6LAM1 (P05156)	Complement factor I Heavy chain	CFI IF	1.6120	0.0313
O43866	CD5 antigen-like	CD5L	0.4383	0.0400
P02760	Protein AMBP	AMBP	0.7471	0.0039
P02671	Fibrinogen alpha chain	FGA	0.5126	0.0071
P02675	Fibrinogen beta chain	FGB	0.6411	0.0036
P48740	Mannan-binding lectin serine protease 1 (MASP-1)	MASP1	1.4451	0.0055
A0A024R962 (P08603)	HCG40889, isoform CRA_b (Complement factor H)	CFH	1.3312	0.0283
B2R9V7 (P08294)	Extracellular superoxide dismutase [Cu-Zn] (EC-SOD)	SOD3	0.6405	0.0023
P15169	Carboxypeptidase N catalytic chain (CPN)	CPN1	0.7101	0.0039
B7Z8Q2 (P02765)	cDNA FLJ55606, highly similar to Alpha-2-HS-glycoprotein (Fetuin-A)	AHSG FETUA	0.7414	0.0019
O43852-3	Isoform 3 of Calumenin (Crocabin)	CALU	0.7321	0.0015
Protein changes in IMNAA vs. R				
P98160	Basement membrane-specific heparan sulfate proteoglycan core protein (HSPG)	HSPG2	0.6936	0.0042
P13591	Neural cell adhesion molecule 1 (NCAM1)	NCAM1 NCAM	0.5922	0.0003
P05160	Coagulation factor XIII B chain	F13B	0.5928	0.0336
P02763	Alpha-1-acid glycoprotein 1 (AGP 1) (Orosomucoid-1) (OMD 1)	ORM1 AGP1	0.5501	0.0310
Q6LAM1 (P05156)	Complement factor I Heavy chain	CFI IF	1.5277	0.0137
O43866	CD5 antigen-like	CD5L	0.3650	0.0235
P02760	Protein AMBP	AMBP	0.6932	0.0001
P48740	Mannan-binding lectin serine protease 1 (MASP-1)	MASP1	1.5487	0.0012
A0A024R962 (P08603)	HCG40889, isoform CRA_b (Complement factor H)	CFH	1.4979	0.0132
P15169	Carboxypeptidase N catalytic chain (CPN)	CPN1	0.7451	0.0001
Continued on next page				

Table 3 (Continued)

B7Z8Q2 (P02765)	cDNA FLJ55606, highly similar to Alpha-2-HS-glycoprotein (Fetuin-A)	AHSG FETUA	0.7367	0.0039
O43852-3	Isoform 3 of Calumenin (Crocabin)	CALU	0.7341	0.0001
A6XGL1 (P02766)	Transthyretin (ATTR)	TTR	0.7187	0.0025
Protein changes in MSAA vs. IMAA				
P35858-2	Isoform 2 of Insulin-like growth factor-binding protein complex acid labile subunit (ALS)	IGFALS ALS	1.3596	0.0047
P02763	Alpha-1-acid glycoprotein 1 (AGP 1) (Orosomucoid-1) (OMD 1)	ORM1 AGP1	1.7860	0.0007
P0C0L5	Complement C4B1a (Fragment)	C4B	1.3642	0.0288
Q6LAM1 (P05156)	Complement factor I Heavy chain	CFI IF	0.5742	0.0185
Protein changes in MSNAA vs. IMNAA				
P98160	Basement membrane-specific heparan sulfate proteoglycan core protein (HSPG)	HSPG2	0.7283	0.0029
P02671	Fibrinogen alpha chain	FGA	0.5843	0.0020
B2R9V7 (P08294)	Extracellular superoxide dismutase [Cu-Zn] (EC-SOD)	SOD3	0.7245	0.0025
Protein changes in MSAA vs. MSNAA				
P98160	Basement membrane-specific heparan sulfate proteoglycan core protein (HSPG)	HSPG2	1.9672	0.0018
P13591	Neural cell adhesion molecule 1 (NCAM1)	NCAM1	1.4436	0.0002
P35858-2	Isoform 2 of Insulin-like growth factor-binding protein complex acid labile subunit (ALS)	IGFALS	1.6919	0.0002
P00734	Prothrombin (Coagulation factor II)	F2	1.3274	<0.0001
P02763	Alpha-1-acid glycoprotein 1 (AGP 1) (Orosomucoid-1) (OMD 1)	ORM1 AGP1	1.4981	0.0065
P0C0L5	Complement C4B1a (Fragment)	C4B	2.0568	0.0003
Q6LAM1 (P05156)	Complement factor I Heavy chain	CFI IF	0.6000	0.0225
P02760	Protein AMBP	AMBP	1.3678	0.0006
P02671	Fibrinogen alpha chain	FGA	1.6837	0.0158
P48740	Mannan-binding lectin serine protease 1 (MASP-1)	MASP1	0.7578	0.0266
B2R9V7 (P08294)	Extracellular superoxide dismutase [Cu-Zn] (EC-SOD)	SOD3	1.3322	0.0001
Continued on next page				

Table 3 (Continued)				
B7Z8Q2 (P02765)	cDNA FLJ55606, highly similar to Alpha-2-HS-glycoprotein (Fetuin-A)	AHSG FETUA	1.4245	0.0024
O43852-3	Isoform 3 of Calumenin (Crocalbin)	CALU	1.4301	0.0008
Protein changes in IMAA vs. IMNAA				
P05160	Coagulation factor XIII B chain	F13B	1.3784	0.0296
O43866	CD5 antigen-like	CD5L	1.7863	0.0314
P02760	Protein AMBP	AMBP	1.3139	<0.0001
A0A024R962 (P08603)	HCG40889, isoform CRA_b (Complement factor H)	CFH	0.7029	0.0266
B7Z8Q2 (P02765)	cDNA FLJ55606, highly similar to Alpha-2-HS-glycoprotein (Fetuin-A)	AHSG FETUA	1.3970	0.0018
A6XGL1 (P02766)	Transthyretin (ATTR)	TTR	1.3464	<0.0001

To reduce data dimensionality, PCA analysis was performed with the differentially abundant proteins. Five principal components (PCs) were selected with eigenvalues higher than unity and with a cumulative variance explained of 81.6%. Each component was composed of highly correlated proteins ($r > 0.500$). PC1 explained the 29.8% of the variance and included 12 different proteins (CALU, AMBP, HSPG2, IGFALS, TTR, F2, NCAM1, AHSG, ORM1, F13B, C4B1a, and CD5L) (Table 3, Figure 4). As Figure 4a points out, 7 out of those 12 proteins in PC1 (IGFALS, F2, F13B, AHSG, AMBP, ORM1, and CALU) interacted each other with a high confidence level (0.900), while others (HSPG2 and TTR) also displayed a strong interrelation. All PC1 proteins showed an intense relationship with allergy (AA and R), especially with MSAA (Table 3). This is perfectly illustrated by IGFALS, whose serum concentration in MSAA patients is much higher than in the remaining groups of the present study (Table 3; Figure 4b). Other interesting proteins within this component were HSPG2 and AMBP, both increased in R and MSAA patients compared to NAA and HC donors (Table 3; Figure 4c, d). GO terms analyses of proteins that make up PC1 indicated that many of them were related to protein or cellular metabolic processes

(CALU, AMBP, HSPG2, IGFALS, TTR, F2, NCAM1, AHSG, F13B, and C4B1a), mostly with a role in defence/inflammatory responses or immune system processes (HSPG2, TTR, F2, NCAM1, AHSG, ORM1, C4B1a, and CD5L). In addition, PANTHER overrepresentation test against plasma proteome database highlighted an enrichment in inflammatory response process (FE, 15.12; FDR, 1.61E-02) and the Reactome pathway “*Regulation of Insulin-like Growth Factor (IGF) transport and uptake by Insulin-like Growth Factor Binding Proteins (IGFBPs)*” (DE, 35.01; FDR, 8.94E-03), to which the IGFALS, F2, AHSG, and CALU belong.

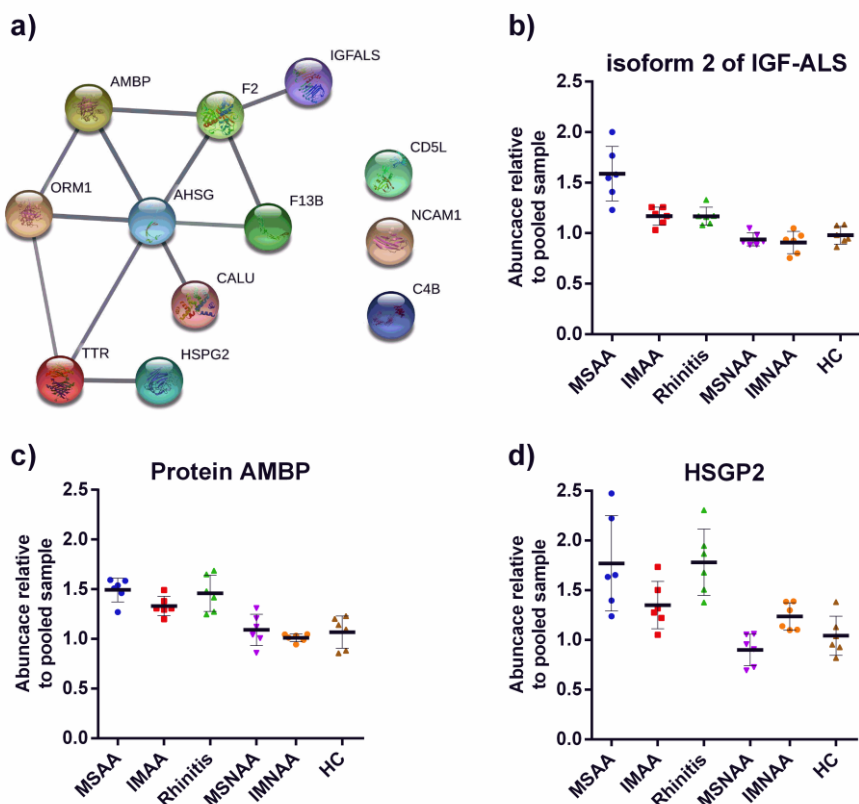


Figure 4 (Previous page). String analysis and changes in the relative abundance of proteins from PC1. a) Protein interaction network of proteins that make up PC1. b-d) Scatter plots show the changes of representative proteins (Abundance relative to the pooled sample) from the PC1 between the different groups of study (moderate-severe allergic asthmatics, MSAA; intermittent-mild allergic asthmatics, IMAA; rhinitis patients, moderate-severe non-allergic asthmatics, MSNAA; intermittent-mild non-allergic asthmatics, IMNAA; and healthy controls, HC).

PC2, for its part, explained the 22.01% of the variance and was composed of 8 proteins (PROS, KNG1, SAA1, C1s, C1r, CFI, CFH, and MASP) (Figure 5a). Some of the proteins associated with this component were strongly related to NAA phenotype. Thus, CFI, CFH, and MASP1 displayed an augmented concentration in serum samples compared to allergic subjects (AA and R patients) (Table 3; Figure 5b-d). Classification by biological process of PC2 proteins indicated that they were related to immune system processes (GO:0002376), either to blood coagulation (GO:0007596; PROS, KNG1, and SAA1) or complement activation (GO:0006956), including the classical (C1s, C1r, CFI), alternative (CFH, CFI), or lectin (MASP1) pathways. Indeed, this distribution is easily observed by means of the String protein interaction network (Figure 5a) and PANTHER overrepresentation test against human plasma proteome, showing the enrichment of the Reactome pathways “*Regulation of Complement cascade*” (FE, 76.58; FDR, 2.48E-06) and “*Creation of C4 and C2 activators*” (FE, 70.02; FDR, 3.97E-03).

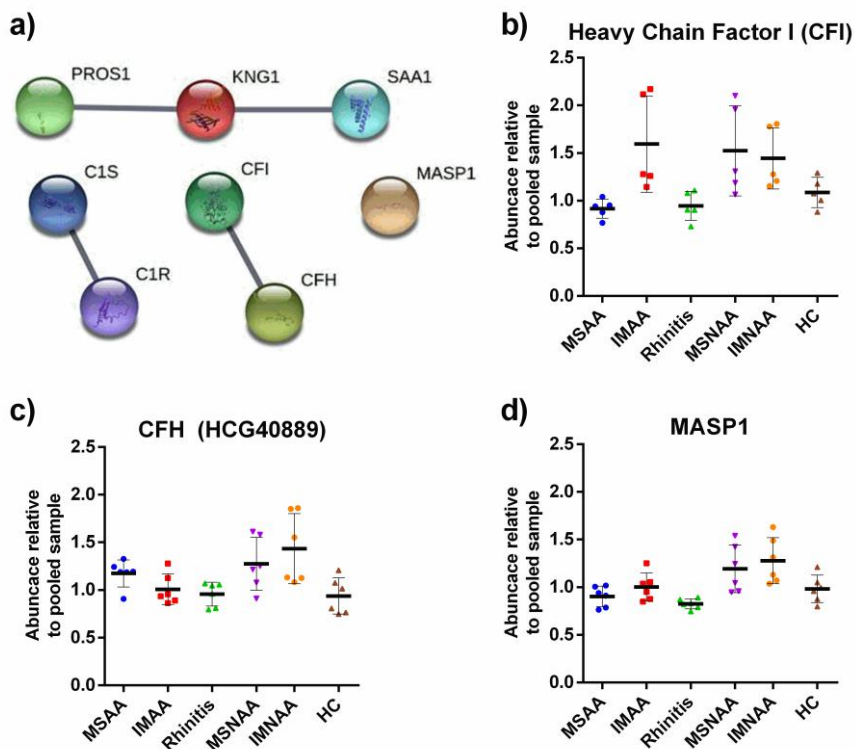


Figure 5. String analysis and changes in the relative abundance of proteins from PC2. a) Protein interaction network of proteins that make up PC2. b-d) Scatter plots show the changes of representative proteins (abundance relative to the pooled sample) from the PC2 between the different groups of study (moderate-severe allergic asthmatics, MSAA; intermittent-mild allergic asthmatics, IMAA; rhinitis patients, moderate-severe non-allergic asthmatics, MSNAA; intermittent-mild non-allergic asthmatics, IMNAA; and healthy controls, HC).

PC3, PC4, and PC5 explained, altogether, less than 30% of the variance. They comprised 4 (AHSG, F13B, CD5L, and FCN2), 3 (SOD3, FGA, and CPN1), and 3 (C4B, FGB, and PGLYRP2) proteins, respectively (Figure 6a-c). Three out of four proteins from PC3 (AHSG, F13B, CD5L) were also taking part in PC1, and they were related to R and MSAA. As we stated for PC1, both AHSG and F13B interact with each other with high confidence (0.900) (Figure 6a). The remaining protein (FCN2) was negatively correlated with this component (Figure 6a), being augmented in MSNAA vs. HC and in IMAA vs. HC (Table 3). PC4 proteins, for its part, were mainly increased in R patients with respect to the other groups (Table 3; Figure 6b) and no interactions were observed by String analysis (Figure 6b). Finally, PC5 proteins PGLYRP2 and C4B1a appeared to follow an increased concentration pattern in allergic subjects ($R < IMAA < MSAA$); in contrast, FGB displayed the opposite pattern (Figure 6c). Indeed, although FGB belongs to PC5 (with negative correlation), it became evident the positive correlation with PC4 in PCA analysis ($r = 0.490$).

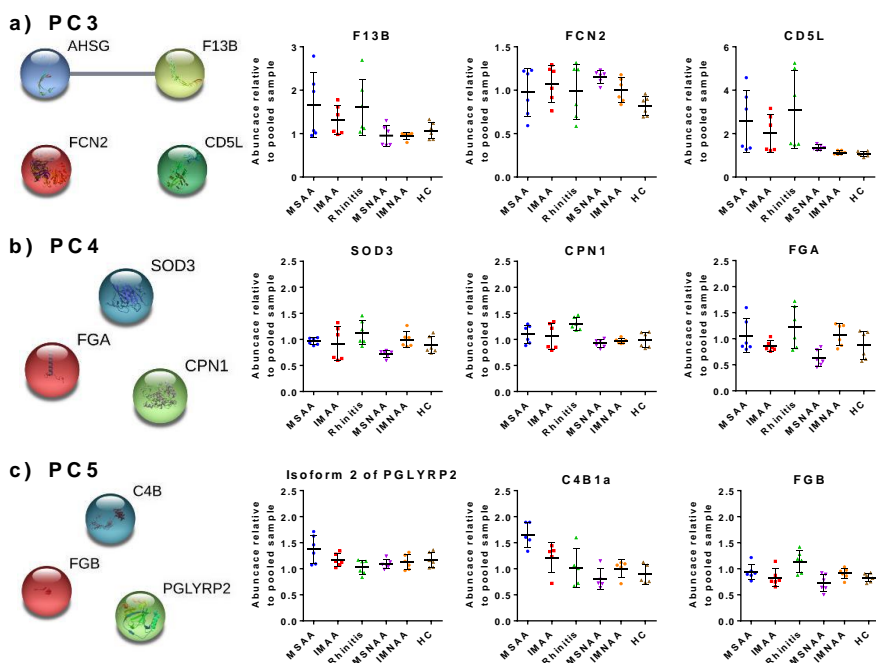


Figure 6. String analysis and changes in the relative abundance of proteins from PC3, PC4, and PC5. Figures on the left represent the protein interaction network of proteins that make up PC3 (a), PC4 (b), and PC5 (c). Scatter plots show the changes of representative proteins (abundance relative to the pooled sample) from each PC (PC3, PC4, and PC5) between the different groups of study (moderate-severe allergic asthmatics, MSAA; intermittent-mild allergic asthmatics, IMAA; rhinitis patients, moderate-severe non-allergic asthmatics, MSNAA; intermittent-mild non-allergic asthmatics, IMNAA; and healthy controls, HC).

Validation of candidate biomarkers by means of ELISA

Three candidate biomarkers from PC1 (IGFALS, Protein AMBP, and HSPG2) and one from PC2 (CFI) were chosen for subsequent validation by ELISA. So far, only IGFALS ELISA was performed (Figure 7).

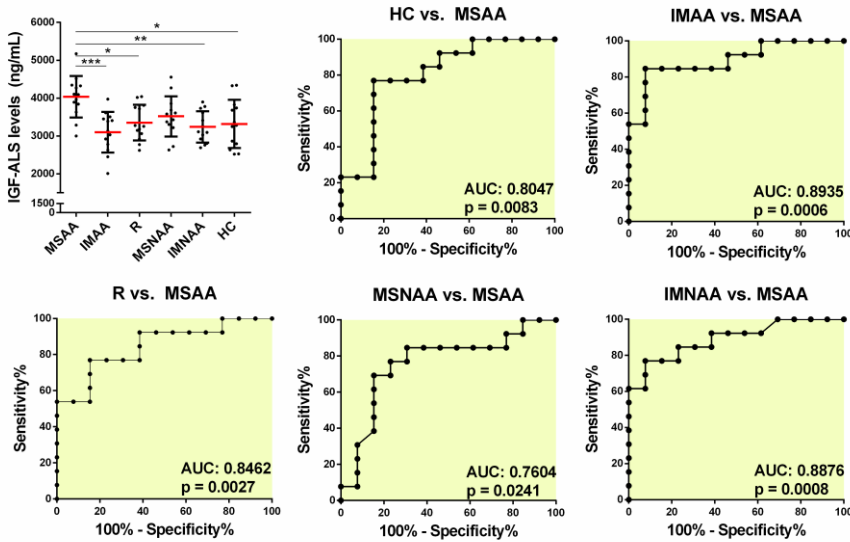


Figure 7. Validation of iTRAQ results by ELISA and ROC analysis. a) Fifteen serum samples from each donors group (HC, MSAA, IMAA, R, MSNAA, IMNAA, HC) were selected to achieve a similar average age and M/F proportion in all of them. Then, IGFALS protein was measured by ELISA. The asterisk (*) indicates the presence of a significant difference. * $P < 0.05$ ** $P < 0.01$ *** $P < 0.001$. **b)** The diagnostic ability of IGFALS to discriminate the different classes of donors was also evaluated through different receiver/relative operating characteristic (ROC) curves. An area under the ROC curve (AUC) > 0.8 was considered as a good performance of the biomarker.

Thirteen subjects from each group with similar age and M/F proportion were included for this analysis. Kruskal-Wallis one-way analysis of variance on ranks, followed by Dunn's multiple comparison test, evidenced an increase in the IGFALS concentration

in MSAA patients compared to the remaining groups of study, with the only exception of MSNAA patients (Figure 7). Moreover, ROC analyses highlighted that IGFALS levels allow us to discriminate MSAA from the remaining groups studied with high AUCs (Figure 7). Therefore, IGFALS could be a useful biomarker of MSAA.

DISCUSSION

In the present study, a protocol for the proteomic study of serum has been optimized, in which lipoproteins have been eliminated, the dynamic range of abundances has been reduced, and quantitative analysis has been addressed (iTRAQ-LC-MS/MS). A preliminary analysis of serum samples from different donor groups (MSAA, IMAA, R, MSNAA, IMNAA, HC) demonstrates an enrichment of proteins from extracellular region which exhibit binding or catalytic activity and whose biological processes are mainly related to metabolism or immune system (e.g., complement activation, B cell immunity, defence against bacterium, or phagocytosis). In addition, this seminal study has reported a differential abundance in the serum proteomes of patients of several proteins, which could be biomarkers of either AA or NAA: IGFALS, CFI, Protein AMBP, and HSPG2.

The usefulness of the LC-MS/MS approach combined with the use of iTRAQ isobaric labels has been proven in numerous publications [37, 38]. Although several studies have been performed in asthma proteomics [19, 20, 35], only one has used a similar methodology (iTRAQ-LC-MS/MS) to ours [39], and none of them has compared rhinitis and different asthmatic phenotypes (AA vs. NAA) (Supplementary Table 2). In the present study, we have obtained a list of 217 proteins, of which 26 displayed a differential abundance across the different groups of donors. Interestingly, some of them could be

relevant as candidate biomarkers for asthma phenotypes diagnosis or assessment of asthma severity. This is the case, for example, of IGFALS, protein AMBP, and HSPG2 in the case of AA, and CFI, or CFH for NAA.

IGFALS belongs to a family of proteins previously linked to asthma pathogenesis that includes IGF1 and IGF2 [40]. IGF1 is a key factor in asthma pathogenesis, through the promotion of subepithelial fibrosis, inflammation, hyperresponsiveness, and smooth muscle cell hyperplasia in the airways [40]. Indeed, omalizumab (an anti-IgE antibody) [41], as well as oral glucocorticoids [42], decrease the levels of IGF-I. Both IGF1 and IGF2 exert their biological effects through the binding to IGF1R, but IGF2 can be also sequestered by IGF2R/cation-independent mannose-6-phosphate receptor, a high-affinity inhibitory protein that attenuates IGF2 signalling [43]. Strikingly, this last receptor has been related to CD26/DPP4 [44, 45], a serine peptidase involved in asthma pathogenesis [46]. Therefore, there are many lines of evidence pointing to an important role of IGF family in allergic asthma.

The IGF system is completed with several binding proteins (IGFBPs: 1-6) [47], a novel IGFBP3-specific receptor (IGFBP3R) [48], and IGFALS [47, 49]. Both IGFBPs and IGFALS appear to influence free-IGF concentration in the extracellular compartment, playing a role on the bioavailability of IGFs [40]. The formation of a high molecular weight complex (IGFALS-IGF1-IGFBP) prevents the extravasation of IGF-1, the IGF-1/IGFBPs proteolysis, and the renal elimination of IGF-1 [50]. Indeed, IGFALS deficiency results in a dramatic decrease in IGF-1, IGF-2, and IGFBP3 levels [50, 51]. Apart from IGF1 [40] and IGFBP3 [40], the present results show that IGFALS is elevated in AA, especially moderate-severe forms, but not in NAA. Regarding IGFBP3, this protein controls AA inflammation through IGF-dependent and IGF-independent (IGFBPR-mediated)

mechanisms that target the HIF/VEGF axis, TGF β 1 and TH2 cytokines production, and NF- κ B activation [40]. Veraldi *et al.* also described a role in AA for IGFBP-3 through the promotion of subepithelial fibrosis [52]. Regarding the role of IGFBP-3 in asthma, this remains mostly unknown, but together with IGFs and IGFBPs this protein could be an additional therapeutic target for asthma management.

Other possible biomarkers for AA are protein AMBP and HSPG2. Although the first one has not been extensively studied in asthma or airway-related diseases, a study of serum proteome from patients with idiopathic pulmonary fibrosis (IPF) has shown decreased levels of AMBP in IPF patients compared to HC, and the same decrease was observed for AHSG, a protein with a similar pattern of changes as AMBP in our study [38]. Although the possible function of protein AMBP in AA is not known, this protein shares the same chromosomal region (9q32) as ORM1/AGP, a positive acute phase protein increased in AA (Table 3). Thus, it is probable that both proteins coordinate their levels and that AMBP participates in the acute inflammatory response. Indeed, AMBP and ORM1 levels, as well as other proteins augmented in AA and R in our study (e.g., AHSG or CD5L), have been found increased in BALF of asthmatic individuals 24 h after segmental allergen challenge [53], highlighting the possible role of these set of proteins in AA.

On the other hand, together with hyaluronan (HA) or vesicant (VCAN), HSPG2/perlecan is an extracellular matrix molecule which is deposited in the lamina reticularis and generates subepithelial fibrosis in the airways [54]. Basement membrane thickening has been reported in asthma [55-58] and even allergic rhinitis [58, 59]. Moreover, HSPG2 is an upstream regulator of genes (ACAN, COL10A1, and FGFR3) containing asthma-associated differentially methylated regions [60]. There is a negative correlation between the

levels of HSPG2 and airway hyperresponsiveness (PC₂₀) [61], and this protein has been linked to fibrosis as well. Indeed, mature fibrocytes [62] constitutively produce VCAN, HA, COL3/5/6, fibronectin, and HSPG2 [63, 64] and contribute to the subepithelial fibrosis in asthma [63]. Aligned with these results and our own data showing higher levels of HSPG2 in serum samples from AA and R patients, fibrocytes from asthmatic patients exposed to TH2- (IL-4, IL-13) but not TH17 (IL-17A) cytokines show an enhanced expression of HSPG2 and a profibrotic phenotype (i.e., elevated expression of HA, COL3/5/6, VCAN and HSPG2) [65]. Changes in HSPG2 deposition could have mechanistic effects, but also modulate the bioavailability of growth factors (e.g., bFGF) or cytokines (e.g., IL-4, TGF- β , or GM-CSF). Taken together, these results could explain the increase of HSPG2 and protein AMBP in our group of MSAA and R patients and their potential as atopic disease biomarkers.

Asthma and especially its NAA phenotype share certain characteristics with autoimmune disorders, like their prevalence in female the presence of autoantibodies in higher frequency than HC [66-68]. It is well known that the complement system plays an important role in autoimmune diseases [69], but also appear to have a proinflammatory role in asthma [70-72]. However, the complement factors involved remain unclear. According to the initial stimuli, three pathways (classical, alternative, and lectin) have been described for complement activation. Our results support that complement factors I and H, two regulatory proteins that control the excessive activation of the alternative complement pathway [73, 74], are upregulated in NAA. CFI is a serine protease that favours the degradation of C4b, diminishes the levels of C3-convertase (C4bC2a) and prevents inflammation arising from complement activation [75]. Complement factor H, for its part, is a cofactor for CFI [73, 76] and it is also known as adrenomedullin binding protein (AMBP-1) [77, 78].

Adrenomedullin is an anti-inflammatory peptide that suppresses TH2 inflammation and maintains tissue integrity in an OVA-induced model of asthma [79]. Moreover, Weiszhár *et al.* have found augmented levels of CFH in asthmatic sputum and a correlation with severity and the loss of lung function [80]. The increase of CFI and CFH in our group of NAA patients could be related to a mechanism to evade the immune response, as it happens with some pathogens [81-83], which could also explain the higher severity of NAA.

Additionally, the levels of the serine protease MASP1 are also increased in NAA. MASP1 participate in the lectin pathway of complement activation, but this protease has also substrates that belong to the coagulation cascade [84]; we have found some of them altered in asthma as well, such as kininogen, FXIII, or F2. Moreover, another substrate of MASP1 is the protease activation receptor 4 (PAR4) in endothelial cells, whose activation leads to the production of IL-6 and IL-8, the last one a chemotactic molecule for neutrophils [84]. This is relevant, as neutrophils have been related to some NAA endotypes [85] and higher disease severity [86, 87]. Besides, C1r and C1s proteins (classical complement pathway) are also modified in our group of asthma patients. Therefore, our results point out that all the complement activation pathways (classical, alternative, and lectin) appear to be involved in asthma, especially in the NAA phenotype.

Like other studies, the present work has also some limitations. First, not all the proteins were detected in all the groups since it is known that in LC-MS/MS assays is necessary to perform more than 3 technical replicates in order to detect all proteins in a sample (completeness of analysis issue) [88]. A second problem is related to the "pooled sample" analysed with the isobaric label 121. This sample has been introduced in all analytical series to allow the normalization of the signals and the adjustment of the interserial variability. However, the use of this type of reference samples makes difficult to

detect low abundance proteins, which in addition makes the normalization process difficult. Third, another issue is the whole number of proteins detected, especially low abundance species, despite the use of lipoprotein-depletion, CPLs-based enrichment, and reverse phase LC. Therefore, an additional step of peptides-fractionation using strong cation exchange (SCX) chromatography before LC-MS/MS analysis would be of benefit. Finally, some of our results are still preliminary, especially those referring to the proteins detected with differential abundance by LS-MS/MS which have not been validated by ELISA yet.

In conclusion, a shotgun/bottom-up/non-targeted methodology has been developed for the quantitative analysis of the proteome of medium-low abundance in serum samples from patients with rhinitis and various asthmatic phenotypes. This protocol is based on the elimination of lipoproteins by the LRA resin, protein enrichment of medium and low abundance by CPLs application, labelling with iTRAQ 8plex reagents, and analysis by LC-MS/MS. Our approach detected several differentially abundant proteins, and therefore potential non-invasive biomarkers of asthma phenotypes (e.g., IGFALS, Protein AMBP, HSPG2 for AA, and CFI for NAA) or severities (e.g., IGFALS for MSAA). In any case, future studies will be necessary to get a better understanding of asthma pathophysiology, to evaluate the diagnostic performance of these new biomarkers, and to translate this knowledge into the clinic to get a better therapeutic response and prognosis.

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SUPPLEMENTARY INFORMATION

SUPPLEMENTARY MATERIALS AND METHODS

Blood and serum samples

To obtain serum samples, BD Vacutainer SST II Advance tubes were used. After 30 minutes at room temperature (RT) and centrifuge at 3,000 xg (15 min at 4 °C), the serum was collected in 1 mL aliquots and frozen at -80 ° C. For proteomic experiments, 2 pools of each group of patients (pool A and pool B) and a reference sample (pooled sample; PS) composed of all study samples were prepared.

In order to eliminate serum lipoproteins, delipidation was carried out by means of a resin called LRA TM (Lipid Removal Agent) (13358-U; Sigma, Spain). Firstly, a titration of the amount of resin needed was made. Thus, initial centrifugation was performed at 3,000 xg for 30 min at 4 ° C, and then several concentrations of resin (20-100 mg/mL) were added to 2.5 mL of serum samples. The mixture was incubated overnight (4 °C, in rotation) and subsequently centrifuged at 2,500 xg (10 min, 4 ° C). Next, the delipidated serum samples were collected for protein quantification. Afterwards, 1D SDS-PAGE with a high percentage of monomer (15% T) was performed in the Mini-Protean III system (Bio-Rad). 30 µg of each sample and 10 uL of molecular weight markers (NZYColour Protein Marker II; Nzytech) were loaded. During the electrophoresis, the voltage was set at 200 V (Powerpac 300, Bio-Rad). Finally, the gels were stained with Coomassie R-250 and scanned for analysis. A final concentration of 80 mg/mL of resin was selected for subsequent analysis.

Enrichment of low abundance proteins: Proteominer™.

To enrich samples in low abundance proteins, ProteoMiner™ Protein Enrichment Large-Capacity Kit (Bio-Rad, cat163-3007) was used following commercial guidelines. In brief, 1 mL from each serum sample was loaded in each column and subsequently incubated under stirring conditions (2 h, RT). After incubation, the samples were washed 4 times and then eluted by using a buffer provided by the kit. All centrifugations were performed at 1000 xg, 1 min, RT. Three fractions were collected and mixed in a single sample. Then, in order to eliminate contaminants such as the salts of the Proteominer elution buffer, the 2-D Cleanup Kit (GE Healthcare, Cat No. 80-6484-51) was used also following commercial guidelines. Finally, the pellet obtained was diluted into 30 µL of 0.5M TEAB 6M urea for ulterior analysis.

Protein quantification

Two methodologies were used according to the manufacturer's protocol. The first one, Pierce™ BCA Protein Assay Kit (Thermo Fisher, # 23225), was used for protein quantification after the delipidation process. A calibration line was generated using different concentrations of bovine serum albumin (BSA) in phosphate buffer (10 mM, pH 7.0): 0-2 mg/mL. The test samples were also diluted in phosphate buffer (1:60 dilution). In a 96-well plate, 25 µL of the blank (PBS), samples and standards were added. Each condition was analysed at least in duplicate. Subsequently, 200 µL of working reagent (50 A: 1 B) was added and incubated at 37 °C, 20 min. Finally, the reading was made at 550 nm in a plate reader (model 680, Bio-Rad).

The quantification after Clean-up required using the CB-X kit (786-12X, G-Biosciences). Firstly, to precipitate proteins from

samples, 1 mL of previously cooled (-20°C) CB-XTM reagent was added to 50 μL of each sample (diluted 1:10 in TEAB buffer) and mixed by vortexing. The samples were then centrifuged at 16,000 $\times g$ for 5 min, the supernatant was removed, and 50 μL of CB-XTM I and 50 μL CB-XTM II solubilization buffers were sequentially added. The proteins were dissolved by vortex, and 1 mL/sample of CB-XTM test dye was added. After vortexing and incubation for 5 min at RT, the samples were transferred to 96-well plates and the absorbance was read at 595 nm on a microplate reader (Labsystems Multiskan MS). A standard line with BSA (0.2-1 mg/mL) diluted in 0.5M TEAB buffer containing 6M urea was used to determine the protein concentration.

Reduction, alkylation, and trypsinization

Fifty μg of protein from each sample in 20 μL of 0.5M TEAB 6M urea were reduced and alkylated. For this purpose, 2 μL of reducing agent (TCEP) were added to each tube, followed by 1 h incubation at RT. Next, the samples were alkylated by adding 1 μL /sample of MMTS, followed by 10 min incubation at RT. Subsequently, 123 μL of 1M TEAB were added to reduce the urea concentration, and trypsinization was performed (1 $\mu\text{g}/\mu\text{L}$ trypsin; 4326682, Sciex) at 37°C overnight. To stop the reaction ($\text{pH} < 6.0$), 4 μL of acetic acid was added. Finally, all samples were lyophilized.

iTRAQ labelling

The iTRAQ® Reagent-8PLEX Multiplex Kit (Sigma-Aldrich) was used according to the manufacturer's protocol. First of all, the samples were dissolved in 30 μL of 0.5M TEAB. Half of the contents of each vial (10 μL) were diluted in 25 μL of isopropanol, and then added to each peptide samples with the following labels: MSAA, 113; IMAA, 114; R, 115; MSNAA, 116; IMNAA, 117; HC, 119; PS, 121. PS sample is a pool of all the serum samples used to normalize the data

between the different MS analytical series. After 2h incubation, the seven labelled peptide samples were collected in a single tube and lyophilized. This procedure was performed twice, one for each biological replicate (pool A and pool B).

nanoLC/MS-MS identification and quantification of peptides

The samples were analysed in the Structural, Proteomic and Genomic Determination Service, Cacti Mass Spectrometry Unit, University of Vigo. Firstly, the peptide samples were reconstituted in solution A (0.1% formic acid (FA) in water) and desalted by Zip-Tips C18 (Millipore). Secondly, peptides were dispensed to nano-reverse phase EASY-Spray Columns (PepMap® RSLC, C18, 2µm, 100 Å, 75µm x 500mm, Thermo Fisher Scientific) mounted in the Proxeon EASY-nLC 1000 UHPLC (Thermo Fisher Scientific), and were eluted with a gradient of solution B (ACN) of 5-30% (240 min), 30%-90% (10min), and 90%-5% (17min). The nanoLC system is coupled online to an LTQ-Orbitrap ELITE (Thermo Fisher Fisher) used in a data dependent and positive ion mode. A full MS scan was carried out from 380–1600 m/z with a resolution at 12000. HCD-fragmentation was used and the MS/MS scan was accomplished with top 15, at 28% normalized collision energy, with a resolution at 30000, a dynamic exclusion time at 30 s, a minimum signal required at 1000, and an isolation width at 1.50 Da. Three technical replicates were performed from each biological replicate.

MS data analysis

Proteome Discoverer (version 2.1.1.21) was used for protein identification and iTRAQ quantification. HCD spectra were analysed using Sequest HT with Percolator validation. Spectra were searched against the latest UniProtKB Release and common contaminant sequences (e.g., trypsin, or keratins). The peptide mass tolerance was

10 ppm and the fragment mass tolerance 0.020 Da. As static modifications, the iTRAQ 8-plex N-terminus, and carbamidomethylation of cysteine were specified, and as variable modifications, the oxidation of methionine, the iTRAQ 8-plex of tyrosine and lysine, the carbamylation of lysine, and the N-terminus acetylation. The intensities acquired in MS/MS were globally normalized on protein median. Then, all reporter intensities were normalized by the reporter intensity of the pooled sample (PS, 121). The abundance of each protein was calculated as an average abundance of all its detected peptides. A 1.3 fold change (down-regulation, < 0.77 ; up-regulation, ≥ 1.3) in iTRAQ ratios, as well as a p-value less than 0.05, was used to identify differentially expressed proteins between the different groups of study.

Statistical and bioinformatic analysis of the data

Statistical data analyses were carried out using GraphPad Prism version 6.00 for Windows (GraphPad Software, La Jolla California USA, www.graphpad.com). Quantitative data comparisons between the different sample groups were performed by Kruskal–Wallis one-way analysis of variance followed by Dunn's multiple comparison tests. The receiver operating characteristic (ROC) analysis was also performed with GraphPad Prism. A $p < 0.05$ was considered of statistical significance. Bioinformatic analysis: GO annotation, GO terms overrepresentation test, and Reactome pathway overrepresentation analyses against the plasma proteome database (Version 2015-02-02) (www.plasmaproteomedatabase.org/) were performed in PANTHER Classification System (PANTHER version 13.1. Released 2018-02-03). A prospective study of all the potential physical or functional protein-protein interactions was also performed by means of the Search Tool for the Retrieval of Interacting Genes (STRING) (Version: 11.0, www.string-db.org)

SUPPLEMENTARY TABLES

Supplementary Table 1. Characteristics of the subjects from pool A.

	MSAA	IMAA	MSNAA	IMNAA	R	HC
N	25	26	22	24	22	16
Age (mean (range))	39 (21-64)	37 (20-64)	53 (24-68)	53 (29-72)	31 (18-46)	45 (22-58)
Sex (M/F)	11/14	15/11	6/16	2/22	12/10	5/11
Disease control:						
Yes	18	26	13	22	22	-
No	7	0	9	2	0	-
Baseline treatment:						
ICS-LABA	24	16	18	17	0	-
ICS	0	7	1	0	0	-
OCS	0	0	0	0	0	-
Antileukotrienes	14	8	10	5	3	-
Anticholinergic	5	3	13	2	0	-
Roflumilast	0	0	0	0	0	-
Prednisone	0	0	2	0	0	-
FEV1 (%)	97.0 (85.5-111.5) ^{\$}	102.5 (94.5-110.5)	70.6 (60.0-88.8) ^{#&}	112.0 (98.0-120.5)	109.5 (99.5-121.5)	-
FEV1/FVC (%)	76.5 (70.7-79.6) [#]	79.6 (72.6-82.7)	67.6 (58.1-77.1) [#]	76.6 (73.7-80.6) [#]	84.6 (79.1-88.3)	-
Neutrophils (10³ cells/μL)	3.14 (2.28-4.19)	3.94 (3.21-4.45)	3.61 (2.98-4.77)	3.16 (2.49-3.53)	3.73 (2.86-4.90)	3.68 (2.25-4.34)
Lymphocytes (10³ cells/μL)	1.89 (1.37-2.12)	1.98 (1.74-2.21)	2.07 (1.64-2.50)	1.87 (1.49-2.16)	2.20 (1.95-2.71)	2.04 (1.48-2.45)

Continued on next page

Supplementary Table 1 (Continued)

Monocytes (10³ cells/μL)	0.33 (0.29-0.42)	0.41 (0.31-0.46)	0.37 (0.32-0.50)	0.32 (0.29-0.43)	0.40 (0.34-0.50)	0.42 (0.33-0.62)
Eosinophils (10³ cells/μL)	0.36 (0.22-0.55)*	0.24 (0.16-0.34)	0.31 (0.18-0.46)	0.25 (0.13-0.37)	0.22 (0.13-0.38)	0.20 (0.09-0.30)
Basophils (10³ cells/μL)	0.04 (0.03-0.05)	0.04 (0.03-0.05)	0.03 (0.02-0.05)	0.03 (0.02-0.04)	0.03 (0.02-0.06)	0.03 (0.02-0.05)
ESR (1h; mm)	10.0 (6.5-17.5)	5.5 (2.0-17.2)	15.0 (9.7-25.5)*#	12.0 (7.2-20.5)	4.5 (2.0-14.8)	10.0 (7.0-11.0)
IgE (IU/mL)	122.0 (62.5-316.0)*\$	174 (79-241)*\$	38 (10-96)	17 (5-32)	76 (24-283)	11 (3-45)
IgG (mg/dL)	1100 (951-1260)	1075 (952-1195)	1045 (951-1313)	1030 (794-1140)	1005 (943-1288)	980 (842-1090)
IgG1 (mg/dL)	630 (548-807)	681 (594-732)	658 (495-761)	550 (447-605)#	673 (546-775)	-
IgG2 (mg/dL)	374 (285-448)	365 (276-469)	293 (213-459)	338 (246-426)	337 (268-405)	-
IgG3 (mg/dL)	32 (22-45)	32 (21-48)	42 (22-55)	33 (28-57)	39 (29-59)	-
IgG4 (mg/dL)	50 (27-87)	47 (23-96)	65 (39-125)	28 (10-60)	23 (14-51)	-
IgA (mg/dL)	223 (159-270)	226 (176-295)	204 (147-262)	209 (162-251)	166 (100-262)	250 (121-333)
IgM (mg/dL)	113 (76-166)	97 (64-153)	115 (93-172)	101 (70-133)	109 (67-171)	88 (71-118)

HC, healthy controls; IMAA, intermittent-mild allergic asthmatics; IMNAA, intermittent-mild non-allergic asthmatics; MSAA, moderate-severe allergic asthmatics; MSNAA, moderate-severe non-allergic asthmatics; R, rhinitis patients.

Data are presented as median value (IQR1-3), unless otherwise expressed.

Statistical significance is shown: *Disease vs HC; #Asthma vs R; \$AA vs NAA; &Moderate-severe vs Intermittent-mild asthma. Kruskal-Wallis test followed by Dunn's multiple comparison test. $p < 0.05$

Supplementary Table 2. Characteristics of the subjects from pool B.

	MSAA	IMAA	MSNAA	IMNAA	R	HC
N	24	27	21	23	21	16
Age (mean (range))	39 (18-68)	36 (21-66)	54 (28-67)	51 (34-72)	39 (24-55)	41 (27-61)
Sex (M/F)	12/12	10/17	7/14	6/17	8/13	9/7
Disease control:						
Yes	12	27	17	23	21	-
No	12	0	4	0	0	-
Baseline treatment:						
ICS-LABA	23	17	21	18	18	-
ICS	1	8	0	3	0	-
OCS	1	0	0	0	0	-
Antileukotrienes	11	10	10	4	7	-
Anticholinergic	8	0	12	0	0	-
Roflumilast	0	0	1	0	0	-
Prednisone	0	0	3	0	0	-
FEV1 (%)	89.5 (65.7-99.2) [#]	100.0 (92.0-108.0)	77.0 (66.0-96.0) ^{#&}	105.0 (97.0-113.0)	102.5 (96.2-115.0)	-
FEV1/FVC (%)	69.8 (55.9-78.6) [#]	78.9 (72.3-80.7)	67.2 (61.0-76.9) [#]	77.0 (72.5-80.1)	83.2 (78.1-86.1)	-
Neutrophils (10³ cells/μL)	3.90 (2.93-4.61)	3.55 (3.14-4.06)	3.56 (3.31-4.31)	3.78 (3.19-4.53)	3.54 (2.97-3.86)	3.02 (2.40-3.69)
Lymphocytes (10³ cells/μL)	1.94 (1.81-2.81)	2.02 (1.59-2.29)	1.83 (1.51-2.67)	1.96 (1.67-2.28)	2.13 (1.65-2.48)	1.95 (1.53-2.57)
Monocytes (10³ cells/μL)	0.31 (0.30-0.47)	0.31 (0.40-0.50)	0.43 (0.32-0.52)	0.37 (0.30-0.51)	0.34 (0.28-0.46)	0.39 (0.32-0.47)

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Supplementary Table 2 (Continued)

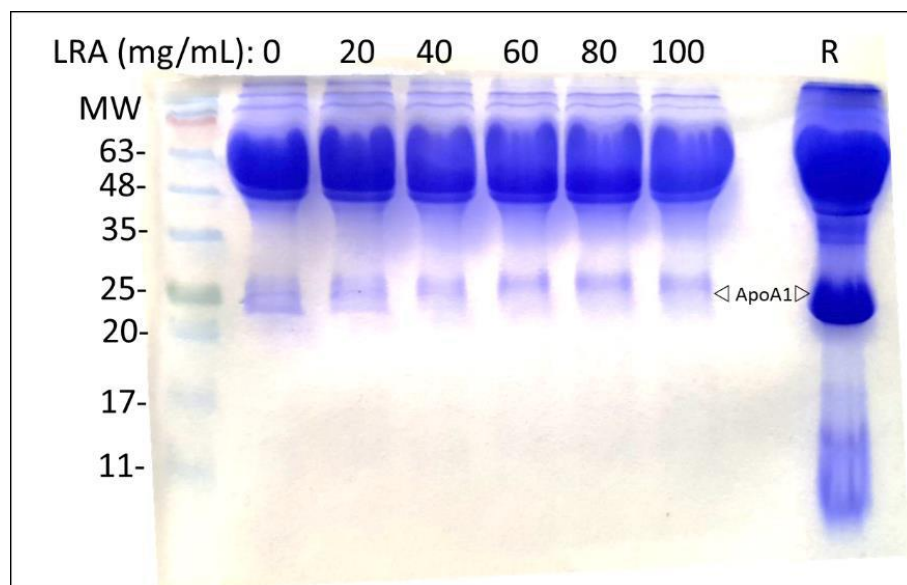
Eosinophils (10³ cells/μL)	0.35 (0.22-0.50)*	0.29 (0.20-0.45)*	0.28 (0.15-0.42)*	0.24 (0.17-0.35)	0.22 (0.14-0.28)	0.12 (0.10-0.22)
Basophils (10³ cells/μL)	0.04 (0.03-0.06)	0.04 (0.03-0.05)	0.04 (0.02-0.06)	0.03 (0.02-0.05)	0.04 (0.02-0.05)	0.04 (0.03-0.05)
ESR (1h; mm)	7.0 (4.0-13.7)	7.0 (4.0-12.0)	9.0 (4.0-20.0)	11.0 (8.0-20.0)*	11.0 (2.5-15.5)	3.0 (2.0-8.5)
IgE (IU/mL)	209.0 (72.5-523.3)*\$	122 (50-304)\$	44 (16-104)	25 (11-43)	59 (27-99)	15 (12-144)
IgG (mg/dL)	1030 (898-1100)	1100 (923-1250)	1065 (915-1203)	1010 (880-1175)	1045 (879-1180)	1080 (904-1290)
IgG1 (mg/dL)	644 (555-725)	651 (538-768)	607 (474-733)	549 (455-654)	603 (521-692)	-
IgG2 (mg/dL)	333 (257-383)	331 (279-409)	345 (318-436)	347 (273-393)	312 (258-426)	-
IgG3 (mg/dL)	30 (20-43)	39 (31-56)	36 (27-64)	36 (32-62)	31 (26-38)	-
IgG4 (mg/dL)	52 (38-86)	47 (23-68)	37 (21-64)	32 (17-67)	41 (29-54)	-
IgA (mg/dL)	200 (170-279)	254 (172-315)	238 (170-296)	197 (147-270)	214 (135-275)	332 (148-375)
IgM (mg/dL)	93 (65-143)	106 (85-133)	111 (72-149)	123 (79-154)	112 (78-160)	102 (86-103)

HC, healthy controls; IMAA, intermittent-mild allergic asthmatics; IMNAA, intermittent-mild non-allergic asthmatics; MSAA, moderate-severe allergic asthmatics; MSNAA, moderate-severe non-allergic asthmatics; R, rhinitis patients.

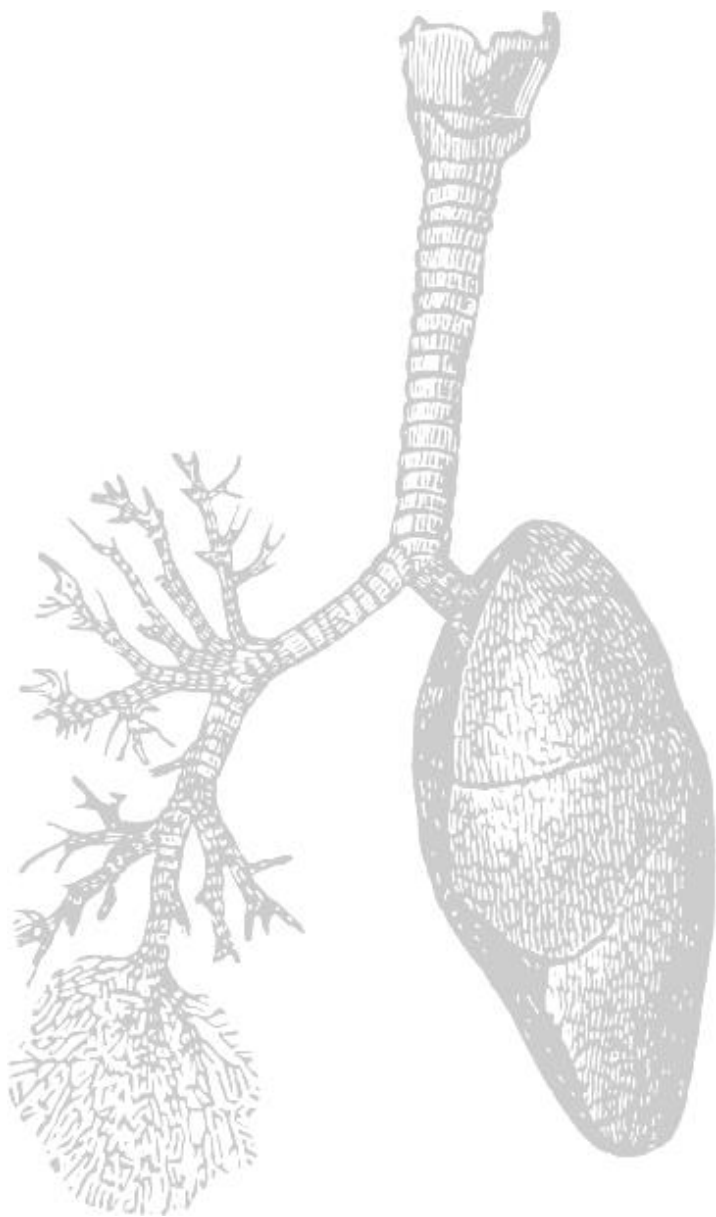
Data are presented as median value (IQR1-3), unless otherwise expressed.

Statistical significance is shown: *Disease vs HC; #Asthma vs R; \$AA vs NAA; &Moderate-severe vs Intermittent-mild asthma. Kruskal-Wallis test followed by Dunn's multiple comparison test. $p < 0.05$

SUPPLEMENTARY FIGURES



Supplementary Figure 1. Treatment with LRA resin reduces serum lipoprotein levels. A volume of 300 μ L of serum was treated with different concentrations of LRA resin (0-100 mg / mL) overnight at 4 ° C in rotation. An SDS-PAGE (15% T separating gel) is shown in which 30 μ g of protein belonging to the fraction not retained by the resin (channels 2-7) has been loaded. Channel 1 corresponds to molecular weight markers, and channel 9 to the retained fraction (R).



GENERAL DISCUSSION



The overall aim of the present thesis was a search for potential biomarkers of different asthma phenotypes and rhinitis. Due to the key role of the immune system in asthma, we decided to deepen the study of certain molecules previously related to asthma pathogenesis, but with many open questions. Furthermore, these molecules were selected as representatives of the innate (CD14; Chapter I) and the acquired (CD26 and CD126; Chapter II and III) arms of the immune system. Finally, there was a lack in the literature of comparative serum proteomic studies including, at the same time, allergic and non-allergic asthmatics, as well as rhinitis patients. This significant absence of information prompted us to approach this problem through a non-target biomarker discovery strategy based on affinity chromatography (CPLs) and iTRAQ LC-MS/MS (Chapter IV).

1. INNATE IMMUNE SYSTEM BIOMARKERS OF ALLERGIC ASTHMA (AA) (Chapter I)

The specific aim of this work was to simultaneously study, in a well-defined population of allergic asthmatics, both the levels of mCD14, a monocyte marker associated to asthma [87], and its soluble counterpart, sCD14. In addition, since the existing studies have yielded conflicting results, we wanted to study the association with AA of one SNP polymorphism (rs2569190; -159 C/T) in the *CD14* gene, which is known by regulating both the activity of *CD14* promotor and the sCD14 levels [36].

Monocytes have been described as important modulators of inflammation and key players in asthma pathogenesis [396-398]. Indeed, an expansion of this leukocyte subset in severe allergic asthmatics was found in the present study, and this result has not been

reported so often in previous works. In contrast, the expression of mCD14 on the surface of these circulating monocytes was found decreased in allergic asthmatics compared to healthy donors. Monocytes/macrophages may modulate T_N cells differentiation towards a TH2-phenotype through a transduction pathway that starts with the binding of LPS to CD14, the co-receptor of TLR4. CD14 transfers LPS to the MD2/TLR4 complex, triggering the production of IL12/IL18 by monocytes/macrophages and thus promoting a TH1-differentiation [113, 399]. Therefore, attenuated mCD14 levels on APCs could favour TH2-driven AA [36, 37, 103, 113]. Thus, the reduction of mCD14 observed in our group of AA donors makes biological sense, as a receptor implicated in a pathway leading to TH1-differentiation should be reduced in allergic asthmatics, a disease mainly driven by TH2 cells.

The diminished number of mCD14 molecules on monocytes could be the result of: **a)** an altered transcription/translation rate (or any other process) affecting the abundance of this protein; **b)** the release of CD14 molecules into the bloodstream from monocytes, leading to higher sCD14 levels; or **c)** the preferential expansion of CD14^{low} monocyte subsets. The first option is dismissed because the alteration of the transcription/translation rate of CD14 should induce an important and widespread down-modulation of mCD14 on monocytes, and not the small changes in the percentage of CD14⁺ monocytes that we have observed in asthmatics. As well, the second option is disregarded because serum sCD14 levels are not augmented in our cohort of patients, quite the contrary. Therefore, despite we have not measured CD16, our results are suggesting the preferential expansion of a small CD14^{low} monocyte subset, which fits with the CD14^{low}CD16⁺ subset of “non-classical” monocytes [396]. There are three major monocyte subtypes, the classical monocytes (CD14⁺⁺CD16⁻), which represent more than 90% of circulating

monocytes, non-classical monocytes ($\text{CD14}^{\text{low}}\text{CD16}^+$), and an intermediate subset ($\text{CD14}^+\text{CD16}^+$) that has been considered as a transitional stage between the classical and non-classical subpopulations [75]. The last population of monocytes has been found expanded in inflammation, severe asthma, and upon allergen challenge [396, 398]. Together with intermediate monocytes ($\text{CD14}^+\text{CD16}^+$), non-classical monocytes express high levels of CD80, CD86, and CD163, suggesting a high antigen presenting capability [77]. These non-classical monocytes are characterised by an advanced differentiation stage and evidence a high invading ability to infiltrate and differentiate into M2-type macrophages [400]. This last subset has been related to allergic inflammation [80]. Furthermore, circulating monocytes recruited into the lung to be transformed into inflammatory macrophages, but not the tissue-resident counterparts, promote allergic inflammation in murine models of asthma [401].

Simultaneous measurement of sCD14 was also undertaken in this first study. This soluble isoform of CD14 probably appears in serum samples due to different mechanisms, including enzymatic-shedding [38, 95, 402] and/or vesicle-release (for example, CD14^+ exosomes or ectosomes; http://exocarta.org/gene_summary?gene_id=12475) from monocytes. Indeed, two sCD14 isoforms of 49-51 (α) and 55-57 (β) kDa can be detected in human plasma [403]. Although absolute sCD14 levels remained unchanged, we found in this first paper a decrease in the relative amount of sCD14 (sCD14/number of monocytes) in serum samples from allergic asthmatics. This is in line with the inverse correlation between IL-4, total IgE, or asthma severity with sCD14 [107]. As well, with the selective expansion of a small $\text{CD14}^{\text{low}}(\text{CD16}^+)$ “non-classical” monocyte subset in AA that could leave its imprint in serum proteome. However, other studies have

found no differences [95, 101] or even higher levels [102] of sCD14 in asthmatics.

The above-mentioned differences regarding sCD14 levels could also be explained by the presence of potential confounding factors, such as gene-gene or gene-environment interactions [101, 106]. For example, the decreased levels of sCD14 in allergic asthmatic subjects may arise from changes in the allelic and genotypic frequencies of the *CD14* (-159 C/T) SNP (rs2569190) in the asthmatic subset. The -159 C/T SNP of the promoter of *CD14* is one of the most studied polymorphisms in asthma, yielding variable results regarding the strength and the direction of the association [87, 112, 115]. These different results can be explained by several factors, such as low sample size, age, ethnicity, or level of endotoxin exposure during childhood (gene-environment interaction) [41, 116]. Therefore, we performed our study in a well-defined population of Caucasian adults with AA, mostly non-farmers, and with a relatively high sample size (N = 554 subjects; 277 AA patients and 277 healthy subjects). In agreement with others [106, 112], our results evidence a drop in the frequency of the T allele and the TT genotype in allergic asthmatic patients, especially as the severity was higher (moderate-severe allergic asthmatics). Moreover, T allele is associated with a decreased risk of AA, and the same was shown for the TT genotype following different genetic models (TT vs. CC, or the dominant model / TT vs. TC+CC). Additionally, sCD14 levels were increased in carriers of the T allele or the TT genotype compared to C carriers, as other works previously showed [36, 102, 106, 109, 110]. This is in line with the higher transcriptional activity of the *CD14* promoter containing the T allele [36]. Therefore, our results suggest an adverse role for the C allele, the CC genotype, and the presence of low levels of sCD14/mCD14 in AA [102, 107] especially among adult subjects exposed to low levels of environmental endotoxin [113].

Finally, a small part of this work was devoted to the study of neuron-specific enolase (NSE) in serum samples from AA patients. This analysis is justified because NSE levels have been shown to increase upon activation of eosinophils and macrophages under some pathological conditions [404, 405]. NSE is the neuronal isomer of the glycolytic enzyme 2-phospho-D-glycerate hydrolase and a biomarker for diagnosis of small cell lung cancer [406]. Nevertheless, some groups have also detected changes in circulating NSE levels associated with non-malignant inflammatory lung diseases, such as tuberculosis or silicosis [405, 407-410]. These changes are explained because this enzyme can translocate towards the cell surface to enhance a pro-inflammatory response upon proper stimulatory signals [411]. However, this molecule has not been extensively studied in asthma [407]. As our study points out, NSE levels in serum are positively correlated with total IgE and could be a useful biomarker for AA, with AUC (area under the curve) values in ROC (Receiver Operating Characteristic) curve similar to those found for IgE and eosinophils. Monocytes/macrophages, as well as eosinophils, appear to be a possible source of NSE [404, 405, 411]. Indeed, we found a positive correlation between NSE levels and the absolute count of these two leukocyte subsets. In addition, the neuronal distress or hypoxia taking place in the lung could be also responsible for the changes in NSE levels with asthma [407].

2. CD26, ADAPTIVE IMMUNE CELLS, AND ASTHMA PHENOTYPES (Chapters II and III)

In the same way that innate immunity, where there are monocyte subsets with opposing functions and variable levels of a lineage-specific marker (CD14) with a role in asthma, there is certain parallelism with another marker in the adaptive system: CD26. Thus,

like mCD14, this glycoprotein presents a membrane and a soluble (sCD26) isoform. Moreover, even though CD26 does not anchor to the plasma membrane through a glycoposphatidylinositol linkage as CD14 does, this molecule presents an extremely short cytoplasmic region of 6 residues that requires the participation of other molecules (e.g., CARMA1) to downstream signal transduction [252]. Likewise, CD26 expression is rather confined to CD4⁺ T lymphocytes in a manner comparable to CD14 and monocytes. Finally, CD26/DPP4 belongs to a subfamily of serine proteases (the S9B) with several members showing an association with asthma: CD26/DPP4 itself and DPP10. Based on all these points, Chapters II and III were aimed to study the expression pattern of CD26 in different lymphocyte subpopulations and their implications in both AA and NAA, as well as disease severity. Because of the complexity of this issue, we carried out a comprehensive review (see appendix I) aimed to provide a structured overview of the numerous functions of CD26 and its implications in asthma pathogenesis and progression. Up until that time, there was only one review paper specifically covering the relationship between CD26, T cells, and asthma [412].

CD26 is a prolyl oligopeptidase that belongs to the serine protease family (S9B) [243]. CD26 is widely distributed over several cell types and tissues. However, expression of CD26 is especially associated with immune cells, such as granulocytes, monocytes, B cells, and T lymphocytes (particularly CD4⁺ T cells) [251]. Within CD4⁺ T lymphocytes, its expression is higher in Teff cells compared to Treg cells [258]. In addition, Bengsch *et al.* used flow cytometry data and FACS sorting to shown that the expression of CD26 amongst Teff cells is quite variable, according to the following order: TH17>> TH1> TH2 [259, 413, 414]. These results have been confirmed *in vitro* in Chapter II, where CD4⁺CD25⁻ Teff cells were activated and differentiated towards a TH1-like (IL-12), TH2-like (IL-4), and TH17-

like (IL-1 β , IL-6, and IL-23) phenotypes. Our results show the same differential expression for CD26 in Teff cells (TH17>> TH1> TH2) that Bengsch *et al.* described previously.

As above commented, CD26 can also be found in the extracellular space (e.g., serum/plasma, BALF, CSF) as sCD26 [286]. This soluble version of CD26 is released by CD26⁺ cells through enzymatic-shedding [291] or production of CD26⁺ vesicles (<http://www.exocarta.com>). It has been shown that visceral fat release sCD26 as an adipokine [415], but CD4⁺ T cells remain as the most likely source [286, 293, 294]. Indeed, our *in vitro* assays (Chapter II) reveal a strong positive correlation between the expression of CD26 (mean fluorescent intensity/MFI) on different TH lymphocyte subsets (TH17>>TH1>TH2>Treg) and the soluble DPP4 activity (a *bona fide* indicator of sCD26 levels) in culture supernatants. Therefore, if this was happening *in vitro*, it was expected that serum sCD26 levels were influenced by the number of CD4⁺ T cells or mirror the predominant subpopulation of CD4⁺ T cells (e.g., TH1, TH2, TH17) in the different asthma phenotypes/endotypes. In other words, we were expecting a coordinated and variable elevation of both CD26 and sCD26 in asthma, higher or lower depending on the disease phenotype and the prevailing TH subset.

With this in mind, we undertook a systematic analysis of the expression of CD26 on TH lymphocytes from AA, NAA, rhinitis, and healthy individuals, as well as an evaluation of sCD26 levels in serum samples (Chapters II and III). Firstly, as Lun and coworkers [246] previously showed in AA (and as expected from an activation marker) [252, 416, 417], there was an increase in the expression of CD26 on CD4⁺ lymphocytes from AA and NAA patients (Chapters II and III). However, inconsistently with the higher sCD26 levels detected by Lun *et al.* in AA [246], in the first study (Chapter II) it was shown a diminished concentration of sCD26 in serum samples from two

cohorts of AA patients compared to healthy subjects. Moreover, the same happened for sCD25 (Chapter II), another soluble isoform of an activation marker: CD25/IL-2R α [220-224]. A similar down-modulation of sCD26 was found in NAA patients (Chapter III) and eosinophilic pneumonia [418], whereas other authors did not detect any difference in sCD26 levels in children with asthma [303]. The different results reported by Lun and coworkers [246] regarding sCD26 levels in AA as compared with our findings in Chapter II may have several explanations. On the one hand, the different male/female proportions between AA patients and controls in the paper of Samantha Lun, as sCD26 concentration is higher in males. On the other hand, the more active disease status of patients in these studies compared to our cohort of AA patients, which were in a stable phase.

Our *in vitro* experiments (Chapter II) support that CD4⁺CD25⁻ Teff cells primarily secrete CD26 upon TCR triggering. In the same line, Teff cells up-regulate and release CD25 after being activated [419]. Therefore, the small reduction detected for both soluble markers (sCD26 and sCD25) in serum samples from AA patients may be indicative of the expansion of a CD25^{-/low}CD26^{-/low} TH subpopulation in this disease. Indeed, in Chapter II we describe, for AA patients, a significant increase in the percentage of a small population of CD4⁺CD25^{-/low}CD26^{-/low}CD127^{-/low} TH cells; we have called this subset “triple low” or Tlow cells. These results have been confirmed in Chapter III, showing that CD26^{-/low} TH cells belong to a group of CD4⁺ T lymphocytes that have lost the expression of several markers: CD27, CD28, CCR7, and CD127. This fact highlights the advanced differentiation stage (T_{EM} or T_{EMRA}) of this subset. Thus, in line with other works [262], the number of CD26 molecules on TH cells allows describing lymphocytes with a T_N (CD26^{int}; CD45RA⁺CCR7⁺CD28⁺), a T_{CM} (CD26^{high}; CD45RA⁻CCR7⁺CD28⁺), or a highly differentiated phenotype (CD26^{-/low}; CD45RA^{+/-}CCR7⁻

CD28⁻): i.e., T_{EM} or T_{EMRA}. Perhaps some of these cells stop recirculating between blood/lymphatic system and non-lymphoid tissues and enter the lung tissues to become tissue-resident memory T cells [420, 421].

Research in asthma is mostly focused on CD4⁺ T cells and the AA phenotype. However, CD4⁻ lymphocytes (i.e., B cells, CD8⁺ T cells, NKT, $\gamma\delta$ -T lymphocytes, and NK cells) could have an active role in asthma pathogenesis and be relevant for asthma immune-phenotyping. Along with this line of reasoning, the work shown in Chapter III has focused on the possibility that CD4⁻ subpopulations may be altered amongst patients with rhinitis or different asthma phenotypes (AA and NAA) or severities. In this way, that study draws a parallel between CD4⁺ T cells in AA and CD4⁻ lymphocytes in NAA, as it was also found an expansion of CD26^{-/low} subpopulations in CD4⁻ lymphocytes from NAA patients that explains why serum sCD26 levels are also reduced in this phenotype. Moreover, in Chapter III it was also shown that this expansion in NAA (compared to AA) can be mostly ascribed to CD26⁻CD4⁻ $\gamma\delta$ -T lymphocytes.

Most of circulating $\gamma\delta$ -T cells are V δ 2/V γ 9⁺ cells [119], which display a similar distribution of naïve-memory populations as CD4⁺ $\alpha\beta$ T cells: T_N (CD45RA⁺CD27⁺), T_{CM} (CD45RA⁻CD27⁺), T_{EM} (CD45RA⁻CD27⁻), and T_{EMRA} (CD45RA⁺CD27⁻) [122, 135]. Therefore, these findings are compatible with the description in Chapter III of CD26⁻ (T_{EM}/T_{EMRA}), CD26^{int} (T_N), and CD26^{high} (T_{CM}) $\gamma\delta$ -T subsets. Furthermore, $\gamma\delta$ -T cells are the major producers of early IL-17 [134]. Therefore, the increase of a population of CD26⁻ $\gamma\delta$ -T lymphocytes with a T_{EM}/T_{EMRA} phenotype in NAA (Chapter III) with preferential production of TH17 or TH1 cytokines [422] might explain the inverse relationship between $\gamma\delta$ -T cells and B cells (Chapter III) and the enhanced airway inflammation in NAA [309]. The number of peripheral blood $\gamma\delta$ -T cells was previously found decreased in AA

[119, 133, 423], whereas no changes or even an increase was found in BALF or bronchial biopsies from AA patients [142, 143]. We did not measure the number of $\gamma\delta$ -T cells in healthy subjects, but we found in AA a slightly lower percentage (2.9%) than the one previously described in the literature for healthy subjects (4.1%) [119]. In any case, more studies are needed to validate these findings because, to our knowledge, this is the first work addressing the levels of CD26 in $\gamma\delta$ -T cells in patients with NAA.

To summarize, data from the studies shown in Chapter II and III provide evidence that both asthma phenotypes share common immunopathological mechanisms, with expansion of CD26^{low} subsets in AA (CD4⁺ Tlow) and NAA (CD4⁺ T cells; $\gamma\delta$ -T lymphocytes) and down-modulation of additional surface molecules (CD27, CD28, IL-7R α /CD127, CCR7) to produce differentiated effector subsets and extracellular sCD26 reduction. Decline in sCD26 and CD26 expression in different lymphocyte populations must be considered in the light of different findings such as the reduction of caveolin-1 (a CD26 ligand) in monocytes and bronchial epithelial cells from asthmatics [424], or the role of CD26 controlling the bioavailability of soluble factors such as cytokines (e.g., IL-3, GM-CSF) [425] and chemokines (e.g., eotaxin 1/CCL11, RANTES/CCL5, MDC/CCL22) [274, 286]. Thus, the cleavage by CD26/DPP4 of chemokines that are potent chemoattractant for eosinophils and TH cells such as RANTES [282] and eotaxin [275, 277, 278] plays a key role in asthma pathogenesis. For example, the treatment with CD26 inhibitors or the use of CD26^{-/-} animals results in higher levels of eosinophils, higher infiltration of these cells into the airways, and higher disease severity [275, 281]. In the same way, CD26 mediated cleavage of SDF-1 α /CXCL12 [426] or IFN- γ induced chemokines (CXCL9-11) [279, 427, 428] reduce the chemotaxis of TH1 cells towards the epithelial barrier. Therefore, CD26 forms part of a homeostatic mechanism

aimed to the down-modulation of airways inflammation. Thus, the immunomodulatory potential of CD26/DPP4 should be considered in light of the clinical usage of CD26 inhibitors (gliptins) (see appendix I).

Another area of attention in Chapter III was the CD126/IL-6R α molecule. Together with gp130, CD126 composes the receptor of IL-6, a molecule with a key role in the differentiation of T_N lymphocytes towards a TH17 (i.e., CD26^{high}) phenotype [176]. IL-6 signalling is also essential for the generation of functionally active memory CD4⁺ T cells [429], while CD126 expression on CD8⁺ T cells defines diverse naïve-memory differentiation stages in these cells [152], in the same way that CD26 [261]. Indeed, we report a highly positive correlation between the levels of these two markers on circulating lymphocytes, but no differences in CD126 expression between the different groups of patients (healthy controls, rhinitis, AA, NAA). As well, this paper describes that CD4⁺ lymphocytes can be segregated in different subpopulations based on the expression of CD126. Therefore, this molecule could be useful for naïve-memory characterization as well. Moreover, CD126⁺CD4⁺ lymphocytes (augmented in NAA vs. AA) downmodulate the levels of CCR7, CD28, and CD27, but retain a high abundance of CD45RA molecules, highlighting their advanced differentiation stage (T_{EMRA}-like).

As CD26, CD126 can be released from the membrane of CD126⁺ cells as sIL-6R α . This soluble receptor retains the capacity to bind IL-6, leading to the activation of CD126⁺ gp130⁺ cells. This process is known as *trans*-signalling [179, 180], and might be important for asthma through the maintenance of TH17 cells or the inhibition of T cell apoptosis [430]. For example, it has been described that CD126 is down-modulated upon inflammation on CD4⁺ T cells, but these cells retain the IL-6 response capacity through *trans*-signalling events [431]. Indeed, the release of sIL6R α has been

described in asthma, and sIL-6R α levels have been directly associated with IgE levels. Furthermore, sIL-6R α in serum is negatively correlated with lung function [432]. Therefore, the down-modulation of the number of CD126 molecules on monocytes, neutrophils, and CD4⁺ cells from moderate-severe patients reported in Chapter III highlights the potential role of IL-6 *trans*-signalling in asthma severity.

The effector function of human TH lymphocytes is counteracted by the immunosuppressive branch of this subset, called Treg cells [214]. Therefore, a defect in their biological function or a reduction in their number could result in higher inflammation levels in asthma. Although some authors have described a reduction of the frequency of Treg cells in asthmatics, in Chapter II and III we fail to detect such a numerical alteration. Nevertheless, women did show an increased Teff/Treg ratio compared to men (Chapter II), and this could be behind the greater susceptibility of this gender to adult asthma.

Our results also suggest an impaired function of Treg cells in asthma (Chapter III). Thus, it appears that Treg lymphocytes from asthmatic patients display higher levels of CD26 than those from healthy subjects. Moreover, the percentage of CD26⁺ Treg cells was higher in moderate-severe patients compared to intermittent-mild non-allergic asthmatics. Why are CD26 levels so important for Treg function? CD26 is a glycoprotein that anchors ADA to the cell-surface, and ADA is an ecto-enzyme involved in the catabolism of adenosine [264]. Moreover, CD39 is another ecto-enzyme expressed by CD26⁻ Treg lymphocytes involved in adenosine production [258, 433]. Therefore, a CD26^{high} phenotype in Tregs from asthmatics could cause higher asthma severity through a decreased local concentration of adenosine, a purine nucleoside with immunomodulatory functions [434]. On the other hand, the percentage of CD127⁺ Treg cells was increased in our asthmatic cohort compared to healthy and rhinitis, a

parameter negatively correlated with the suppressive capacity of these cells according to others [435]. Therefore, future studies including the assessment of Treg function in NAA and AA will be necessary.

3. SEARCHING FOR BIOMARKERS IN SERUM SAMPLES FROM PATIENTS WITH RHINITIS OR DIFFERENT ASTHMA PHENOTYPES/SEVERITIES (Chapter IV)

Because of the absence of studies of this kind, the last chapter of the present thesis is devoted to the search of serum biomarkers associated to asthma phenotypes (AA vs. NAA) or severities (intermittent-mild and moderate-severe) by means of modern proteomic techniques. The first serum/plasma proteomic studies were only able to “scratch” the first layer of the proteome (i.e., the high-abundance proteins) as a result of the high dynamic range of protein concentrations in serum. Since then, several techniques have been developed to deal with this problem, such as ultrafiltration, targeted protein-depletion, or low abundant protein enrichment. At the same time, analytical methods have evolved from classical procedures with a low sensitivity, precision, and throughput (e.g. 2-DE), to more modern and high-performance technologies (e.g., LC-MS/MS). However, despite these refinements, most of the current proteomic approaches are not yet capable to reach with ease the medium-low abundant proteome (the so-called “deep proteome”). Therefore, the first aim of the work accomplished in Chapter IV was to develop a protocol for the quantitative study of the medium-low abundance proteome of serum samples, which combines lipoproteins-depletion, CPLL-based enrichment of low abundant proteins (ProteoMiner[®]), and iTRAQ-LC-MS/MS analysis. The second aim was to apply this protocol to different serum samples from rhinitis, allergic asthmatics, non-allergic asthmatics, and healthy subjects, in order to discover novel biomarkers capable of predicting different asthma phenotypes or severities.

In the last years, a high number of proteomic studies have been carried out in asthma (summarized in Table 1 from introduction). In all these pieces of work, different types of samples (e.g., BALF, EBC, NLF, BB, NB, IS, serum/plasma) were used, and several types of biomarkers pursued (e.g., diagnosis, exacerbation, treatment response) [337, 436-438]. However, none of them was aimed to analyse the serum proteome of different asthma phenotypes (AA vs. NAA) or severities, as above commented. Additionally, most of the studies in serum samples used 2-DE, and just a handful was carried out by LC-MS/MS [391]. Moreover, one single proteomic study used iTRAQ-LC-MS/MS to evaluate bronchial brushing samples from asthmatics [379]. Therefore, the first part of Chapter IV addresses the development of a new quantitative proteomic approach based on lipoproteins-depletion, low abundant protein enrichment (ProteoMiner), iTRAQ peptides labelling, and subsequent LC-MS/MS and iTRAQ quantification, with applications in a diverse set of pathologies.

On the other hand, the second part of the work accomplished in Chapter IV undertakes the identification of the serum proteome signature in different groups of donors: moderate-severe AA, intermittent-mild AA, rhinitis, moderate-severe NAA, intermittent-mild NAA, and healthy controls (HC; reference group). Due to a large number of serum specimens and the ability of iTRAQ reagents to label up to 8 biological samples, each group of patients was split into two subgroups to generate two biological replicates. In turn, these biological samples were analysed in triplicate (technical replicates), together with a pooled serum sample formed by all the samples (internal pool; 121 reporter ion), which was used for normalization. After LC-MS/MS analysis, a set of 217 proteins was identified with high confidence, of which 26 presented changes between the groups of study. Principal Component Analysis of the differentially abundant

proteins revealed the presence of 5 principal components (PCs) explaining 81.6% of the variation. PC1 is made up of proteins related to allergy (AA and rhinitis), most of them implicated in metabolic (e.g., protein AMBP/alpha-1-microglobulin/bikunin precursor; HSPG2/Heparan sulphate proteoglycan 2/Perlecan; IGFALS/Insulin-like growth factor binding protein, acid labile subunit; or AHSB/alpha-2-HS-glycoprotein) or immune system processes (e.g., HSPG2, AHSB, ORM1/AGP1/Alpha-1-acid glycoprotein 1, or CD5L). Moreover, PC1 has an enrichment of the Reactome pathway “Regulation of Insulin-like Growth Factor (IGF) transport and uptake by Insulin-like Growth Factor Binding Proteins (IGFBPs)” to which calumenin, IGFALS, AHSB, and prothrombin belong. Amongst proteins in PC1, IGFALS, protein AMBP, and HSPG2 were chosen for further confirmation by Enzyme-Linked ImmunoSorbent Assays (ELISAs). So far, only IGFALS ELISA was performed with identical results.

IGFALS is a protein produced by hepatocytes, but also expressed in other tissues, including thymus and lungs [439]. This protein can be found in plasma or as a free molecule or forming a complex with IGF1-IGFBP (3 or 5 proteins) [440]. IGFALS has an important role on the bioavailability of IGFs [441], preventing the extravasation, proteolysis or renal elimination of IGF-1 [442]. For this reason, IGFALS deficiency results in a dramatic decrease of IGF-1 levels, but also affects the IGF-2 and IGFBP3 proteins [442, 443]. IGF1 is important for asthma pathogenesis through the promotion of inflammation, sub-epithelial fibrosis, hyperresponsiveness, and smooth muscle cell hyperplasia in the lungs [441]. Apart from the previously reported elevation of IGF1 [441] and IGFBP3 [441] in AA, the work presented in Chapter IV shows that IGFALS is also augmented in this kind of patients, especially those displaying a high disease severity. IGFBP3 controls AA inflammation through

mechanisms involving the HIF/VEGF axis, TGF- β 1 and TH2 cytokines production, and NF- κ B activation [441], but a deleterious role of this protein in AA by promoting sub-epithelial fibrosis has also been reported [444]. Finally, although the role of IGFALS in asthma remains unknown, this protein could be an additional therapeutic target for asthma management together with IGFs and IGFBPs.

Protein AMBP has not been extensively studied in asthma, but a reduction of serum levels has been described in idiopathic pulmonary fibrosis compared to HC [445]. However, a strong up-regulation of this protein was shown in BALF from patients with lung cancer [446]. Although the possible role of protein AMBP in AA is not known, it shares the same chromosomal region (9q32) with ORM1/AGP1, an acute phase protein whose plasma concentration is increased in this disease. Indeed, protein AMBP and ORM1 levels have been found increased in BALF of asthmatic individuals 24 h after segmental allergen challenge [375]. Thus, it is likely that both genes display a coordinated expression and that protein AMBP participate in the acute inflammatory response.

On the other hand, HSPG2/perlecan is an extracellular matrix molecule whose increase in lamina reticularis could be responsible for sub-epithelial fibrosis in the airway [447, 448]. This molecule is constitutively produced by mature fibrocytes [447, 449, 450], which can contribute to the sub-epithelial fibrosis in asthma [447]. Furthermore, the expression of HSPG2 in asthmatic fibrocytes is increased after stimulation with IL-4 or IL-13, but not with IL-17A [451], highlighting the possible role of this molecule in AA. In addition, HSPG2 has been related to AHR [452] and is an upstream regulator of genes (ACAN, COL10A1, and FGFR3) containing asthma associated differentially methylated regions [453]. Changes in HSPG2 deposition could have mechanistic effects, but also modulate the bioavailability of growth factors such as bFGF [454], a molecule

increased in BALF from atopic asthmatics and after allergen exposure [455]. Taken together, these results could explain the increase of IGFALS, HSPG2, and protein AMBP in AA (Chapter IV), and highlight the potential of these molecules as AA biomarkers.

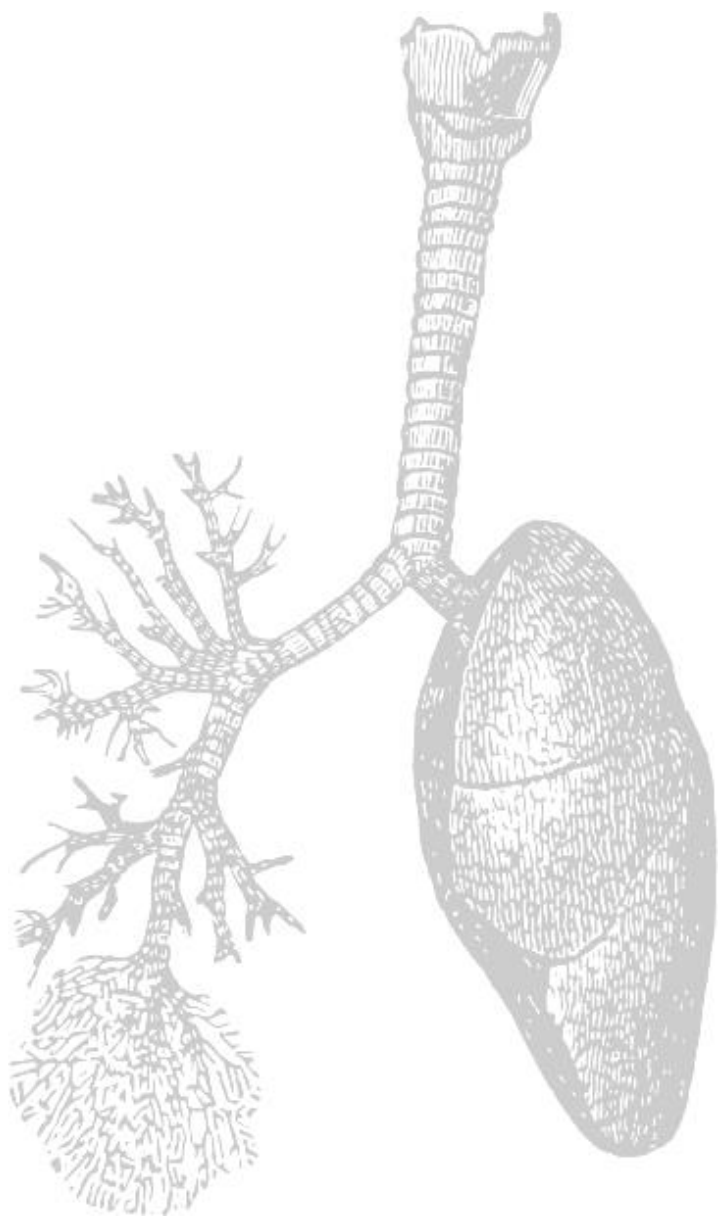
PC2, for its part, comprises a set of proteins related to blood coagulation and complement activation, some of them strongly related to NAA. Indeed, our results in Chapter IV support the upregulation of certain complement factors in NAA. On the one hand, complement factors I (CFI) and H (CFH), which are regulatory proteins that control the excessive activation of the alternative pathway [456, 457]. On the other hand, mannose-associated serine protease 1 (MASP1), which is a lectin pathway molecule. Of these, only CFI was chosen for future validation by ELISA.

CFI prevents the formation of C3-convertase (C4bC2a) through the degradation of C4b, and therefore prevents inflammation due to complement activation [12907438]. In order to carry out this function, CFI needs the participation of CFH as a cofactor [456, 458]. CFH is also known as adrenomedullin binding protein (AMBP-1) [459], a molecule that binds to adrenomedullin in plasma. The last molecule is known to downmodulate TH2 inflammation in an OVA-induced model of asthma [460]. CFH levels were found increased in asthmatic sputum and correlated with asthma severity [461]. Moreover, the increase of CFI and CFH in NAA patients (Chapter IV) could be related to a mechanism to evade the immune response, as it happens with some pathogens [462, 463], which could also explain the higher severity in NAA.

On the other hand, in absence of further validation, MASP1 levels are also increased in NAA. MASP1 participate in the lectin pathway of complement activation, but this protease acts on substrates that belong to the coagulation cascade [464], such as kininogen,

Coagulation factor XIII, or prothrombin, molecules that we also found altered in asthma. More interesting, MASP1 processes the protease activation receptor 4 in endothelial cells to induce the production of IL-6 and IL-8 [464]. The last cytokine is a molecule essential for neutrophils chemotaxis, a leukocyte subset involved in NAA [465] or severe asthma [325, 466]. Besides, complement factor 1 r (C1r) and 1s (C1s), two molecules that belong to the classical pathway of complement activation, were altered in asthmatics. Therefore, our results in Chapter IV point out that all the complement activation pathways (classical, alternative, and lectin) appear to be involved in asthma, especially in the non-allergic phenotype.

To sum up all the results in Chapter IV, a non-targeted proteomic approach for the analysis of medium-low abundance serum proteins has been developed. This protocol included the depletion of lipoproteins (LRA resin), the enrichment of medium/low abundance proteins by means of random peptides libraries (CPLLs), LC-MS/MS analysis, and iTRAQ-based quantification. The use of this approach in serum samples from different asthma phenotypes, rhinitis and healthy subjects allowed us to detect several differentially abundant proteins, which could be useful in the future as non-invasive biomarkers of asthma phenotypes (e.g., IGFALS, Protein AMBP, HSPG2, or CFI) or severities (e.g., IGFALS). However, future studies are needed to get a better understanding of asthma pathophysiology, to evaluate the level of reproducibility and specificity of these new biomarkers, and to translate this knowledge into the clinic in order to get a better therapeutic response and prognosis.



CONCLUSIONS



Chapter I

1. The levels of mCD14 on monocytes and normalised sCD14 in serum are decreased in AA compared to HC, even though both mCD14 and sCD14 show a differential regulation.
2. Part of the reduction of mCD14/sCD14 levels in AA can be explained by the expansion of CD14^{low} cells, probably a “non-classical” CD14⁺CD16⁺⁺ subset of monocytes with a high ability to infiltrate and differentiate into M2-type macrophages.
3. In addition, sCD14 is also regulated by the (-159 C/T) SNP in the CD14 promoter (rs2569190), since both T allele and TT genotype are associated with higher levels of sCD14 (but not mCD14) and with a lower risk of MSAA in adult caucasian populations exposed to low endotoxin levels.
4. NSE levels are augmented in AA and correlated with IgE, eosinophils and monocytes (two possible cell sources of NSE). Therefore, NSE could be used as a biomarker of AA.

Chapters II and III

1. There is a strong positive correlation between the expression of CD26 (MFI) on TH cells differentiated *in vitro* to several effector subsets (TH17>> TH1> TH2) and the activity of soluble DPP4 (comparable to sCD26 levels) in cell culture supernatants.
2. The expression of CD26 on T lymphocytes discriminates cells with a T_{CM} (CD26^{high}; CD45RA⁻CCR7⁺CD28⁺), T_N (CD26^{int}; CD45RA⁺CCR7⁺CD28⁺), or a T_{EM}/T_{EMRA} (CD26^{-/low}; CD45RA^{+/+}CCR7⁻CD28⁻) phenotype.
3. There is an expansion of CD26^{-/low} subpopulations of lymphocytes in asthma. These populations have lost the expression of CD27, CD28, CCR7, and CD127, and therefore

resemble memory/effector cells with an advanced differentiation stage: T_{EM} or T_{EMRA} lymphocytes.

4. The T_{EM}/T_{EMRA} subsets expanded in asthma patients belong to different lymphocyte lineages: CD4⁺ TH cells in AA and CD4⁻ γδ-T lymphocytes in NAA.
5. Serum sCD26 levels are decreased in both AA and NAA patients; therefore, they probably reflect the “fingerprint” in serum proteome of the expansion of CD26^{-/low}CD4⁺ TH in AA and CD26^{-/low}CD4⁻ γδ-T subsets in NAA.
6. The reduction of circulating sCD26 levels as well as CD26 expression on effector TH lymphocytes from asthmatics might be important to improve their migratory and proliferative capabilities. This finding should be considered in light of the current clinical usage of DPP4 inhibitors and anti-CD26 antibodies.
7. There is a strong correlation between the expression of CD26 and CD126/IL-6Rα on Teff lymphocytes, particularly those lacking the CD4 marker. Therefore, the T_{EM}/T_{EMRA} subsets expanded in asthma (especially amongst the NAA patients) are also CD126^{-/low} cells.
8. The down-modulation of CD126/IL-6Rα in moderate-severe patients compared to intermittent-mild asthmatics is a widespread event, taking place on monocytes, neutrophils, and CD4⁺ cells. This highlights the potential role of IL-6 *trans*-signalling in asthma severity.
9. Although the number of Treg cells remains unchanged in asthma, their suppressive capacity could be impaired according to their higher CD26 levels compared to healthy controls.

Chapter IV

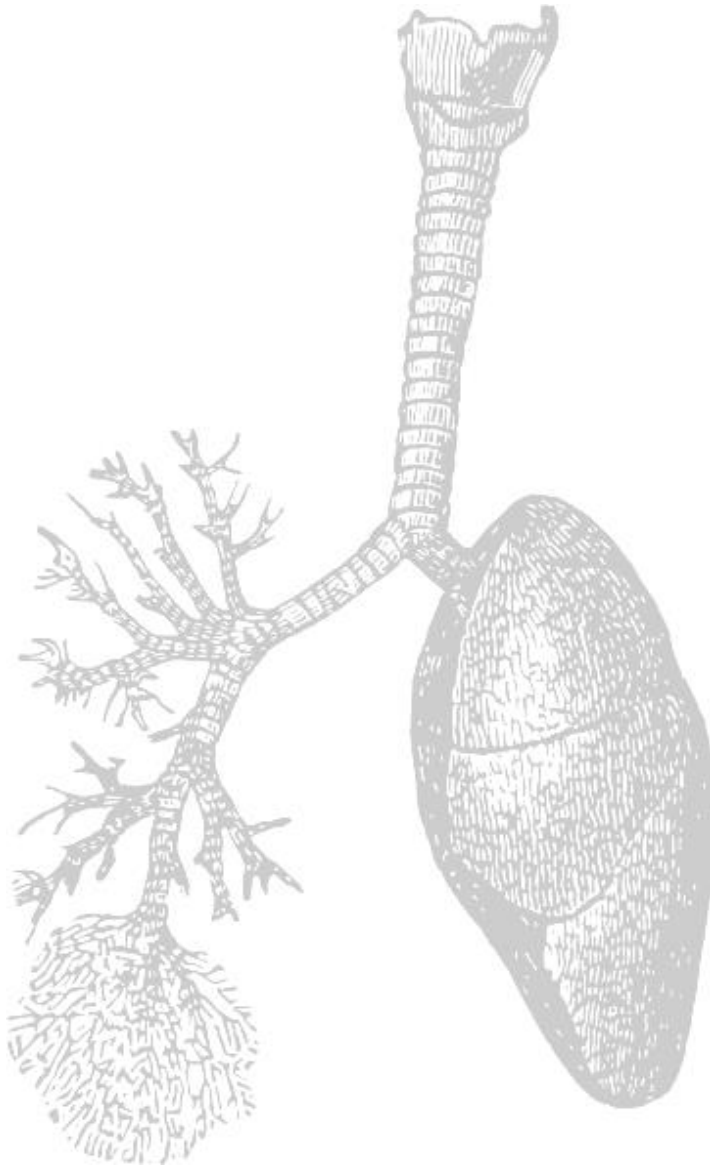
1. A “shotgun” proteomics methodology has been developed for the quantitative analysis of medium-low abundance serum

proteins. This protocol is based on dynamic range compression with CPLs, peptides-labelling with iTRAQ reagents, and LC-MS/MS analysis.

2. Twenty-six out of two hundred and seventeen proteins display abundance changes associated with different groups of donors (HC, R, MSAA, IMAA, MSNAA, and IMNAA). Some of these serum proteins could be useful biomarkers of asthma phenotypes (e.g., IGFALS, Protein AMBP, and HSPG2 for AA; CFI for NAA) or severities (e.g., IGFALS in MSAA).







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(Introduction and General Discussion)



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APPENDIX I

CD26 and asthma: A Comprehensive Review.

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CD26 and asthma: A Comprehensive Review.

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ABSTRACT

Asthma is a heterogeneous and chronic inflammatory family of disorders of the airways with an increasing prevalence that results in recurrent and reversible bronchial obstruction and expiratory airflow limitation. These diseases arise from the interaction between environmental and genetic factors, which collaborate to cause increased susceptibility and severity. Many asthma susceptibility genes are linked to the immune system or encode enzymes like metalloproteases (e.g., ADAM-33) or serine proteases. The S9 family of serine proteases (prolyl oligopeptidases) is capable to process peptide bonds adjacent to proline, a kind of cleavage-resistant peptide bonds present in many growth factors, chemokines or cytokines that are important for asthma. Curiously, two serine proteases within the S9 family encoded by genes located on chromosome 2 appear to have a role in asthma: CD26/dipeptidyl peptidase 4 (DPP4) and DPP10. The aim of this review is to summarize the current knowledge about CD26, and to provide a structured overview of the numerous functions and implications that this versatile enzyme could have in this disease, especially after the detection of some secondary effects (e.g., viral nasopharyngitis) in type II diabetes mellitus patients (a subset with a certain risk of developing obesity-related asthma) upon CD26 inhibitory therapy.

KEYWORDS:

CD26, DPP4, asthma, cytokines and chemokines, sCD26, CD26 inhibitors.

1.- DEFINITION AND GENETIC FACTORS IMPLICATED IN ASTHMA

Asthma is a heterogeneous and chronic disease characterized by reversible expiratory airflow limitation, bronchial hyperresponsiveness, mucous cell hyperplasia, higher vasculature permeability, airway remodelling with fibrosis and inflammatory cell infiltration [1, 2]. Asthma is a major concern, with 334 million people worldwide affected, increasing prevalence [3] and a high economic charge [4]. It is more frequent and severe in boys until the age of 13 years, but both prevalence and severity of asthma rise in women after puberty, becoming even more prevalent in women [3, 5, 6]. 5-10% of cases display a highly severe and treatment-refractory disease, suffering from recurrent exacerbations that threaten patient's life and increase the health care costs. This is a complex pathology, with both genetic and environmental factors causing increased susceptibility and severity. Great efforts have been made to discover the genetic bases (mostly GWAS), revealing the influence of several genes encoding proteins with an important role in the immune system (HLA-DQ, HLA-G, IL1RL1, IL18R, TSLP, PDE, IL-33, LRRC32, SMAD3, IL2RB, IL6R, IL13) and also proteases (ADAM33, and DPP10) [7-9].

2.- ASTHMA AND THE PROLYL OLIGOPEPTIDASE FAMILY OF PROTEASES: DIPEPTIDYL PEPTIDASE 10 (DPP10) AND CD26/DPP4

As commented above, proteases are involved in many physiological and pathological processes, including chronic respiratory conditions like asthma. Amongst all proteases, there are only a few proline-specific enzymes, as peptide bonds adjacent to proline (present in some growth factors, chemokines or cytokines) are resistant to

cleavage [10]. These enzymes include serine proteases, with a serine residue in their catalytic region (sequence consensus Gly-Xaa-**Ser**-Xaa-Gly) [11] and covering different families (S1-S81) and subfamilies. Thus, the S9 family (prolyl oligopeptidases) includes from S9A to S9D, all with the catalytic triad Ser, Asp, His but with slightly different sequence consensus around the catalytic Ser. For example, most of members of the S9B subfamily (EC 3.4.14.5; CD26/DPP4, DPP8, DPP9, and fibroblast activation protein/FAP/Seprase) (<http://merops.sanger.ac.uk/>) present the sequence Gly-Trp-**Ser**-Tyr-Gly-Gly, while the other additional two members, DPP6 (DPPX; Gly-Lys-**Asp**-Tyr-Gly-Gly) and DPP10 (Gly-Lys-**Gly**-Tyr-Gly-Gly), do not possess the catalytic serine and, therefore, DPP4 activity [12]. Curiously, four of these peptidases (CD26, FAP, DPP6, and DPP10) are type II membrane proteins released to the extracellular medium, three are encoded by genes located on chromosome 2 (CD26, FAP and DPP10), and only two (CD26, DPP10) appear to have a role in asthma [13-16]. For example, DPP10 have been linked to asthma susceptibility in different populations [7, 13, 15], and this protein (as well as DPP8 and DPP9) has been primarily located in the trachea and the bronchi of the airways in rats [17]. For its part, the protease CD26 is the major member of the S9B family and also a receptor for the Middle-East respiratory syndrome coronavirus (MERS-CoV) [18, 19], a new coronavirus that causes severe lower respiratory tract infections that could lead to asthma exacerbations. CD26 has been found elevated in both plasma samples (sCD26) and the surface of peripheral CD4⁺ T cells from adult patients with allergic asthma [20, 21], and different animal models [14, 16, 22] suggest a role of this peptidase in the pathogenesis of this disease.

3.- STRUCTURE AND DISTRIBUTION OF CD26

3.1.- Structure of the CD26 molecule

CD26 is a single-pass type II integral membrane glycoprotein of 105-110 kDa [23]. Only the homodimeric form of CD26 is biologically active [24-27]. Homodimerization takes place in both the Golgi apparatus [28] and the endoplasmic reticulum [29]. Each monomer displays a highly conserved and short (6 amino acids) cytoplasmic tail at the N-terminus, a 22 amino acid hydrophobic transmembrane region and a long extracellular domain of 738 amino acids. The heavily N-glycosylated extracellular domain can be subdivided, in turn, in several regions. The closest to the amino-terminal part starts with a flexible stalk region and contains 8 out of 10 possible N-glycosylation sites, while the intermediate region is highly enriched in cysteines (9 out of 12). Finally, the active centre is located towards the carboxyl-terminal end and is another highly conserved region [30-34].

3.2.- Tissue and cell distributions of CD26

Human CD26 is broadly distributed in a variety of cell types, tissues, and organs [35-39]. Lungs display the second highest CD26 activity amongst organs, especially in lung parenchyma [17, 22]. Contrary to DPP8/9 and DPP10, bronchi almost no express CD26 [17], but can be found in the apical membrane of epithelial cells, capillary endothelial cells, fibroblasts and serosal submucosal glands of human bronchi [40-42]. In most of these places, CD26 levels are constitutive and highly correlated with the mRNA content (<http://www.proteinatlas.org/ENSG00000197635-DPP4/tissue>) [43]. However, IL-13 causes a strong proinflammatory upregulation of CD26 in the airway epithelial cells [44]. Moreover, both DPP4 activity and CD26 protein (but not mRNA) increase after allergen

exposure in lung parenchyma in a rat model of allergic airway inflammation [17], suggesting that CD26 on lung epithelium could be important in asthma pathogenesis [45].

CD26 is also expressed in the Immune System, being detected in medullar thymocytes, T cell areas of spleen and lymph nodes, peripheral blood T cells and, at a lesser extent, B cells, NK cells, monocytes/macrophages and granulocytes [24, 25, 30, 41, 46, 47]. CD26 density in these cells is heavily controlled and augments upon cell activation and acquisition of a memory phenotype, especially in the T cell lineage [33, 41, 48]. Human T lymphocytes display variable basal expression of CD26 ($CD4^+$ T \gg $CD8^+$ T cells), which depends on the individual and the mAb used. Despite not all resting T cells are $CD26^+$, most of them contain CD26 mRNA and display CD26 molecules on the surface 4-8 hours after stimulation [49-51]. However, only a certain regulation on CD26 mRNA levels has been detected by either northern blot [36, 50, 52-54] or gene arrays [55] upon activation. Additionally, CD26 is upregulated by $IFN\gamma$ on renal epithelial cells [56] and B-CLL [57] through the modification of mRNA levels.

Despite the above-described adjustment of CD26 expression through mRNA levels, several pieces of evidence support the presence of upstream control levels. Thus, CD26 is strongly regulated at protein level by several soluble factors. For example, the T_H1 cytokine IL-12 (and to a lesser extent IL-2) enhances the expression of CD26 on both activated T cells [58, 59] and NK cells (together with IL-15) [47, 60]. On the contrary, $IFN\gamma$ (another T_H1 cytokine) has no effect on CD26 levels in these lymphocytes [47, 58]. Regarding T_H2 cytokines, IL-4 promotes the expression of CD26 on human B lymphocytes activated with *Staphylococcus aureus* cowan I [61, 62], while this cytokine moves from a lack of effect [63] or a certain downmodulation of CD26 levels in T cells at high concentrations [unpublished results]. In

addition, *in vitro* exposure to regulatory T cells (Treg)-derived soluble factors like TGF β 1 [64, 65] or Ado (adenosine) [66] leads to diminished CD26 levels.

Most of peripheral blood Treg lymphocytes display an “activated-like” (e.g., CD25^{high}), “memory” (CD45RO⁺) and “anergic/apoptosis-prone” phenotype [67] and were expected to express other activation/memory markers such as CD26. This seems to be the case for rats, where CD25⁺ (Treg) and CD25⁻ (effector T cells or Teff) subsets of peripheral CD4⁺ T cells show equivalent CD26 expression [68]. In contrast, human Treg cells (CD4⁺CD25^{high} or CD4⁺FoxP3^{high}) display lower levels of CD26 compared to Teff lymphocytes (CD4⁺CD25^{-/low} or CD4⁺FoxP3^{-/low}) [69-72]. This likely explains why cytokines that favour “effector” responses (e.g., IL-12) cause a strong upregulation of CD26 on “bulk” T_H cell cultures, while others important for the Treg homeostasis (e.g., IL-2, IL-15) only induce a slight increase on CD26 levels [58, 73]. It also gives a clue on why CD26 is downmodulated upon exposure of T cells to TGF- β 1 [64, 65].

CD26 expression amongst Teff lymphocytes is also variable, and T_{H17} cells appear to display the highest levels of CD26 according to the following order: T_{H17}>>T_{H1}>T_{H2} [72, 74-77]. Furthermore, two subsets were reported amongst T_{H17} cells on the base of CCR4 expression [78], but only the CCR4⁻ T_{H17} subset (and not the TGF- β -secreting CCR4⁺ T_{H17} subpopulation) has a CD26^{high} phenotype [79]. This finding likely indicates that the degree of phenotypic diversity found in Teff cells for CD26 levels is also probably present in Tregs and reflects the presence of functionally different subsets that mirror the corresponding Teff subsets [80].

The different expression levels of CD26 in Treg and Teff lymphocytes could depend on a variation in CD26 mRNA levels as it happens with “regulatory-like” CD4⁺ T cells in classical Hodgkin’s

lymphoma [81]. However, it is worth to mention that, apart from regulating this set of CD26 mRNA molecules, there is an intracellular pool of CD26 protein maintained by continuous translation in human T cells (regardless their CD26⁺ or CD26⁻ phenotype) [50] that can be mobilized towards the plasma membrane [54] or released into the extracellular space. Therefore, there could be a number of additional mechanisms leading to a CD26^{-/low} phenotype in human Treg cells.

3.3.- Body fluid compartments and distribution of soluble CD26

CD26 can be found in the extracellular space, with autocrine, paracrine or endocrine effects. The soluble version of CD26 (sCD26) has been described in many biological fluids (e.g., serum, plasma, synovial fluid, cerebrospinal fluid) from different organisms [82]. Bronchoalveolar lavage contains DPP4 enzymatic activity in rats [17] and humans [83]. In serum or plasma at least 90% of this activity is associated to a heavily glycosylated 110 kDa CD26 isoform [84, 85], whose concentration displays a normal distribution [86] and high biological variability [87, 88] that seems to depend on pre-analytical variables, like age or gender. Thus, serum sCD26 concentration increased in up to 10-12 years in children, after which the values start decreasing [89]. In adults, it has been also detected a slight decrease of sCD26 or DPP4 activity in serum with age [87, 88], but other studies did not describe such correlation [86, 90]. In addition, no differences were detected regarding gender in some studies [90], while different authors did find a higher sCD26 concentration in serum/plasma samples [86-89, 91] but a lower CD26 expression on CD4⁺ T cells (our unpublished results) from males.

Several studies have found a positive correlation between sDPP4 activity and sCD26 in humans [91-93], but others have reported a low correlation instead, with different explanations like the

presence of hypersialylated CD26 isoforms or alternative proteins with DPP4 activity [82]. Thus, plasma samples contain a soluble glycoprotein named DPPT-L or attractin without homology with CD26 but with DPP4 activity [94-96], although this activity has been questioned more recently [97]. Attractin is accumulated in the plasma membrane upon T cell receptor (TCR) triggering (~24h) [95, 96], especially in CD26^{high} Teff cells (our unpublished results), and released into the plasma at 48-72h [95, 96]. Curiously, linkage disequilibrium studies show an association of asthma with a region including the attractin (ATRN) gene, which is upstream of the gene encoding the secretase/sheddase ADAM-33 (an asthma susceptibility gene) [97].

Release of CD26 could be accomplished by a classical or non-classical pathway, and either by a constitutive or induced mechanism. The classical pathway requires vesicular traffic from the endoplasmic reticulum/Golgi towards the plasma membrane. Intravesicular proteins are liberated in the extracellular medium after membranes merging (exocytosis), while transmembrane proteins appear in the secretome through proteolysis mediated by “secretases”/“shedases”. This last process (“shedding”) delivers growth factors, cytokines, receptors and probably molecules like CD26 [98]. This is additionally supported by the lack of posttranscriptional splicing in CD26 mRNA, the absence of cytoplasmic and transmembrane domains in sCD26, or results from pulse chase and transfection experiments [25, 82]. However, CD26 has been detected in microvesicles and exosomes from lymphocytes (<http://www.exocarta.org>). Therefore, constitutive or induced release of CD26⁺ vesicles (exosomes, microvesicles or apoptotic bodies) into the medium could also contribute to the pool of sCD26 in the circulation and reflect the cell subset of origin (“cell lineage fingerprint”).

The cell source of sCD26 is still controversial [25, 82]. Visceral fat, at least in obese patients, overexpresses CD26 and releases this “adipokine” into the circulation [88], and there are data supporting the presence of a positive correlation between fasting sCD26 levels and body mass indexes/BMI >25 [88, 99]. sCD26 may also originate from other sources, such as endothelial cells (e.g. lung) or epithelial cells from liver (bile canaliculi) or kidney. However, immune cells are also a likely source [25, 82, 100]. Thus, a transplantation model in rats has determined that, under healthy conditions, bone marrow-derived cells represent a significant source for sCD26 [101]. Therefore, the concentration of sCD26 could also be influenced by the number of lymphocytes [102] or mirror the predominant phenotype of circulating CD4⁺ T lymphocytes in a pathological situation.

4.- CD26 AND ASTHMA

4.1.- Asthma phenotypes, disease severity and CD26 levels in CD4⁺ T cells.

CD4⁺ T_H cells play a central role in adaptive immune responses and the induction/persistence of asthma and other allergic/atopic diseases. They are plastic and heterogeneous lymphocytes, with four main lineages (T_{H1}, T_{H2}, T_{H17} and Treg cells) and different roles. For example, T_{H2} (GATA-3⁺) cells infiltrate airways in allergic asthma and produce a set of cytokines (IL-4, IL-5, IL-13) important for airway remodelling, leucocytosis, eosinophilia, macrophages/mast cells activation, and B-cell dependent IgE elevation [103, 104]. In the same way that T_H lymphocytes are heterogeneous, there are also a number of asthma phenotypes as a likely reflection of the T_H cells heterogeneity itself, with both T_{H2}^{high} and T_{H2}^{low} phenotypes sharing

different clinical signs: a) the *early allergic asthma*, with a strong familial background and mediated by T_{H2} cytokines (T_{H2}^{high}) and allergen-specific IgE (atopy); b) the less-allergic *late-onset asthma* (T_{H2}^{high}), which is characterized by eosinophilic inflammation, absence of specific IgE (i.e., non-atopic) and worse response to corticosteroids; c) *neutrophilic asthma*, a T_{H2}^{low} phenotype refractory to corticosteroids and linked to T_{H17} -responses, sputum neutrophilia, and augmented levels of IL-8 and IL-17; d) and the *obesity-related asthma*, a T_{H2}^{low} phenotype predominant in obese women, with higher levels of TNF α , IL-6 and leptin but low numbers of eosinophils [1] (Table 1). Therefore, alternative CD26^{+/high} effector T_H subpopulations are gaining importance in asthma, particularly as the disease becomes chronic and refractory to treatment. In this context, even though it was initially described that CD26⁺ T_{H1} cells were protective (“hygiene hypothesis”), now it is considered that these cells could be favouring the inflammation of the airways [105]. In addition, proinflammatory CD26^{high} T_{H17} cells have been detected in biopsies from asthma patients, and their cytokines (e.g., IL-17, IL-6, IL-21, IL-22) involved in eosinophilia/neutrophilia and higher severity during acute attacks [106]. On the other hand, Treg cells (FoxP3⁺, CD26^{-/low}) also seem to play a relevant role in controlling exaggerated T_{H2} responses and asthma development [9, 107, 108]. Treg cells exert their suppressor activity by using both soluble (e.g., adenosine, TGF β , IL-10) and membrane-associated (e.g., TGF β , CTLA-4) molecules [67], and a number of GWAS in asthma have identified some genes (e.g., IL2RB, SMAD3, GARP/LRRC32) linked to this suppressor activity. Thus, the lower or higher prevalence of different Teff subsets and/or the distortion of the Teff/Treg balance could also alter the phenotype and severity of asthma [104, 109, 110]. Moreover, depending on the asthma phenotype or the severity of this disease, the levels and functions of CD26 on the surface of immune cells and biofluids could be rather different and be a factor influencing disease development.

Therefore, it is time to ask what the biological functions of CD26 are and what their connection with asthma is.

Table 1. Asthma molecular and clinical classification

	Symptomatology and pathophysiology	Pathobiology	Therapy response
Th2-High Phenotype			
<i>Early onset</i> <i>Allergic</i>	Allergic and rhinitis symptoms. Moderate to severe.	Elevated levels of IL4, IL5 and IL13 (Th2-related chemokines), specific IgE and thicker sub-epithelial basement membrane.	Corticosteroid response and Th2-related targets
<i>Late onset</i> <i>Eosinophilic</i>	Presence of sinusitis and less allergic. Normally severe.	Eosinophillia and IL5 elevation (Th2-related chemokine)	Against IL5-Ab response and to cysteinyl leukotriene modifiers. Refractory to corticosteroids.
Th2-Low Phenotype			
<i>Obesity-related</i>	Mostly women, very symptomatic, epithelial hiperresponsiveness.	Loss Th2-markers and oxidative stress.	Response to weight loss, to antioxidants and to hormonal therapy.
<i>Late onset</i> <i>Neutrophilic</i>	Low FEV1.	Sputum Neutrophilia, Th17 and IL8 ways.	Refractory to corticosteroids and to other asthma medicines. Possibly response to macrolide antibiotics.
IL5 = Interleucin 5, IL8 = Interleucin 8, FEV1 = Force expiratory volum in 1 s, Ab= Antibody.			
Modified table from Martínez <i>et al.</i> [9].			

4.2.- Enhancing functions of both membrane and soluble CD26 on asthma

Functionally speaking, CD26 is a complex molecule with both harmful and beneficial activities in relation to asthma. With regard to the first ones, CD26 has been traditionally linked to both the amplification of TCR-mediated stimulatory signals and cell adhesion/migration. These functions could be dependent on production of certain biomolecules, the enzymatic activity of CD26 or, the extracellular association with other proteins: adenosine deaminase (ADA) [111], CD45 [112], caveolin-1 [113], CXCR4 [114], collagen [115], plasminogen 2 [116], glypican-3 [117] or fibronectin III [118, 119] (Fig. 1). For example, CD26-mediated costimulation plays a role in the migration of mesothelioma cells through upregulated production of a current biomarker of "T_{H2}^{high}" asthma: periostin [120, 121]. CD26 location could also be important for this harmful function of CD26 in asthma. As a raft resident protein [112, 122], CD26 improves the immunological synapse formation between antigen-presenting cells (APC) and T cells [112, 113], important for T_H cells proliferation/differentiation and to empower these lymphocytes to proportionate help to B cells and produce immunoglobulins like IgE [30] (Fig. 1). This raft-dependent costimulatory function of CD26 could be mediated by other proteins like CD45, a tyrosine phosphatase (PTPase) essential for TCR signalling [123]. Crosslinking with anti-CD26 antibodies in T lymphocytes drives the recruitment to rafts and the interaction of both CD26 and CD45RO [112], which probably causes CD45 dimers dissociation [112], increased PTPase activity [124] and a signal transduction cascade that both overlaps with and boost the TCR-pathway [112, 125-128] (Fig. 1).

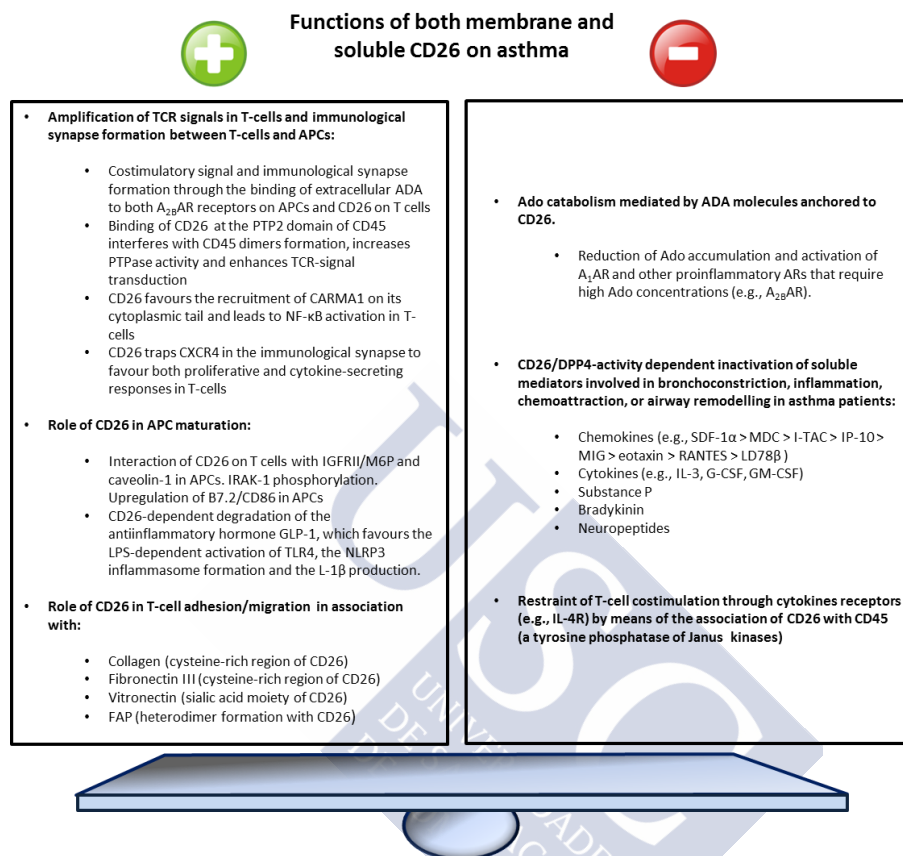


Fig 1. Functions of both membrane and soluble CD26 on asthma. This figure summarizes both positive (i.e., those that favour asthma development or exacerbation) and negative (i.e., those that prevent asthma development or exacerbation) functions of CD26 on this disease.

This potentially detrimental function of CD26 to the lungs of a person with asthma seems to involve the active centre [112, 129], but not necessarily the DPP4 activity. Thus, CD26 on T_H cells recognizes caveolin-1 and induces the upregulation of the proinflammatory B7.2/CD86 molecule in APCs [113, 129]. In T cells, CD26-caveolin-1 interaction induces the coalescence of lipid rafts, recruitment of

CARMA1 and activation/nuclear translocation of the proinflammatory and “rapid-acting” transcription factor NF- κ B [130] (Fig. 1). Therefore, the CD26^{+/high} phenotype of Teff cells may favour allergen-induced inflammation in lungs [131], while the CD26^{-/low} phenotype of Treg cells may contribute to keep low levels of CD86 on APCs and trigger anergy/apoptosis in allergen-specific naïve T cells. However, all these findings of the costimulatory role of CD26 need further clarification, as either a partial or complete genetic deletion of CD26 or the use of CD26 inhibitors do not impact this function [132, 133].

The costimulatory actions of CD26 also could depend on the interaction with another enzyme: ADA [111, 134-136]. Most of ADA molecules in lymphocytes are cytosolic, but a small proportion (10%) are associated with either CD26 [111, 134, 135] or adenosine (Ado) receptors (ARs; A₁AR and A_{2B}AR) on the T cell membrane (ecto-ADA) [114, 135-138]. Binding of ADA to CD26 takes place in human (but not murine) lymphocytes [25, 63] and this interaction could potentially trigger a costimulatory signal that favour lymphocytes proliferation and T_{H1} cytokines and chemokines production [139-141] (Fig. 1). In turn, activation through the TCR/CD3 complex and costimulation with T_{H1} (but not T_{H2}) cytokines increase the number of ecto-ADA molecules [63, 139] and the formation of the ADA-CXCR4-CD26 triad [114], which might help to trap the chemokine receptor CXCR4 at the immunological synapse to make lymphocytes insensitive to the chemokine SDF1 α (stromal cell-derived factor 1 /CXCL12) and trigger a proliferative and cytokine-secreting response [142] (Fig. 1).

Costimulatory effects could also come from sCD26, which is capable of enhancing the T-cell mediated reaction against recall antigens (e.g., tetanus toxoid) or suboptimal amounts of polyclonal stimuli (PHA or anti-CD3) [143, 144]. Contrary to initial results [143], the most recent data point out that this proinflammatory effect

of sCD26 is not dependent on CD26 or ADA-binding activities [145] and is likely triggered upon interaction with proteins like caveolin-1 [113, 129, 130] or insulin-like growth factor II/mannose-6-phosphate (IGF-II/M6P) receptor [146, 147] in APCs or protease-activated receptor 2 (PAR2) in smooth muscle cells [148]. sCD26 promotes CD86 upregulation in APCs [113, 129, 146] and the generation of reactive oxygen species (ROS) and Toll-like receptors [149] (Fig. 1).

CD26 is the major member of the prolyl oligopeptidase family, and cleaves X-proline or X-alanine dipeptides from the N-terminus of different polypeptides, even though other amino acids are accepted with lower efficiency: Pro>Ala>Hyp>>Ser>Gly>Val>Leu [25]. Apart from the primary sequence, the 3D structure, size and substrate ionization (optimum pH 7.5-8.5) significantly influence the catalytic efficiency of CD26 [10, 94, 100, 150, 151]. Peptides containing X-proline or X-alanine at the N-terminus are not easy to process by most of the proteases [152], which means that CD26 has a key biological role. Indeed, DPP4 activity has been linked to the activation of T lymphocytes in response to extracellular stimuli. For example, Jurkat cells transfected with CD26 display greater activation than those expressing DPP4-deficient CD26 molecules [153]. DPP4 activity is also necessary for sCD26 molecules to boost the tetanus toxoid dependent proliferation [146]. CD26 inhibitors yield similar results *in vitro*, with suppression of T cell proliferation and secretion of proinflammatory cytokines but enhanced release of TGFβ1 [154-157]. *In vivo*, the use of CD26 inhibitors in murine models of human diseases like rheumatoid arthritis [158], multiple sclerosis [159], or asthma (aerosolized simultaneously with the allergen) [45] also describes a proinflammatory role of CD26. Moreover, CD26 inhibitors also support the positive role of CD26 in NLRP3 inflammasome formation [160]. However, enzyme activity is required, but not in absolute terms, during the costimulatory function of CD26,

since this biological activity is retained after deleting part of the hydrolase domain [132] (Fig. 1).

4.3.- Inhibitory functions of both membrane and soluble CD26 on asthma

Apart from its costimulatory and asthma-detrimental role, there is also evidence that CD26 exhibits other asthma-preventive activities. This protective function of CD26 is linked to the indirect or direct control of different soluble mediators like Ado, bradykinin or neuropeptides that are involved in bronchoconstriction, inflammation, chemoattraction, or airway remodelling in asthma patients [161]. For instance, the nucleoside Ado is the substrate of ADA, an enzyme that catalyses its irreversible deamination to inosine [139, 140]. As already mentioned, ADA has been found anchored to CD26 (Fig. 1) in many cells but two frequently regarded proinflammatory ARs (A_1 AR and A_{2B} AR) also interact with this enzyme, which seems important for their efficient ligand-dependent signalling [111, 134-138]. ARs are novel targets for the treatment of human asthma, and some antagonists and agonists have entered the clinical phase with a not totally clear efficacy [161, 162]. Indeed, there is an elevated expression of asthma-favouring and ADA-interacting receptors A_1 AR and A_{2B} AR in bronchial tissue [161, 162], but probably reduced amounts of asthma-protective A_{2A} AR [161]. In addition, there is an augmented Ado concentration in the extracellular compartment in asthma. This nucleoside plays a detrimental role in this disease by causing bronchoconstriction, airway inflammation (e.g., mast cells degranulation, airway accumulation of eosinophils), airway remodelling, edema, or mucus production [161, 162]. These harmful effects also depend on the specific engaged type I purinergic receptor and the particular cells expressing the ARs in airways (e.g., APCs,

lymphocytes, eosinophils, neutrophils, endothelial and mast, epithelial, endothelial, and smooth muscle cells) [161, 162]. This role of Ado in asthma is affected by binding affinity, receptor density and local levels of this nucleoside, which are influenced by a delicate balance where different mechanisms are involved (e.g., extracellular/intracellular Ado metabolism) [161, 162]. Amongst these mechanisms, the Ado catabolism mediated by ADA molecules anchored to CD26 is the major regulated pathway that influences the local concentration and biological effects of Ado. In this respect, relevant T_{H2} cytokines in allergic asthma (IL-4, IL-13) reduce ADA activity in the lung [161] and ecto-ADA levels on T_H lymphocytes [63]. These findings suggest that low levels of CD26/ecto-ADA on the T_{H2} subset in T_{H2}^{high} (allergic/atopic) asthma could favour the local accumulation of Ado, the activation of A_1AR , and the participation of proinflammatory ARs that require Ado concentrations over the physiological levels (e.g., the low-affinity A_{2BAR}) in order to neutralize the off-signals provided by the engagement of high-affinity and asthma-protective A_{2AAR} s. Therefore, it is likely that ARs-targeted therapy is more effective in T_{H2}^{high} asthma than in T_{H2}^{low} or severe asthma, wherein CD26/ecto-ADA^{high} T_{H1} and T_{H17} cells are more frequent. However, additional studies are needed to clarify what could be exactly the role of Treg cells in asthma, a lymphocyte subset with a CD26/ecto-ADA^{low} phenotype that should favour the high production of Ado [70]. On the other hand, CD45 has been involved in Janus kinases dephosphorylation [163]. Therefore, the CD26-CD45 association could have a negative and DPP4-independent role important to restrain the signal transduction of cytokine receptors [122] (Fig. 1). In this sense, the low PTPase activity in human naïve $CD4^+CD45RA^+CD26^{-/low}$ T cells makes them susceptible to small amounts of IL-4, as mentioned an important cytokine in allergic asthma, while memory/effector $CD4^+CD45RO^+CD26^{+/high}$ T cells secrete this soluble factor upon activation, but are less sensitive to its

effects [164]. Indeed, high levels of CD26 in memory/effector T_H lymphocytes might act as a “brake” for the proliferative responses to cytokines (for example, IL-12; our unpublished results).

The protective function of CD26 in asthma could also be linked to the DPP4 activity (Fig. 1). Some CD26 substrates fall outside the scope of the immune system and are beyond the scope of this review, like incretins (GIP/glucose-dependent insulintropic peptide, GLP-1/glucagon-like peptide-1, GLP-2/ glucagon-like peptide-2) [10, 165, 166], glucagon, PACAP (pituitary adenylate cyclase-activating polypeptide), GRP (gastrin-releasing peptide), peptide YY, vasoactive peptides (bradykinin and VIP/vasoactive intestinal peptide), natriuretic peptides (BNP/B-type natriuretic peptide), and neuropeptides (NPY/neuropeptide Y, beta-casomorphins, endomorphins, substance P) [41, 82, 166, 167] (Table 2). However, some others have an important immunomodulatory role, such as cytokines (e.g., IL-3, G-CSF, GM-CSF) [168] or chemokines (e.g., RANTES/CCL5, eotaxin/CCL11, MDC/CCL22) [82, 166]. CD26 enzymatic activity down-modulates the biological function of most of these chemokines and cytokines (Table 3). Consistent with this observation, it has been found that CD26 inhibitors can enhance some *in vivo* immune responses depending on the dose, application route, timing or predominant Teff subset [45, 169], which could be explained by the presence of off-targets effects as well [25, 170]. However, animal models of rheumatoid arthritis [93], multiple sclerosis [171], and inflammatory bowel disease [172] in CD26 KO mice do support an immunosuppressive role of both CD26 and DPP4 activity. This immunosuppressive function has also been observed for sCD26 during strong *in vitro* proliferative responses of immune cells [143, 145]. Moreover, CD26 has been even regarded as a tumour suppressor gene in melanomas or neuroblastomas [173, 174]. For this

reason, we will focus this part of this review on the CD26 substrates with a direct connection with the immune system like chemokines.

Table 2. Known substrates processed by CD26/DPP4 out of the immune system

Substrate name and family	N-terminal sequence	Effect of DPP4 processing	<i>In vitro / in vivo</i> evidence	Reference
β-casomorphins (neuropeptide)	Tyr-Pro▼Phe	Inhibitory	Yes/Yes	[10] [82] [156]
BNP (natriuretic peptide)	Ser-Pro▼Lys	Inhibitory Change in receptor preference	Yes/Yes	[82] [233]
Bradykinin (vasoactive)	Arg-Pro▼Pro	Inhibitory Change in receptor preference	Yes/Yes	[10] [82] [156]
Endomorphins (neuropeptide)	Tyr-Pro▼Phe	Inhibitory Change in receptor preference	Yes/Yes	[10] [41] [82] [156]
Enterostatin (gastrointestinal hormone)	Val-Pro▼Asp	Inhibitory	Yes/Yes	[10] [41] [156]
GIP (incretin)	Tyr-Ala▼Glu	Inhibitory	Yes/Yes	[10] [41] [82] [154] [156]
GLP (GLP-1 [7-36]amide, GLP-1 [7-37] ^{&} and GLP-2) (incretin)	His-Ala▼Glu (GLP-1) His-Ala▼Asp (GLP-2)	Inhibitory	Yes/Yes	[10] [41] [82] [154] [156]
Glucagon (gastrointestinal hormone)*	His-Ser▼Gln	Inhibitory	Yes/Yes	[82] [156]
GRH (GRH[1-29] and GRH[1-44] (hypothalamic hormone)	Tyr-Ala▼Asp	Inhibitory	Yes/No	[10]

Continued on next page

Table 1 (Continued)

GRP (hormone bombesin family)	Val-Pro▼Leu	Not known	Yes/Yes	[82]
NPY (neuropeptide)	Tyr-Pro▼Ser	Receptor Y1 Inactivation Change in receptor preference	Yes/Yes	[10] [41] [82] [156]
PACAP (PACAP27 and PACAP38)*	His-Ser▼Asp	Probably inhibitory	Yes/Yes	[82] [234]
Peptide YY (Pancreatic peptide)	Tyr-Pro▼Ile	Receptor Y1 Inactivation Change in receptor preference	Yes/Yes	[10] [41] [82] [156]
Substance P (neuropeptide)	Arg-Pro▼Lys	Inhibitory Questionable	Yes/Yes	[10] [41] [82] [156]
VIP peptides (VIP, PHV42, PHM27) (vasoactive)*	His-Ala▼Asp (PHV, PHM) His-Ser▼Asp (VIP)	Probably inhibitory	Yes/Yes	[10] [41] [82] [154] [156]

BNP: B-type natriuretic peptide; GIP: glucagon inhibitory peptide; GLP: glucagon like peptide; GRH: growth hormone-releasing hormone; GRP: gastrin releasing peptide; NPY: neuropeptide Y; PCAP: pituitary adenylate cyclase-activating polypeptide; VIP: vasoactive intestinal peptide; PHV42: peptide histidine valine 42; PHM27: peptide histidine methionine 27 *: Glucagon, PACAP and VIP have a Ser in position 2 and, with less efficiency than Pro or Ala, also can be DPP4 potential substrate; &: GLP-1 [7-36] amide and [7-37] are the active forms of GLP-1 and substrates of DPP4.

4.3.1.- CD26, T_{H2} -related chemokines and T_{H2}^{high} asthma.

Even though cytokines like IL-1 β or IL-2 contain an appropriate N-terminal sequence, the molecular weight precludes their N-terminal clipping, with exceptions like IL-3, G-CSF (granulocyte colony-stimulating factor), GM-CSF (granulocyte-macrophage colony-stimulating factor), or Epo (erythropoietin) [168]. In contrast, CD26-dependent processing of chemokines (8-10 kDa) like RANTES (regulated on activation, normal T cell expressed and secreted) is one

of the most interesting aspects of CD26 biology [175]. Moreover, this function is mostly dependent on CD26 levels, which is influenced by the T cell subset or disease, as we have just seen.

Chemokines are proinflammatory cytokines with a role in leukocyte activation and migration. They are classified according to the position of two N-terminal cysteine residues (CC, CXC, C and CX3C) [176], but can also be divided as a function of the cells they attract or the receptor they recognize. As table 3 shows, the major branches of effector (T_{H1} , T_{H2} , and T_{H17}) and regulatory T cells express characteristic (but not totally selective) chemokine receptors [76, 103]. For example, T_{H2} lymphocytes and other leukocytes important in asthma (basophils, mast cells, and eosinophils) express CCR3, CCR4, CCR8, CXCR4 and, in humans, CCR2 [103]. CCR3, CCR4, and CXCR4 interact with CD26-substrates, but only CCR3 and CCR4 are actually specific for T_{H2} cells. Regarding CXCR4, T_{H2} cells seem to display a slight overexpression compared to T_{H1} lymphocytes [177], but other authors describe CXCR4 as a merely trafficking marker [178-180].

Ligation of CCR3 with eotaxin/CCL11, RANTES/CCL5, and MCP-1/CCL2, MCP-2/CCL8, MCP-3/CCL7, MCP-4/CCL13 participates in the recruitment of basophils, eosinophils and mast cells [103, 181, 182] (Table 3). Eotaxin is an important chemokine in allergic asthma produced by endothelial cells and monocytes in response to $IFN\gamma$ and $TNF\alpha$, respectively. This chemokine is recognized by CCR3 (eosinophils, basophils, mast cells and T_{H2}) and a lesser extent by CCR5, a putative T_{H1} -marker (see later) [183]. Eotaxin is a chemoattractant for eosinophils that facilitates their mobilization from bone marrow [184]. Truncation of eotaxin by CD26 is characterized by an intermediate-low efficiency (k_{cat}/K_m : $SDF-1\alpha > MDC > I-TAC > IP-10 > MIG > \text{eotaxin} > RANTES > LD78\beta$) [185]. Despite this, N-terminal clipping by CD26 results in an isoform (3-74)

with a reduced chemotactic activity that causes CCR3-desensitization [183, 186, 187]. Indeed, administration of eotaxin to F344 rats leads to a mobilization of eosinophils, an effect enhanced in CD26-deficient animals or with CD26 inhibitors [187]. Additionally, CD26^{-/-} mice challenged with ovalbumin/OVA show higher levels of CCR3 and CCR3-ligands (eotaxin, RANTES) and stronger eosinophils infiltration in lungs [16]. Another ligand of CCR3 is CCL14 (Table 3), a chemokine processed by plasmin and urokinase plasminogen activator (UPA) to yield CCL14 (9-74). This isoform binds to CCR3 (and also CCR1 and CCR5) to efficiently attract eosinophils, monocytes and T_{H2} cells, a process also dampened by CD26 [188]. Therefore, a CD26^{low} phenotype in both eosinophils and T_{H2} cells (CCR3⁺) seems to favour the inflammatory response in T_{H2}^{high}-asthma.

CXCR4 is found in monocytes and B/T (preferentially T_{H2}) cells [177, 189] and promotes the recruitment of T-cells in the lungs during allergic airway diseases [178, 190]. CXCR4 recognizes macrophage migration inhibitory factor (MIF) and stromal cell-derived factor 1 (SDF1/CXCL12) [189] (Table 3), the last one a small chemokine synthesized by endothelial cells and fibroblasts that induces T/B cells activation [142] and regulates the traffic of lymphocytes, monocytes and dendritic cells toward inflamed epithelia [179, 189, 191, 192]. Amongst the several SDF1 isoforms, at least two (SDF1 α and SDF1 β) are efficiently processed by CD26 *in vitro* [185, 193-195] and *in vivo* [93]. The SDF-1 α (3-67) isoform is unable to activate CXCR4 and displays antagonistic activity [185, 193-198]. Moreover, both CD26 and CXCR4 have similar expression kinetics upon activation [58, 199] and interact in lymphocytes [114]. Binding of SDF1 α to CXCR4 initiates the CXCR4-CD26 complex endocytosis [114], a process disrupted by N-terminal clipping of SDF1 α [195]. This finding could not explain however the preferential expression of CXCR4 in CD26^{low} cells (e.g., T_{H2} or naïve T_H) [76, 199], or why

TGF β (a cytokine that induces CD26 downmodulation) leads to augmented expression of CXCR4 and a potentiated SDF1 α -CXCR4 axis [200-202]. This CD26^{-/low}CXCR4⁺ phenotype also facilitates a vigorous response to SDF1 α in the recruitment of T cells and eosinophils in a mouse model of lung allergic inflammation [178]. On the other hand, CD26 has also been positively correlated with the *in vitro* invasive capacity of T cell lines in response to SDF1 α , and this positive effect is mediated by CD45 [203], a tyrosine phosphatase that enhances cell migration in response to SDF-1 α [204].

CCR4 is present on monocytes, dendritic cells, NK cells and T_H cells (T_{H2}, T_{H17}, Treg). CCR4 recognizes both CCL17/TARC and CCL22/MDC (Table 3), the last chemokine produced by macrophages, dendritic cells, NK cells, and B/T lymphocytes [205]. TARC and MDC are expressed by epithelial cells in the airways, and their levels are upregulated after allergen challenge [103]. T_{H2} cytokines (e.g., IL-4 and IL-13), LPS, IL-1 and TNF α stimulate the secretion of MDC, whereas T_{H1} cytokines (e.g., IFN α , IL-12) inhibit MDC production [205, 206]. Moreover, MDC generates and amplifies T_{H2} responses and recruits T_{H2} lymphocytes [205-207], being important in diseases with a T_{H2}-cytokine profile (e.g., asthma) or equivalent models in mice [207]. In these models, CCR4-MDC has a dominant role in later and chronic stages of the disease as compared with CCR3-Eotaxin [181]. Furthermore, CD26 processes very efficiently MDC (half-life: 2-5 min) to generate MDC(3-69) and, subsequently, MDC(5-69) [185]. This last isoform preserves the attractant power for monocytes, but displays reduced chemotactic activity for lymphocytes and dendritic cells [150], two subsets important in asthma. As Tregs, T_{H2} and CCR4⁺ T_{H17} cells are CD26^{-/low} cells, while CCR4⁻ T_{H17} cells are CD26^{high} [72, 79], the CD26^{-/low} phenotype of these CCR4⁺ subsets should apparently facilitates their recruitment to inflammatory sites.

Table 3. Chemokines processed by CD26/DPP4

T _H subset	Chemokine receptor expressed:	Common ligands of these receptors	Substrates of DPP4 activity	Effect of clipping on chemokine activity or receptor preference	N-terminal cleavage	M _r (Da)	Half-life (min)	K _{cat} /K _m (10 ⁻⁶ M ⁻¹ s ⁻¹)	References
Treg (FoxP3, CD26 ^{low})	CCR4	CCL17/TARC (n.d.)	No						
		<u>CCL22/MDC</u>	<u>Yes</u>	<u>↓, RP</u>	Gly-Pro ▼ Tyr	8090.47	1.6	4±1	[10] [41] [82] [155] [156] [184]
T _{H2} (GATA3, CRTH2, CD30, CD26 ⁺)	CCR6	CCL20/MIP-3α (n.d.)	No						
	CCR3	<u>CCL5/RANTES</u>	<u>Yes</u>	<u>RP</u>	Ser-Pro ▼ Tyr	7851.01	400	0.04±0.01	[10] [41] [82] [156] [184]
		<u>CCL11/Eotaxin 1</u>	<u>Yes</u>	<u>↓</u>	Gly-Pro ▼ Ala	8364.90	30	0.08±0.01	[10] [41] [82] [155] [156] [184]
		CCL24/Eotaxin 2 (n.d.)	No						
		CCL26/Eotaxin 3 (n.d.)	No						
		CCL8/MCP2 (n.d.)	No						
		CCL7/MCP3 (n.d.)	No						
		CCL13/MCP4	No						
		<u>CCL14a [9-74]</u>	<u>Yes</u>	<u>↓</u>	Gly-Pro ▼ Tyr	7800.83	n.d.	n.d.	[185]
		CCL15/MIP-5	No						
		CCL28/MEC	No						
CCR4		CCL17/TARC	No						
		<u>CCL22/MDC</u>	<u>Yes</u>	<u>↓, RP</u>	Gly-Pro ▼ Tyr	8090.47	1.6	4±1	[10] [41] [82] [155] [156] [184]
		CCL1	No						
		CCL17/TARC	No						
CCR8		CCL17/TARC	No						
CXCR4		<u>CXCL12/SDF-1α</u>	<u>Yes</u>	<u>↓, CXCR4 antagonist</u>	Lys-Pro ▼ Val	7609.97	<1	5±2	[10] [41] [82] [155] [156] [184]

Continued on next page

Table 3 (Continued)

T _{H17} (t-bet, CD26 ⁺)	CCR5	CCL3L1/LD78β	Yes	↓, RP	Ala-Pro ▼ Lys	7797.74	6000	0.003±0.002	[82] [155] [156] [184]
		CCL4/MIP-1β	No						
		CCL5/RANTES	Yes	RP	Ser-Pro ▼ Tyr	7851.01	400	0.04±0.01	[10] [41] [82] [156] [184]
		CCL8/MCP2	No						
		CCL7/MCP3	No						
		CCL13/MCP4	No						
		CCL11/Eotaxin	Yes	↓	Gly-Pro ▼ Ala	8364.90	30	0.08±0.01	[10] [41] [82] [155] [156] [184]
		CCL14a/HCC-1[9-74]	Yes	↓	Gly-Pro ▼ Tyr	7800.83	n.d.	n.d.	[185]
		CCL16	No						
		CCL23/MIPF-1	No						
		CXCL9/Mig	Yes	↓, CXCR3 antagonist	Thr-Pro ▼ Val	11724.81	24	>0.4±0.2	[82] [155] [156] [184]
CXCR3A		CXCL10/IP-10	Yes	↓, CXCR3 antagonist	Val-Pro ▼ Leu	8646.30	4	0.5±1	[10] [41] [82] [155] [156] [184]
		CXCL11/I-TAC	Yes	↓, CXCR3 antagonist	Phe-Pro ▼ Met	8307.01	2	1.2±0.1	[82] [155] [156] [184]
		CCL17/TARC	No						
		CCL22/MDC	Yes	↓, RP	Gly-Pro ▼ Tyr	8090.47	1.6	4±1	[10] [41] [82] [155] [156] [184]
CCR6		CCL20/MIP-3α	No						

CCR: C-C motif chemokine receptor; CXCR: C-X-C motif chemokine receptor; CCL: C-C motif chemokine ligand; CXCL: C-X-C motif chemokine ligand; TARC: thymus and activation regulated chemokine; MDC: macrophage-derived chemokine; MIP-3α: macrophage inflammatory protein-3 α; RANTES: regulated on activation, normal T cell expressed and secreted; MCP(2, 3 and 4): monocyte chemoattractant protein; MIP5: macrophage inflammatory protein 5; MEC: mucosae-associated epithelial chemokine; SDF-1α: stromal cell-derived factor 1 α; MIP-1β: macrophage inflammatory protein-1β; MIPF-1: myeloid progenitor inhibitory factor 1; Mig: monokine induced by γ-interferon; IP-10: 10 kDa interferon γ-induced protein; I-TAC: interferon-inducible T-cell α chemoattractant; RP: Change in receptor preference; ↓ f: Change in activity/function; n.d.: no determined.

4.3.2.- CD26, T_{H1}/T_{H17} -related chemokines and T_{H2}^{low} asthma

Most of asthma patients present a T_{H2}^{high} disease, but there are other two types (obesity-associated and neutrophilic asthma) linked to a T_{H2}^{low} (i.e., T_{H1}/T_{H17}) profile [1]. The $CD26^{high}$ T cell subset displays a $CD45RO^{+}CCR7^{low}$ effector-memory phenotype and produces T_{H1}/T_{H17} cytokines [72, 77, 208]. T_{H1} cells are $CCR5^{+}CXCR3A^{+}$ lymphocytes [72, 208], are essential for the production of IgM, IgG, and IgA (but not IgE) by B-cells, and orchestrate the response against intracellular microbes [103]. A few CCR5 ligands and all the CXCR3A-specific chemokines are CD26 substrates (Table 3). For example, CCL5/RANTES is produced by endothelial and epithelial cells, platelets, macrophages, eosinophils and T cells [209]. RANTES activates CCR1, CCR3 (T_{H2}) and preferentially CCR5 (T_{H1}), thereby attracting monocytes, eosinophils and T cells to inflammatory sites [209]. This chemokine is cleaved with low efficiency (half-life of 400 min) by CD26 [100, 185], leading to RANTES(3-68), a chemokine that loses its capacity to bind CCR1 and CCR3 (monocytes, eosinophils and T_{H2} cells), but not CCR5 [197]. As CCR5 is expressed on T_{H1} and $CD45RA^{+}CD45RO^{+}CCR7^{low}$ effector-memory $CD4^{+}$ T cells (both $CD26^{+/high}$) [76, 103, 199], this means that RANTES(3-68) favours their attraction toward inflammatory sites [175, 197, 198, 210].

Another T_{H1} -chemokine and CD26-substrate that binds CCR5 is LD78 β /CCL3L1 [211]. Macrophage inflammatory protein-1 α (MIP-1 α) is encoded by 2 different loci: LD78 α and LD78 β [212]. Both chemokines are agonists of CCR1, CCR3 and CCR5, but LD78 β binds with high affinity to CCR5 [213], regulating the traffic/activation of macrophages/monocytes, NK cells, eosinophils, basophils, immature dendritic cells, and T lymphocytes. Strikingly, the inefficient (half-life \sim 5 h) [185, 211] clipping of LD78 β by CD26 generates LD78 β (3-70) enhances the chemotactic activity for T_{H1} cells

(CCR5) and monocytes/neutrophils (CCR1) (Table 3). This fact, together with the CCR1^{low} phenotype in eosinophils (<20%) and the reduced affinity of LD78β (3-70) for CCR3 (T_{H2}), proves once again that this posttranslational modification tends to favour T_{H1}/T_{H17} responses [211, 214].

CXCR3A is overrepresented on T_{H1} cells [208] and is recognized by IFNγ-induced chemokines (CXCL9/Mig, CXCL10/IP-10, CXCL11/I-TAC) secreted by endothelial cells, hepatocytes, fibroblasts, keratinocytes and leucocytes in response to infections and several diseases including asthma. These chemokines are important to bring T_{H1} cells into epithelial barriers [103]. Moreover, after being N-terminally processed by CD26 with intermediate-high efficiency [185] (Table 3), they become less active T_{H1}-chemoattractants. In addition, this modification also makes IP-10 a CXCR3-antagonist and induces receptor desensitization, being part of a negative feedback mechanism important to downmodulate inflammation [215-217].

T_{H17} lymphocytes play a major role in tissue inflammation, are essential to activate macrophages and recruit neutrophils, and drive the defensive activities against extracellular bacteria and fungi. Human T_{H17} cells are associated with the expression of CCR4, CCR6, and CXCR6 [72, 103, 218]. Skin-homing addressins (e.g., CCR4, CCR6) are also shared by CD26^{-/low} Treg cells and T_{H2} cells [73]. However, T_{H17} cells are rare at sites of inflammation, maybe due to homeostatic mechanisms that avoid their expansion, a high plasticity to shift to T_{H1} cells [103], or the heterogeneous expression of CCR4, with a proinflammatory CCR4⁻CCR6⁺CD26^{high} phenotype in most of T_{H17} cells and a small subset of immunosuppressive CCR4⁺CCR6⁺CD26^{low} [79, 81]. This heterogeneity for CCR4 is also detected in T_{H22} cells, a lineage that produces IL-22, expresses CLA, CCR6 and CCR10, and plays an anti-inflammatory and tissue-protective role in asthma [219]. Curiously, CCR4⁻ T_{H22} cells are

CD26⁻, whereas CCR4⁺ T_{H2} cells are constituted by either CD26⁻ or CD26⁺ lymphocytes [79].

In summary, taking into consideration the CD26 expression gradient in the major T_H subsets (T_{H17}>>T_{H1}>T_{H2}>>T_{reg}), the small proportion of chemokines affecting T_{reg}/T_{H2}/T_{H17} cells that are processed by CD26 as compared with those recruiting T_{H1} cells, and that CD26-dependent clipping produce a drop in the biological functions of most of them, it is evident that CD26 forms part of a homeostatic mechanism to downmodulate airways inflammation mediated by T_{H2} and especially T_{H17}/T_{H1} cells (e.g., obesity linked asthma).

5.- CD26 AND ASTHMA: ANIMAL MODELS, STUDIES IN HUMAN SYSTEM AND CLINICAL IMPLICATIONS

Despite the reported evidence on the role of CD26 in T_{H1}-related diseases, it is not yet fully understood the involvement of both the peptidase-dependent and peptidase-independent functions of CD26 in the pathophysiology of asthma. In this section, we summarize some results obtained in animal models and the human system.

5.1.- Rat models

Rat models using inhaled allergens such as OVA have been used to evaluate the role of CD26 in bronchial asthma. Thus, the severity of the airway inflammation decrease as the endogenous CD26 level of the strain becomes lower [220], likely due to the costimulatory role of CD26. One of the models more extensively used is the F344 strain. Challenge of F344 rats with OVA generates bronchoconstriction and higher CD26 expression on lymphocytes and epithelial cells of lung

parenchyma [14, 17], enhanced levels of DPP8/9 and DPP10 in bronchi [17], and dose-dependent recruitment to the lungs of dendritic cells, eosinophils, and $CD4^+CD25^+CD26^+$ T cells [14]. More specifically, OVA causes increased recruitment of T cells to bronchi (but not lung parenchyma) [22] and enhanced sDPP4 activity in bronchoalveolar lavage fluid (BALF) [17], the last finding likely related with the augmented presence of $CD26^-$ T cells in bronchi. Interestingly, there is an F344 substrain with an active-site mutation in the *Dpp4* gene that causes protein retention/degradation in the endoplasmic reticulum and lower CD26 levels [221]. This F344 substrain also displays the early bronchoconstriction in response to OVA-challenge [222], but the late inflammatory response is milder regarding OVA-specific serum IgE, eosinophilia and recruitment of T cells in both bronchi and BALF [14, 22, 220]. In contrast, the entrance of T cells in bronchoalveolar lymphoid tissue (BALT) is enhanced, no matter the $CD26^+$ or $CD26^-$ phenotype of transferred T cells [223].

Because bronchi are DPP4⁻ (but DPP8/9/10⁺) compartments [17], $CD4^+CD25^+$ T cells recruited in the airways of OVA-challenged F344 rats are either T_H cells with a $CD26^{low}$ phenotype or they downmodulate CD26 during peribronchial infiltration. Thus, a potential higher response of $CD4^+CD25^+CD26^+$ T cells to SDF1 α [203] could allow their recruitment to bronchi ($CD26^-$ SDF-1^{high} environment) of wild type rats [22] with a concomitant SDF1-driven downmodulation of CD26, as it happens during skin homing of S  zari cells [224]. In contrast, $CD4^+CD25^+CD26^-$ Teff cells in CD26-deficient rats would not be attracted to the bronchi as a result from either an anomalous/aberrant response to SDF1 α [203] or a reduce chemokine gradient [22], resulting in milder asthma-like disease. However, despite SDF-1 is augmented in the bronchi of asthmatic patients [225], OVA-challenge does not increase the number of SDF-1 transcripts in the large airways of F344-rats [22]. Curiously, the

amount of SDF-1 is increased in the BALT of CD26-deficient rats, likely supporting the above commented higher recruitment of T cells to this lymphoid compartment after OVA-challenge [223].

CD26-deficient F344 rats display a parallel increased influx of Tregs into the lungs, with a concomitant rise in the IL-10 production that explains the diminished inflammation [222]. However, this may not happen in the same way in humans, where Treg cells display a CD26^{-/low} phenotype [69-72] in contrast to rats [14, 68]. Moreover, it is difficult to conciliate a milder asthmatic-like inflammation in OVA-challenged CD26-deficient F344 rats with either a lower truncation rate of T_{H2} chemokines (e.g., eotaxin) or the *in vivo* enhanced eotaxin-mediated recruitment of eosinophils caused by a CD26 inhibitor [187]. Perhaps the different genetic background [226] or the administration route might play a significant role [45]. For example, oral administrated inhibitors seem to enhance allergic inflammation of the airways, whereas topic inhibition (aerosolization) has a rather protective effect in line with that observed in CD26-deficient F344 rats [45]. Moreover, dual deficiency of enzymatic and extra enzymatic activities of CD26 in murine asthma models may generate results different from the observed effects of CD26 inhibitors, which only inhibit enzymatic functions [166].

5.2.- Mice models

CD26 KO mice display a healthy phenotype, with increased glucose clearance, resistance to obesity, and small changes in the percentages of NK, NKT and CD4⁺ T cells [227, 228]. In addition, *in vitro* PWM-activated splenocytes from CD26^{-/-} C56BL/6 mice show a decreased IL-4 production, while IgE and IL-4 are significantly reduced in sera from CD26^{-/-} animals upon PWM-treatment [228]. In 2012, Yan *et al.* reported unchanged IgE concentration but enhanced eosinophilia and

T_{H2} cytokines (IL-4, IL-5, IL-13) in BALF from OVA-induced CD26^{-/-} C56BL/6 animals, as well as higher mRNA and protein levels of CD26 substrates (eotaxin and RANTES) and T_{H2} chemokine receptors (CCR3 and CCR5) [16]. These partially contradictory results probably depend on the type, administration route, and strength of the polyclonal stimulus (PWM vs. OVA) [16]. They also point out that induced and targeted (cell-type specific) KO models could be necessary to truly dissect the role of CD26 in asthma [16].

5.3.- Human system

In line with the small differences in CD26 levels observed between T_{H1} and T_{H2} cells [72], this marker is not helpful to differentiate T_{H1}- from T_{H2}-driven responses in patients with atopic asthma [229]. However, augmented CD26 levels are actually detected on total lymphocytes, CD4⁺ T cells and iNKT (but not CD8⁺ T lymphocytes, monocytes, or B-cells) in adult allergic asthma, reflecting the existence of an activated status [20]. This last work also published an elevation of plasma sCD26 in patients, which is associated with eosinophil counts and IgE concentration. Additionally, this group reported lower production of T_{H1}-chemokines (IP-10, MIG), and higher presence of other chemokines (RANTES, MDC) and their receptors (CCR3, CCR4), in both cases attributable to a T_{H2}-response predominance [20]. In clear contrast, Matsuno *et al.* found that sCD26 is inversely correlated with the inflammation level in chronic eosinophilic pneumonia, a disease frequently preceded or accompanied by asthma [230]. More recently, no differences were found in serum sCD26 levels in children with asthma, or association of this variable with either asthma or atopy (e.g., skin prick test, IgE, eosinophil cationic protein) [86].

From the analysis of all these studies is obvious the need to expand them to different asthmatic phenotypes (or endotypes) and levels of severity/chronicity. In addition, it is also clear that other variables (sample size, lymphocytes counts, age, sex) should also be taken into account, especially knowing: a) the influence of sex on CD26 expression (our unpublished results) and sCD26 levels [86-89, 91]; b) the augmented prevalence of asthma in boys at early ages and the higher prevalence of asthma in women after puberty [5, 6], especially in the case of certain T_{H2}^{low} phenotypes [1]. For example, sCD26 is apparently increased in the blood of both atopic dermatitis (a T_{H2} -like disease) [231] and adult asthma patients [20], but in the last study, these results might be partially explained by a higher proportion of males in the group of patients. In contrast, Remes did not show altered sCD26 levels in asthmatic children and took into consideration certain pre-analytical variables (gender, age) [86]. Therefore, more studies are needed to reveal the potential correlation between CD26/sCD26 and the severity and phenotype of the asthmatic pathology.

5.4.- Clinical implications

High body-mass index is a factor with a positive association with asthma development and severity [232,233, 234]. This association of asthma and obesity appears to be stronger in women than in men, with a role for both non-inflammatory and subclinical/systemic chronic inflammatory pathways, including the release of proinflammatory (e.g., leptin) or anti-inflammatory (e.g., adiponectin) adipokines that regulate the survival of eosinophils and their recruitment to the lungs [233, 234]. As mentioned above, visceral fat in obese patients releases the "adipokine" sCD26 into the circulation [88], but as we have

already been highlighting throughout this review, with proinflammatory or anti-inflammatory effects depending on the processed substrate: incretins or chemokines/substance P, respectively.

Obese persons are at risk of a number of co-morbidities like type 2 diabetes mellitus (T2DM), a disease characterized by impair production of incretins, with subsequently hyperglycaemia. Incretins (GIP, GLP-1, GLP-2) are well-known CD26 substrates that lose their function when they are processed. Inhibition of CD26 enzymatic activity avoids the degradation of these hormones, enhances the incretin effect, improves both the insulin secretion and the glucose uptake and lowers the glycosylated haemoglobin A1c levels. To achieve these goals, a new group of anti-hyperglycaemic drugs (CD26 inhibitors or gliptins) have come on the market, with sitagliptin, (Januvia[®]; Merck Sharp & Dohme Ltd) and vildagliptin (Galvus[®]; Novartis Europharm Ltd) (both approved by European Medicines Agency/EMA in 2007) as the first outpost (Table 4). Currently, there are several orally administered CD26 inhibitors that have been approved by agencies like the Food and Drug Administration (FDA) or EMA and are being used with satisfactory results as a second or third line medication in combination with other oral antidiabetic drugs. Commercially available gliptins include the above-mentioned sitagliptin (Januvia[®], Ristaben[®]), but also saxagliptin (Onglyza[®]), linagliptin (Trajenta[®]), alogliptin (Vipidia[®]), and vildagliptin (Galvus[®], Jalra[®], Xiliarx[®]) (Table 4). However, there is a controversial debate about the secondary effects on co-morbidities (e.g., cardiovascular outcome, renal impairment, acute pancreatitis) that the long-term treatment with these long half-life inhibitors may have. These concerns are as a result of several issues related to the great number of CD26 substrates linked or not to the immune system. Thus, the SAVOR TIMI-53 (saxagliptin) [235] trial detected a

significantly increased rate for hospitalization due to heart failure, and a more recent study based on FAERS (US-FDA Adverse Event Reporting System) [236] is also consistent with this finding. In clear contrast, the TECOS trial (sitagliptin) [237] did not find an increased risk of heart failure.

Table 4. DPP4 inhibitors registered for clinical use in EMA and adverse drugs reactions identified during both the clinical and post-marketing surveillance stage

Active substance used as monotherapy	Proprietary Name (Company; Date of EMA authorization)	Immune system & Respiratory disorders, infections (Frequency)**	Skin and subcutaneous tissue disorders (Frequency)**
Sitagliptin	Januvia® (Merck Sharp & Dohme Ltd; 2007)	<ul style="list-style-type: none"> • Hypersensitivity, including anaphylactic responses (not known)* 	<ul style="list-style-type: none"> • Pruritus (uncommon)* • Angioedema (not known) * • Rash (not known) * • Urticaria (not known) * • Cutaneous vasculitis (not known) *
	Ristaben® (Merck Sharp & Dohme Ltd; 2010)	<ul style="list-style-type: none"> • Interstitial lung disease (not known) 	<ul style="list-style-type: none"> • Exfoliative skin conditions including Stevens-Johnson syndrome (not known) * • Bullous pemphigoid (not known) *
Vildagliptin	Galvus® (Novartis Europharm Ltd; 2007)		
	Jalra® (Novartis Europharm Ltd; 2008)	<ul style="list-style-type: none"> • Upper respiratory infection (very rare) • Nasopharyngitis (very rare) 	<ul style="list-style-type: none"> • Urticaria (not known) * • Bullous pemphigoid (not known)* • Exfoliative skin conditions (not known)*
	Xiliarx® (Novartis Europharm Ltd; 2008)		

Continued on next page

Table 4 (Continued)

Saxagliptin	Onglyza® (AstraZeneca AB; 2009)	<ul style="list-style-type: none">• Small decrease in the absolute count of peripheral blood lymphocytes• Upper respiratory infection (common)• Sinusitis (common)	<ul style="list-style-type: none">• Pruritus (uncommon) *• Angioedema (rare) *• Rash (common) *• Urticaria (uncommon) *• Dermatitis (uncommon)
Linagliptin	Trajenta® (Boehringer Ingelheim International GmbH; 2011)	<ul style="list-style-type: none">• Nasopharyngitis (uncommon)• Hypersensitivity (e.g. bronchial hyperreactivity) (not known)• Cough (uncommon)	<ul style="list-style-type: none">• Angioedema (rare) *• Rash (uncommon) *• Urticaria (rare)*• Bullous pemphigoid (not known)*
Alogliptin	Vipidia® (Takeda Pharma A/S; 2013)	<ul style="list-style-type: none">• Upper respiratory infection (common)• Nasopharyngitis (common)• Hypersensitivity reactions (not known) *	<ul style="list-style-type: none">• Pruritus (common)• Rash (common)• Exfoliative skin conditions, including Stevens-Johnson syndrome, Erythema multiforme, Angioedema and Urticaria (not known) *

*Adverse drug reactions (ADRs) identified based on post-marketing surveillance **Absolute frequencies of ADRs; very common ($\geq 1/10$), common ($\geq 1/100$ to $< 1/10$), uncommon ($\geq 1/1,000$ to $1/100$), rare ($\geq 1/10,000$ to $1/1,000$), very rare ($< 1/10,000$), or not known (i.e., not estimated).

More in accordance with the scope of this review, CD26 reversible inhibitors seem to increase the frequency of risk factors for asthma development/exacerbation like atopic sensitization, rhinitis, or rhinovirus infection in T2DM patients [232]. Thus, a rapid review of clinical and data published on the EMA web page

(<http://www.ema.europa.eu>) supports increased risk of nonserious upper respiratory tract infections (e.g., viral nasopharyngitis, rhinitis, sinusitis) (Table 4), which would be in line with the costimulatory role of CD26. As Willemen and co-authors sustain, this increased risk of infections with CD26 inhibitors (~3% cases) cannot be compared with the magnitude of the effects seen with biological agents like tumour necrosis factor inhibitors [238]. However, this effect should not be underestimated and must be added to the immune impairment caused by T2DM itself to increase the overall asthma development/exacerbation risk in these patients.

Other adverse drug reactions (ADRs) reported in association with gliptins and more associated with an inhibitory role of CD26 would be interstitial lung disease, hypersensitivity reactions (including anaphylactic responses or bronchial hyperreactivity), and skin and subcutaneous tissue disorders such as pruritus, angioedema, rash, urticaria, cutaneous vasculitis, or more severe and rare skin conditions (Table 4). For example, Bullous pemphigoid [187, 239-241] is an autoimmune subepidermal disease with overproduction of eotaxin and eosinophilia that affects skin and mucosae. Therefore, the association between gliptins and Bullous pemphigoid is in tune with *in vivo* studies in F344 rats showing that CD26 limits the eotaxin-mediated recruitment of eosinophils [187], or with the finding that oral administration of CD26 inhibitors promotes the allergic inflammation of the airways [45]. This means that CD26 could be part of a homeostatic mechanism to downmodulate airways inflammation mediated by T_{H2} and especially T_{H17}/T_{H1} cells important in some T_{H2}^{low} asthma phenotypes such as obesity-related asthma. Nevertheless, it should be noted that besides chemokines there are other CD26 substrates with implications on obesity and asthma-like the substance P. Inhaled substance P, but not bradykinin enhances the airway response to bronchoconstricting agents in guinea-pigs [242].

Substance P also favours allergen sensitization and bronchial inflammation, as observed in a mouse model of diet-induced obesity sensitized and challenged with OVA and treated with an antagonist of the substance P receptor (NK1-R) [243]. Therefore, expanded half-life in substance P caused by treatment with CD26 inhibitors in T2DM patients could increase certain obesity-related co-morbidities such as asthma. In the same way, administration of sitagliptin in T2DM generates a temporary decrease in the percentage of peripheral blood Tregs that also could favour asthma prevalence in these patients [244]. In clear contrast, GLP-1-based therapies have anti-inflammatory effects in chronic inflammatory diseases including T2DM and asthma [245]. For example, *in vitro* studies have described that CD26 inhibitors induce a decrease of NLRP3 inflammasome, toll-like receptor 4 (TLR4) signalling and IL-1 β in macrophages via GLP-1 receptor [160]. Therefore, more studies are needed to clarify whether gliptins have an overall positive or negative role in T2DM patients with obesity-related asthma.

6.- CONCLUDING REMARKS

CD26 is a relevant molecule in asthma for several reasons. The first one because of its potential utility together with periostin to test the efficacy of the treatment with interleukin-13 neutralising monoclonal antibodies (e.g., Tralokinumab) in patients with severe uncontrolled asthma [246, 247]. Secondly, because of its multifunctionality nature, with both costimulatory and inhibitory roles in the immune system, apart from the high (but variable) CD26 levels in a subset of lymphocytes central to asthma: the CD4⁺ T cells. Different authors have highlighted the inhibitory functions of this molecule relative to signalling mediated by cytokines and chemokines. A handful of chemokines important for CD26⁺ T_{H2} cells during early-onset allergic

or late-onset eosinophilic asthma includes chemotactic factors whose biological activity is reduced with low-intermediate (eotaxin) or high (MDC, SDF-1 α) efficiency, whereas important chemokines for CD26⁺ T_{H1} (I-TAC, IP-10, Mig) and CD26^{high} T_{H17} cells (MDC), two Teff subsets relevant in obesity-related asthma, late-onset neutrophilic asthma or severe asthma, are inactivated with intermediate-high effectiveness. Therefore, CD26 could act as a “biological brake” by reducing the attraction of Teff cells at sites of inflammation (e.g., the bronchi). This means that CD26 inhibitors used in T2DM patients could lead to a higher persistence of unprocessed substance P or chemokines and exacerbation of T_{H2}^{high} and especially T_{H2}^{low} asthma. In conclusion, it is necessary to previously take into consideration the many existing questions regarding the biological functions of this enzyme before the therapeutic targeting of this molecule [248], as CD26 inhibitors could enhance the frequency of hospital admission among people with certain asthma phenotypes.

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